

12th lecture

QUANTUM CHROMODYNAMICS

- part 2 -

Light mesons & baryons

- even before 1974, most theorists would have bet on quarks reason: many light hadron properties explained by the "constituent quark model"
 - light hadrons are bound states of light quarks
- quark masses: $m_u \approx 3 \text{ MeV}$, $m_d \approx 6 \text{ MeV}$, $m_s \approx 150 \text{ MeV}$ are so-called "current masses" (in QCD Lagrangian) relevant at $E \gg \Lambda_{\text{QCD}}$, in perturbative regime but at $E \lesssim \Lambda_{\text{QCD}}$ each quark is dressed by a gluon cloud $m_{\text{cloud}} \approx 300 \text{ MeV} \Rightarrow$ constituent masses $m_q + m_{\text{cloud}} \gg m_q$

- approximate constituent mass degeneracy for u & d
 \leadsto approximate $SU(2)$ "isotopic symmetry"

- key example $m_{p=und} \approx m_{u=udd}$ ($\Delta m \approx 1.3 \text{ MeV}$ $\left\{ \begin{array}{l} \text{current masses} \\ \text{Coulomb energy} \end{array} \right.$)

arrange $|p\rangle$ & $|n\rangle$ in 2-component $|N\rangle = \begin{pmatrix} |p\rangle \\ |n\rangle \end{pmatrix} \in \mathbb{C}^2$

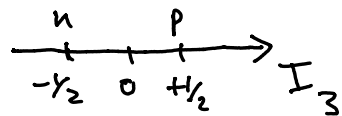
"isospin" symmetry rotates $|p\rangle \leftrightarrow |n\rangle$: $|N\rangle \mapsto U|N\rangle$

\uparrow
 $\vec{I} = (I_1, I_2, I_3) \quad U \in SU(2)$

$[I_i, I_j] = i\epsilon_{ijk} I_k$

$I_3|p\rangle = +\frac{1}{2}|p\rangle, \quad I_3|n\rangle = -\frac{1}{2}|n\rangle, \quad I_+|n\rangle \sim |p\rangle, \quad I_-|p\rangle \sim |n\rangle$

$\leadsto p$ & n form an iso(topic) doublet



$\leadsto SU(2)$ in analogy with ordinary spin:

particle states form irreps labelled by isospin i

$\vec{I}^2 |i, i_3\rangle = i(i+1) |i, i_3\rangle, \quad i = 0, \frac{1}{2}, 1, \dots, \text{ basis } \{|i, i_3\rangle, i_3 = -i, \dots, +i\}$

The $2i+1$ basis states form an "isomultiplet"

• other examples

isotriplet Σ ($i=1$): $|\Sigma^+\rangle = |uus\rangle$

isosinglet Λ ($i=0$): $|\Lambda\rangle = |uds\rangle$

$|\Sigma^0\rangle = |uds\rangle$
 $|\Sigma^-\rangle = |dds\rangle$

isodoublet Ξ ($i=1/2$): $|\Xi^0\rangle = |uss\rangle$, $|\Xi^-\rangle = |dss\rangle$

• strange constituent mass not far from u/d \rightarrow broken larger symmetry
 \rightarrow enhance isospin $SU(2)$ to approximate flavor $SU(3)$

! do never confuse global flavor $SU_F(3)$ with color $SU_C(3)$!

• flavor $SU_F(3) \rightarrow$ particles grouped in $SU_F(3)$ multiplets

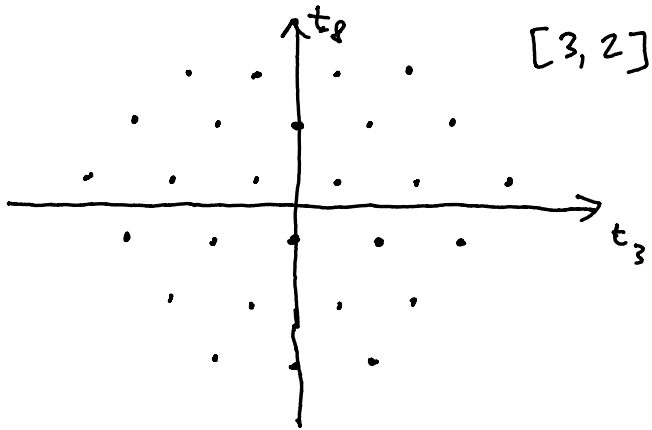
recall Lie algebra basis $\{T_1, \dots, T_8\} \rightarrow T_3, T_8$ diagonal (irreps)
 \rightarrow 3 pairs of ladders

