

In this tutorial class we explore three fundamental steps towards the so-called Higgs mechanism. Firstly, you will study *spontaneous symmetry breaking* for a discrete symmetry. Secondly, we consider a continuous (global) symmetry and stumble upon an example of the Goldstone theorem. Lastly, you delve into the simplest example of the abelian Higgs effect.

**Problem 5: spontaneous symmetry breaking I — discrete symmetry** Consider the Lagrangian

$$L = T - V = \frac{1}{2} \partial_\sigma \varphi \partial^\sigma \varphi - \left( \frac{1}{2} \mu^2 \varphi^2 + \frac{1}{4} \lambda \varphi^4 \right), \quad (1)$$

which is invariant under the  $\mathbb{Z}_2$  symmetry  $\varphi \rightarrow -\varphi$ .

- Find the minimum  $v$  of the total energy  $T + V$  for a constant field  $\varphi(x) \equiv \varphi$ . Sketch the potential for  $\mu > 0$  and  $\mu < 0$ .
- Expand the theory around the minima (i)  $v = 0$  for  $\mu > 0$  and (ii)  $v = \sqrt{-\mu^2/\lambda}$  for  $\mu < 0$ . By that we mean to perform the replacement  $\varphi(x) \mapsto v + \eta(x)$  in  $L$ .
- Is the original  $\mathbb{Z}_2$  symmetry visible in the re-written  $L$ ? What are the masses of the scalar field  $\eta(x)$  in both cases?

To this end, the choice of one of the two equivalent vacua  $v = \pm \sqrt{-\mu^2/\lambda}$  for  $\mu < 0$  breaks the original  $\mathbb{Z}_2$  symmetry. This means that the vacua do not have the symmetry of the original Lagrangian, which is called *spontaneous symmetry breaking*.

**Problem 6: spontaneous symmetry breaking II — Goldstone theorem** For the complex scalar field  $\phi = (\varphi_1 + i\varphi_2)/\sqrt{2}$  the Lagrangian takes the form

$$L = (\partial_\sigma \phi)^* (\partial^\sigma \phi) - \mu^2 \phi^* \phi - \lambda (\phi^* \phi)^2, \quad (2)$$

which has a continuous global  $U(1) \cong SO(2)$  symmetry given by  $\phi(x) \mapsto \exp(i\chi)\phi(x)$ .

- Find the minima for the total energy for constant scalar field and sketch the potential in the  $\varphi_1 - \varphi_2$  plane.
- Now, there is an entire circle of equivalent vacua, and we may select any point arbitrarily, say  $\varphi_1 = v$ ,  $\varphi_2 = 0$  with  $v^2 = -\mu^2/\lambda$ . Thus, we now expand the Lagrangian as

$$\phi(x) \mapsto \frac{(v + \eta(x) + i\rho(x))}{\sqrt{2}} \quad (3)$$

around  $\eta = 0$  and  $\rho = 0$ . What are the mass terms for the two real scalar fields  $\eta(x)$  and  $\rho(x)$ ?

As you should have seen in this example, spontaneously breaking of the continuous global  $U(1)$  symmetry leads to a massless scalar field, called the *Goldstone boson*. Intuitively, the arising massless spin-zero particle corresponds to the flat direction of the potential in the vicinity of a chosen vacuum. The massive scalar field describes excitations in the radial direction.

**Problem 7: spontaneous symmetry breaking III — abelian Higgs effect** Now, we promote the global  $U(1)$  symmetry to a local symmetry or gauge symmetry. This is done in three steps: (i) introduce local gauge transformations  $\phi(x) \mapsto \exp(i\chi(x))\phi(x)$ , (ii) replace the partial derivative by the gauge covariant derivative  $\partial_\sigma \rightarrow D_\sigma = \partial_\sigma - igA_\sigma$ , and (iii) introduce the kinetic term  $F_{\sigma\rho}F^{\sigma\rho}$  for the abelian gauge field  $A_\sigma$ . The resulting Lagrangian reads

$$L = (D_\sigma\phi)^*(D^\sigma\phi) - \mu^2\phi^*\phi - \lambda(\phi^*\phi)^2 - \frac{1}{4}F_{\sigma\rho}F^{\sigma\rho}. \quad (4)$$

As the situation becomes more evolved, we employ the insights gained in Problem 6. As the minima of the scalar potential remain the same, the minima for  $\mu < 0$  are given by  $v^2 = -\mu^2/\lambda$ , and the complex scalar is re-written as in (3). Employing a suitable gauge transformation, this can be expressed as

$$\phi(x) \mapsto \frac{(v + h(x))}{\sqrt{2}}. \quad (5)$$

- Insert (5) into the Lagrangian and sort the terms corresponding to kinetic terms, mass terms and interaction terms.
- What do you observe for the scalar field  $h$  and for the gauge field  $A$ ? Do they have masses?
- Since we broke a continuous symmetry, one may ask the following: Where is the Goldstone boson?

In conclusion, breaking a *local* symmetry evades the Goldstone theorem, i.e. there is no massless scalar field. In addition, the gauge field has acquired a mass term due to the symmetry breaking. Note that a mass term for gauge fields is not gauge invariant, but can be introduced by spontaneous symmetry breaking. The encountered phenomenon is the celebrated *Higgs effect*.