## Exercises for the lecture on

# Theory of Fundamental Interactions (summer 2022)

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#### Sheet 1

## Problem 1

Consider the Lie-algebra  $L = (\mathbb{R}^3, \times)$  and its adjoint representation:

$$\mathbf{L} \to \operatorname{End}(\mathbb{R}^3), \quad \vec{\mathbf{x}} \mapsto \operatorname{ad}_{\vec{\mathbf{x}}} : \vec{\mathbf{y}} \mapsto \operatorname{ad}_{\vec{\mathbf{x}}}(\vec{\mathbf{y}}) = \vec{\mathbf{x}} \times \vec{\mathbf{y}}.$$
(1)

Let exp denote the exponential map which is defined by its power-series expansion in  $End(\mathbb{R}^3)$ . Show that its image lies in  $GL(\mathbb{R}^3) \subset End(\mathbb{R}^3)$ . (Hint: You have to show that  $det(exp(T)) \neq 0$  for all  $T \in End(\mathbb{R}^3)$ .)

Show by evaluating the exponential series that for  $\vec{n} \in \mathbb{R}^3$  with  $\|\vec{n}\| = 1$  and  $\theta \in \mathbb{R}$ 

$$\exp(\theta \operatorname{ad}_{\vec{n}}) = \mathsf{P}_{\parallel} + \left(\cos(\theta) \operatorname{id}_{\mathbb{R}^3} + \sin(\theta) \operatorname{ad}_{\vec{n}}\right) \circ \mathsf{P}_{\perp}, \qquad (2)$$

where  $P_{\parallel}: \vec{x} \mapsto \vec{n}(\vec{n} \cdot \vec{x})$  and  $P_{\perp}: \vec{x} \mapsto \vec{x} - \vec{n}(\vec{n} \cdot \vec{x})$  are the projection maps parallel and perpendicular to  $\vec{n}$ . (Hint: Show first that  $ad_{\vec{n}} \circ ad_{\vec{n}} = -P_{\perp}$  and decompose the exponential series in even and odd powers.)

Argue that  $\exp(\theta \operatorname{ad}_{\vec{n}})$  is a rotation in  $\mathbb{R}^3$  (with standard euclidean inner product) by an angle  $\theta$  and oriented axis  $\vec{n}$ .

## Problem 2

Let  $L := (V, [\cdot, \cdot])$  be a Lie-algebra and  $ad : L \to End(V)$  given by  $X \mapsto ad_X$  with  $ad_X(Y) := [X, Y]$ . Show that this is a homomorphism of Lie-algebras:

$$ad_{[X,Y]} = [ad_X, ad_Y].$$
(3)

Note that in the lecture we had already shown that  $ad_X$  is a derivation, i.e.  $ad_X([Y, Z]) = [ad_X(Y), Z] + [Y, ad_X(Z)].$ 

# Problem 3

Let  $L := (V, [\cdot, \cdot])$  be a Lie-algebra and  $K : V \times V \to \mathbb{K}$  its Killing-form K(X, Y) :=Trace( $ad_X \circ ad_Y$ ). Show that

$$K([X,Y],Z) + K(Y,[X,Z]) = 0.$$
 (4)

Hint: Use (3) and the cyclic property of the trace.

# **Problem 4**

Consider again the Lie-algebra  $L = (\mathbb{R}^3, \times)$ . Let  $\{e_a \mid a = 1, 2, 3\}$  be an orthonormal basis with respect to the standard euclidean inner product. Compute the components  $K_{ab} = K(e_a, e_b)$  of the Killing-form for that basis.

## Problem 5

Let  $L' = (V', [\cdot, \cdot]')$  and  $L'' = (V'', [\cdot, \cdot]'')$  be two Lie-algebras and  $\sigma : L'' \to Der(L')$ a Lie-homomorphism. In the lecture we defined the *semi-direct sum of* L' with L'' by  $L = (V, [\cdot, \cdot])$  with  $V := V' \oplus V''$  and  $[X' \oplus X'', Y' \oplus Y''] := ([X', Y']' + \sigma_{X''}(Y') - \sigma_{Y''}(X')) \oplus [X'', Y'']''$ . Verify that the Lie-product so defined on V satisfies the Jacobiidentity.

#### Problem 6

Show that the set of real traceless  $(2 \times 2)$ -matrices form a real Lie-algebra of the Lie-product is defined by the commutator. We call ist  $\mathfrak{sl}(2,\mathbb{R})$ .

Show that

$$X^{+} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad X^{-} = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \quad H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \tag{5}$$

is a basis of  $\mathfrak{sl}(2,\mathbb{R})$  with

$$[X^+, X^-] = H, \quad [H, X^{\pm}] = \pm 2 X^{\pm}.$$
 (6)

Show that  $\mathfrak{sl}(2,\mathbb{R})$  is simple.