an $SU(3)$ irrep has basis $\{|t_3, t_8\rangle\}$ \leftarrow simultaneous eigenvalues of T_3 & T_8

plot pairs $(t_3, t_8) \rightarrow$ weight space



• an example



ladders



degeneracies:

increase from outside to inside
remain constant once triangular

rescale: $i_3 = t_3$, $y = \frac{2}{\sqrt{3}} t_8$

↑ "isospin" ↑ "hypercharge"



$y = b + s$

↑ "baryon number" ↑ "strangeness" (# $\bar{5}$ - # 5)

baryon number: +1 for baryons
-1 for antibaryons
0 for mesons

strangeness: historical notion for long-lived hadrons, strongly cons'd

• Simplest representations

$[0,0]$ • singlet 1

$[1,0]$ ∴ triplet (fundamental) 3

$[0,1]$ ∴ antitriplet (anti-fund'l) $\bar{3}$

$[1,1]$ ∴ octet (adjoint) 8

$[2,0]$ ∴ sextet 6

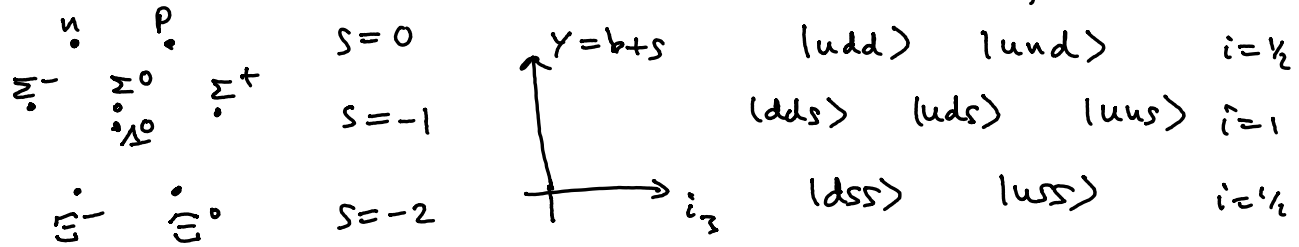
$[0,2]$ ∴ antisextet $\bar{6}$

$[3,0]$ ∴ decuplet 10

$[0,3]$ ∴ antidecuplet $\bar{10}$

some irreps occur in hadron spectrum
but some do not why?

• Baryon octet (spin $\frac{1}{2}$: $|\uparrow\uparrow\downarrow\rangle, |\uparrow\downarrow\downarrow\rangle$)



lifetimes: p stable, $n \rightarrow p$ via $d \rightarrow u e^- \bar{\nu}_e$
 $\Sigma, \Lambda \rightarrow n$ or p via $S \rightarrow u e^- \bar{\nu}_e$ } $\tau \approx 10^{-10}$ s
 $\Xi \rightarrow \Sigma, \Lambda \rightarrow n, p$ via "cascade" } decay weakly

observe: electric charge $q = i_3 + \frac{1}{2} Y$ Gell-Mann - Nishijima
 $\Delta q \rightarrow$

masses: very close inside same isomultiplet

$m_{\Xi} > m_{\Sigma, \Lambda} > m_N$ but differences both ≈ 190 MeV

equality of $m_{2s} - m_{1s} = m_{1s} - m_{0s}$: Gell-Mann - Okubo (GMO)

• baryon decuplet (spin $3/2$: $|\uparrow\uparrow\uparrow\rangle, |\uparrow\uparrow\downarrow\rangle, |\uparrow\downarrow\uparrow\rangle, |\downarrow\uparrow\uparrow\rangle$)

$S=0$	Δ^-	Δ^0	Δ^+	Δ^{++}	$\rightarrow N\pi$ decay	$ \ddot{d}\ddot{d}\ddot{d}\rangle$	$ \ddot{u}\ddot{d}\ddot{d}\rangle$	$ \ddot{u}\ddot{u}\ddot{d}\rangle$	$ \ddot{u}\ddot{u}\ddot{u}\rangle$
$S=-1$	Σ^{*-}	Σ^{*0}	Σ^{*+}			$ \ddot{d}\ddot{d}\ddot{s}\rangle$	$ \ddot{u}\ddot{d}\ddot{s}\rangle$	$ \ddot{u}\ddot{u}\ddot{s}\rangle$	
$S=-2$	Ξ^{*-}	Ξ^{*0}			excited regions of Σ, Ξ	$ \ddot{d}\ddot{d}\ddot{s}\rangle$	$ \ddot{u}\ddot{d}\ddot{s}\rangle$	$ \ddot{u}\ddot{u}\ddot{s}\rangle$	
$S=-3$	Ω^-					$ \ddot{d}\ddot{s}\ddot{s}\rangle$	$ \ddot{u}\ddot{s}\ddot{s}\rangle$		

lifetimes $\sim 10^{-23}$ s

$\Omega^- \rightarrow \Xi^- \rightarrow \Sigma^- \rightarrow N$

must decay weakly: $\tau \approx 10^{-10}$ s!

$|\ddot{s}\ddot{s}\ddot{s}\rangle$ / G.M.O works well

Ω^- predicted 1961, observed in 1964

• meson octet (spin 0, pseudoscalar)

	K^0	K^+	$S=1$	$ \ddot{d}\ddot{s}\rangle$	$ \ddot{u}\ddot{s}\rangle$	
π^-	π^0	π^+	$S=0$	$ \ddot{d}\ddot{u}\rangle$	$\frac{1}{\sqrt{2}}(\ddot{u}\ddot{u}\rangle - \ddot{d}\ddot{d}\rangle)$	$ \ddot{u}\ddot{d}\rangle$
					$\frac{1}{\sqrt{2}}(\ddot{u}\ddot{u}\rangle + \ddot{d}\ddot{d}\rangle) = 2 \ddot{s}\ddot{s}\rangle$	
K^-	K^0		$S=-1$	$ \ddot{s}\ddot{u}\rangle$	$ \ddot{s}\ddot{d}\rangle$	

G.M.O does not work so well because of

"Spontaneous breaking of chiral symmetry" \rightarrow later

• Why only certain $SU_F(3)$ reps appear?

— all irreps can be constructed by iterated tensor products of basic irreps 3 & $\bar{3}$ ($[10]$ & $[0\bar{1}]$) plus symmetry projection

— physical interpretation: baryons are bound states of objects transforming in basic reps:

$$\begin{array}{l}
 [10] = \text{"quark triplet"} \quad \begin{array}{l} d \quad u \\ s \end{array} \quad \left. \begin{array}{l} \text{with spin } \frac{1}{2} \\ \& \quad b = \frac{1}{3} \end{array} \right\} q_u = +\frac{2}{3} \\
 [0\bar{1}] = \text{"antiquark triplet"} \quad \begin{array}{l} \bar{s} \\ \bar{u} \quad \bar{d} \end{array} \quad \left. \begin{array}{l} \text{with spin } \frac{1}{2} \\ \& \quad b = -\frac{1}{3} \end{array} \right\} q_d = q_s = -\frac{1}{3}
 \end{array}$$

but these states are forbidden by confinement!
 (only color-neutral asymptotic states exist)

— tensor products

$$3 \times \bar{3} : \begin{array}{c} \cdot \\ \cdot \\ \cdot \end{array} \times \begin{array}{c} \Delta \\ \Delta \\ \Delta \end{array} = \begin{array}{c} \Delta \\ \Delta \\ \Delta \end{array} \text{ or } \begin{array}{c} \Delta \\ \Delta \\ \Delta \end{array} = \begin{array}{c} \cdot \\ \cdot \\ \cdot \\ \cdot \\ \cdot \\ \cdot \end{array} = \begin{array}{c} \cdot \\ \cdot \\ \cdot \\ \cdot \\ \cdot \\ \cdot \end{array} + \begin{array}{c} \cdot \\ \cdot \\ \cdot \end{array} \\
 = 8 + 1$$

↪ $q\bar{q} \rightarrow$ meson octet + singlet (ψ')

$$3 \times 3 : \begin{array}{c} \cdot \\ \cdot \\ \cdot \end{array} \times \begin{array}{c} \cdot \\ \cdot \\ \cdot \end{array} = \begin{array}{c} \triangle \\ \triangle \\ \triangle \end{array} = \begin{array}{c} \cdot \cdot \\ \cdot \cdot \\ \cdot \cdot \end{array} = \begin{array}{c} \cdot \\ \cdot \\ \cdot \end{array} + \begin{array}{c} \cdot \\ \cdot \\ \cdot \end{array} = 6 + \bar{3}$$