Hint: Let  $I \subseteq \mathfrak{sl}(2, \mathbb{R})$  be an ideal and  $C = x_+X^+ + x_-X^- + hH \in I$ . Use (6) and proceed as follows: If, e.g.,  $x_- \neq 0$  then it follows from considering  $[X^+, [X^+, C]]$  that  $X^+ \in I$ , which implies  $I = \mathfrak{sl}(2, \mathbb{R})$  (why?). Now, the same conclusion can be drawn if  $x_+ \neq 0$  or if  $h \neq 0$ .

#### Problem 7

Sei  $L = (V, [\cdot, \cdot])$  ve a real Lie-algebra of dimension dim $(L) := \dim(V) = n$ . We consider the complex numbers  $\mathbb{C}$  as real vector space of dimension 2. An obvious basis of  $\mathbb{C}$  over  $\mathbb{R}$  is  $\{1, i\}$ . We define a new *real* Lie-algebra  $L' = (V', [\cdot, \cdot]')$  of dimension 2n through

$$\mathsf{V}' := \mathbb{C} \otimes_{\mathbb{R}} \mathsf{V} \tag{7a}$$

mit Lie-Produkt

$$[z_1 \otimes X_1, z_2 \otimes X_2]' := z_1 z_2 \otimes [X_1, X_2]$$

$$(7b)$$

on elements of the form  $z \otimes X$  and bilinear extension on all of L'. (Convince yourself that this makes L' indeed into a Lie-algebra.)

If  $\{e_a \mid a = 1, \dots, n\}$  is a Basis of V then a basis  $\{e'_A \mid A = 1, \dots, 2n\}$  of V' is given by

$$e'_{a} \coloneqq 1 \otimes e_{a}, \quad e'_{n+a} \coloneqq i \otimes e_{a}, \quad (a = 1, \cdots, n).$$
 (8)

Show that  $(a, b, c = 1, \dots, n)$ :

$$[e'_{a}, e'_{b}]' = C^{c}_{ab} e'_{c}, \qquad (9a)$$

$$[e'_{a}, e'_{n+b}]' = C^{c}_{ab} e'_{n+c}, \qquad (9b)$$

$$[e'_{n+a}, e'_{n+b}]' = -C^c_{ab} e'_c.$$
(9c)

Show further that if  $K_{ab} = C_{an}^m C_{bm}^n$  are the  $(n \times n)$  components of the Killing-form K of L, then the  $(2n \times 2n)$  components of the Killing-form K' of L' are given by

$$K'(e'_{a}, e'_{b}) = 2K_{ab},$$
 (10a)

$$K'(e'_{a}, e'_{n+b}) = 0,$$
 (10b)

$$K'(e'_{n+a}, e'_{n+b}) = -2K_{ab}.$$
 (10c)

What can be deduced from that regarding the preservation of semi-simplicity and compactness of a Lie-algebra under this process of  $\mathbb{C}$ -extension? (A Lie-algebra is called compact, iff the Killing-form is negative definite.)

#### Problem 8

We consider once more the process of  $\mathbb{C}$ -extension of a real Lie-algebra L into another real Lie-algebra L' of twice the dimension, as explained in the previous exercise. We wish to show by way of example that two non-isomorphic Lie-algebras L<sub>1</sub> and L<sub>2</sub> may have isomorphic  $\mathbb{C}$ -extensions L'<sub>1</sub> and L'<sub>2</sub>. Show that this is indeed the case for the two 3-dimensional Lie-algebras L<sub>1,2</sub> = Span{ $e_1, e_2, e_3$ } with

$$[e_1, e_2] = e_3$$
  $[e_2, e_3] = e_1$   $[e_3, e_1] = e_2$ , (11a)

$$[e_1, e_2] = e_3$$
  $[e_2, e_3] = e_1$   $[e_3, e_1] = -e_2$ . (11b)

Note that (11a) is just the Lie-algebra of Problem 1, denoted by  $\mathfrak{so}(3, \mathbb{R})$ , whereas (11b) corresponds to the Lie-algebra of Problem 6, i.e.  $\mathfrak{sl}(2, \mathbb{R})$ , as one sees by a change of basis  $e_1 = \frac{1}{2}(X^+ + X^-)$ ,  $e_2 = \frac{1}{2}(X^- - X^+)$  and  $e_2 = \frac{1}{2}H$  in (6). These two algebras are not isomorphic, as one sees by, e.g., looking that their Killing forms (one is negative definite, the other indefinite).

Let for a = 1, 2, 3 the six basis vectors for  $L'_1$  und  $L'_2$  be  $e'_a = 1 \otimes e_a$ ,  $e'_{3+a} = i \otimes e_a$ , one sees that the basis transformation exchanging  $e'_1$  with  $e'_4$  and  $e'_3$  with  $e'_6$  transforms the relations  $L'_1$  into that of  $L'_2$ .

The terminology is as follows: L is called a *real form* of L' if  $L' = \mathbb{C} \otimes_{\mathbb{R}} L$ . Hence we see that a given Lie-algebra may possess different (i.e. non-isomorphic) real forms, amongst them compact and non compact ones.

In the literature  $\mathbb{C} \otimes_{\mathbb{R}} L$  is often called the "complexification" of L, because this real object possesses an obvious complex structure that may be used to turn it into a complex Lie-algebra. We deliberately do *not* follow this terminology.