(not allowed by confinement)

$$3 \times 3 \times 3 = (6 + \bar{3}) \times 3 = 6 \times 3 + \bar{3} \times 3$$

$$= \begin{array}{c} \cdot \\ \cdot \\ \cdot \end{array} \times \begin{array}{c} \cdot \\ \cdot \\ \cdot \end{array} + \begin{array}{c} \cdot \\ \cdot \\ \cdot \end{array} \times \begin{array}{c} \cdot \\ \cdot \\ \cdot \end{array} = \begin{array}{c} \triangle \\ \triangle \\ \triangle \end{array} + \begin{array}{c} \triangle \\ \triangle \\ \triangle \end{array} = \begin{array}{c} \cdot \cdot \cdot \\ \cdot \cdot \cdot \\ \cdot \cdot \cdot \end{array} + \begin{array}{c} \cdot \cdot \cdot \\ \cdot \cdot \cdot \\ \cdot \cdot \cdot \end{array}$$

$$= \begin{array}{c} \cdot \\ \cdot \\ \cdot \\ \cdot \\ \cdot \end{array} + \begin{array}{c} \cdot \\ \cdot \\ \cdot \end{array} + \begin{array}{c} \cdot \\ \cdot \\ \cdot \end{array} + \cdot = 10 + 8 + 8 + 1$$

(allowed by confinement because $\exists \text{SU}_c(3)$ singlet here)

$2 \rightarrow qqq \rightarrow \text{baryon} \begin{cases} \text{decuplet} \\ \text{octet} \end{cases}$

answers to polling questions

1) $[2,1]$ $\begin{array}{c} \cdot \cdot \cdot \\ \cdot \cdot \cdot \\ \cdot \cdot \end{array}$ 12 dots = weights

2) $\dim([2,1]) = 15$

$$= [2,1] + [1,0]$$

3) $\begin{array}{c} \cdot \\ \cdot \\ \cdot \end{array} \times \begin{array}{c} \cdot \\ \cdot \\ \cdot \end{array} = \begin{array}{c} \triangle \\ \triangle \\ \triangle \end{array} = \begin{array}{c} \cdot \cdot \cdot \\ \cdot \cdot \cdot \\ \cdot \cdot \end{array} = \begin{array}{c} \cdot \cdot \cdot \\ \cdot \cdot \cdot \\ \cdot \cdot \end{array} + \begin{array}{c} \cdot \\ \cdot \\ \cdot \end{array} = 15 + 3$

Chiral Symmetry in QCD

- consider a world in which $m_u = m_d = 0$

$$\leadsto \mathcal{L}_{u,d} = i \bar{q} \gamma^\mu (\partial_\mu + g \hat{A}_\mu) q \quad \text{with } q = \begin{pmatrix} u \\ d \end{pmatrix}$$

global flavor symmetry: $q \mapsto S_V q$, $S_V \in U_V(2)$

is "vector-like": Noether currents 2x2 unitary

$$\left(j_V^\mu \right)_j^i = \bar{q}^i \gamma^\mu q_j \quad i, j = 1, 2$$

are Lorentz vectors, can be grouped in $SU(2)$ irreps:

\rightarrow isosinglet $\bar{q} \gamma_\mu \mathbb{1} q$ & isotriplet $\bar{q} \gamma_\mu \sigma^a q$ $a=1,2,3$

$\downarrow U_V(1)$ \uparrow "iso" $\downarrow SU_V(2)$

- but there is a larger symmetry:

split into left- & right-handed quark fields:

$$q_{L,R} = \frac{1}{2} (\mathbb{1} \mp \gamma^5) q \quad \leadsto \quad \bar{q}_{L,R} = \bar{q} \frac{1}{2} (\mathbb{1} \pm \gamma^5)$$

$$\leadsto \mathcal{L}_{u,d} = i \bar{q}_L \gamma^\mu (\partial_\mu + g \hat{A}_\mu) q_L + i \bar{q}_R \gamma^\mu (\partial_\mu + g \hat{A}_\mu) q_R$$

is invariant under separate $q_L \mapsto S_L q_L$, $q_R \mapsto S_R q_R$

\rightarrow full symmetry is $U_L(2) \times U_R(2)$!

• turn on quark masses but keep $m_u = m_d$

$$\leadsto \text{mass term } \mathcal{L}_m = m \bar{q} q = m (\bar{q}_L q_R + \bar{q}_R q_L)$$

is invariant only if $S_L = S_R \rightarrow U_V(2) \subset U_L(2) \times U_R(2)$

• but for $m=0$ one also has $S_L = S_R^\dagger$

\leadsto "chiral" or "axial" transformations \leadsto other subgroup, $U_A(2)$

$$\text{its Noether currents } j_A^\mu = \left\{ \bar{q} \gamma^\mu \gamma^5 q, \bar{q} \gamma^\mu \gamma^5 \sigma^a q \right\}$$

however, quantum effects break $U_A(2) \rightarrow U(1)$ ("anomalous") $\rightarrow SU_A(2)$

\leadsto must impose constraint $\det(S_L S_R^\dagger) = 1 \rightarrow$ project onto $SU_A(2)$

- in massless 2-flavor QCD the global symmetry is $U_V(2) \times SU_A(2)$

However: $SU_A(2)$ gets spontaneously broken

What does that mean?

Spontaneous symmetry breaking

a concept also essential for electroweak part!

- toy model I: real scalar field

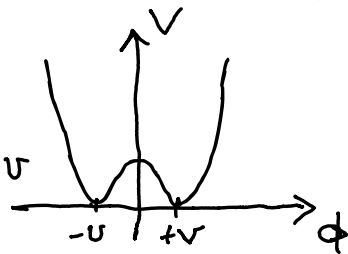
$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi) (\partial^\mu \phi) - V(\phi), \quad V = \frac{\lambda}{4!} (\phi^2 - v^2)^2$$

discrete Z_2 symmetry $\phi \mapsto -\phi$

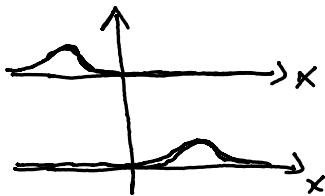
but symmetric point ($\phi=0$) is not minimum!

instead: two non-symmetric minima $\phi = \pm v$

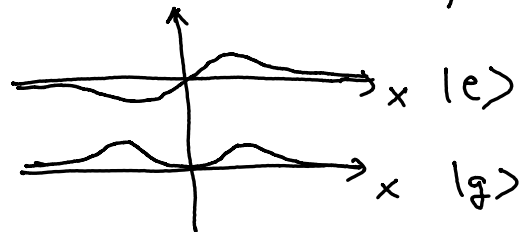
\rightarrow vacuum expectation value $\langle \phi \rangle = \pm v \neq 0$



- remark: in quantum mechanics the two wave functions ψ



combine via
tunneling



QFT: ∞ degrees of freedom $\rightarrow \infty$ tunneling barrier
 \rightarrow two degenerate ground states, related by symmetry

- Spontaneous symmetry breaking:

- \mathcal{L}, H enjoy a symmetry \rightarrow eq. of motion are invariant
- ground state is not \rightarrow collection of degenerate vacua related by symmetry transformations

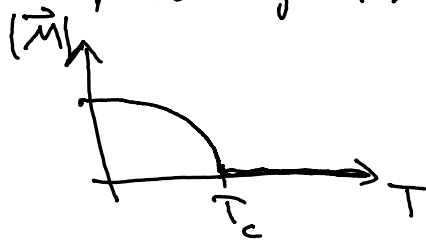
- examples

- $\ddot{x} + \omega^2 x = 0$ sym.: $x(t) \xrightarrow{T_a} x_a(t) \equiv x(t-a)$ transl. inv.
 solutions $x_{\alpha, \beta}(t) = \alpha \cos(\omega t + \beta) \xrightarrow{T_a} \alpha \cos(\omega(t-a) + \beta) = x_{\alpha, \beta - \omega a}(t)$
 continuous family of nonsym. solns, only $x_0 \equiv 0$ is invariant

- parity symmetry QED

→ chiral molecules, on Earth only one variant prevalent

- ferromagnet: eqs. are rotationally symmetric $\sim SO(3)$



$T > T_c : \vec{M} = 0$ $SO(3)$ symmetric

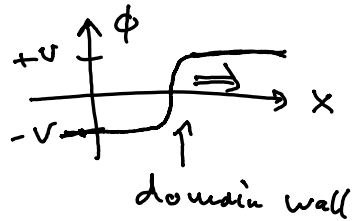
$T < T_c : \vec{M} \neq 0$ breaks $SO(3) \rightarrow SO(2)$
selects a direction

- phase transitions

order parameter $\langle \phi \rangle$ $\begin{cases} = 0 & \text{unbroken phase} \\ \neq 0 & \text{broken phase} \end{cases}$ $\begin{matrix} \uparrow \\ \text{transition} \\ \text{mostly} \\ \text{second order} \end{matrix}$

first-order transition come with domain walls \leftrightarrow latent heat
expanding bubbles of "true vacuum" into "false vacuum"

can get hit
by domain wall



- toy model II: complex scalar field

$$\mathcal{L} = (\partial_\mu \phi^*) (\partial^\mu \phi) - \frac{\lambda}{4} (\phi^* \phi - v)^2$$

continuous $U(1)$ symmetry

$$\phi \mapsto e^{i\alpha} \phi$$



continuous family of minima: $|\phi|=v$, phase arbitrary

$$\leadsto \text{"vacuum manifold"} = \Sigma^1 = \left\{ v e^{i\alpha/\sqrt{2}} \mid \alpha \in [0, \sqrt{2}\pi] \right\}$$

$U(1)$ symmetry spontaneously broken to $\mathbb{1}$
 $\alpha =$ order parameter

- imagine α has slow x dependence: $\phi(x) = v e^{i\alpha(x)/\sqrt{2}}$

$$\leadsto \mathcal{L}_{\text{eff}} = \mathcal{L}(\phi_{\text{vac}}) = \frac{v^2}{2} (\partial_\mu \alpha) (\partial^\mu \alpha) \quad \text{inv. under } \alpha \rightarrow \alpha + \chi$$

$U(1)$

"walk in vacuum mfd" \Leftrightarrow low-energy spectrum \Leftrightarrow massless free scalar

this soft mode is called "Goldstone boson"

(fluctuation of order parameter)

- Goldstone theorem

Lagrangian with global symmetry group G
spontaneously broken to a subgroup $H \subset G$.

Then spectrum includes $\dim G - \dim H$
massless particles. Their interaction strength
depends on E , decouple for $E \rightarrow 0$

Vacuum manifold = coset G/H , parameterized by the
($V=0$) Goldstone bosons

Quark condensate

back to QCD: axial $SU_A(2)$ is broken spontaneously
where are the 3 Goldstone bosons? which order parameter?

consider composite $\sigma_{ij} = q_L^i \bar{q}_R^j$ $i, j = 1, 2$ or u, d

the order parameter is VEV $\Sigma_{ij} = \langle \sigma_{ij} \rangle$ complex 2×2
 \rightarrow 8 real parameters \Leftrightarrow dim of $U_L(2) \times U_R(2)$ or $U_V(2) \times U_A(2) = G$

in QCD only $G = U_V(2) \times SU_A(2)$ broken by

$$\Sigma^{ij} = \sum \uparrow \cdot \Omega^{ij} \quad \text{with } \Omega^{ij} \text{ unitary}$$

$U_V(1) \leftrightarrow$ size Ω^{ij} orientation still invariant under $SU_V(2)$ subgroup

broken to $U_V(2)$

$$\curvearrowright M_{\text{vac}} = SU_A(2) = S^3 \quad \curvearrowright \sim \Lambda_{\text{QCD}}$$

size $\Sigma \approx (250 \text{ MeV})^3$ "quark condensate"

\rightarrow chiral sym. is broken \Rightarrow 3 Goldstone bosons

are the pions (π^-, π^0, π^+)!

it is a breaking of approximate symmetry $\rightarrow m_\pi \neq 0$
start with massless QCD & treat $m_u \approx m_d$ as perturbations

$\rightarrow \pi$ are "pseudo-Goldstones", have small mass
picture: tilted champagne bottle

\exists formula $m_\pi^2 \sim (m_u + m_d) \cdot \Sigma \rightarrow 0$ in chiral limit $m_q \rightarrow 0$

• proton mass? Gell-Mann formula $m_p \approx \sqrt[3]{4\pi^2 \Sigma}$ \leftarrow chiral sym. breaking gives hadrons mass!