

Fermionic Sum Representations of Characters in Logarithmic Conformal Field Theory

Diplomarbeit

eingereicht von

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Februar 2007

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Parabase

Freudig war vor vielen Jahren,
Eifrig so der Geist bestrebt,
Zu erforschen, zu erfahren,
Wie Natur im Schaffen lebt.
Und es ist das ewig Eine,
Das sich vielfach offenbart;
Klein das Große, groß das Kleine,
Alles nach der eignen Art.
Immer wechselnd, fest sich haltend,
Nah und fern und fern und nah,
So gestaltend, umgestaltend -
Zum Erstaunen bin ich da.

Goethe

Abstract

Based on our article [FGK07], published in Nuclear Physics B, fermionic quasi-particle sum representations consisting of only one single fundamental fermionic form are presented for all characters of the logarithmic conformal field theory models with central charge $c_{p,1}$, $p \geq 2$.

These new representations are embedded in the surrounding field of Nahm's conjecture and modular forms in general. In this context, it is also shown that it is possible to correctly extract dilogarithm identities, which supports the derived fermionic character expressions even more.

In addition, other building blocks of the fermionic characters, with regard to the $SU(2)$ Wess-Zumino-Witten conformal field theory and Kač-Peterson characters of the affine Lie algebras are presented, which might be of importance for the future work on yet missing fermionic expressions.

To conclude, a conjecture for a physical quasi-particle interpretation for the new fermionic character expressions of the $c_{p,1}$ models is made, involving symplectic fermions.

Zusammenfassung

Basierend auf unserem im Journal Nuclear Physics B veröffentlichten Artikel [FGK07] werden in dieser Arbeit neue fermionische Summendarstellungen für alle logarithmischen konformen Feldtheorien mit der zentralen Ladung $c_{p,1}$, $p \geq 2$, präsentiert.

Diese Darstellungen, die nur aus einer fundamentalen fermionischen Form bestehen, werden insbesondere in das Umfeld der Vermutung Nahms und der modularen Formen im allgemeinen eingebettet. In diesem Kontext wird auch gezeigt, daßes möglich ist, Dilogaritmische Identitäten aus den hergeleiteten fermionischen Charakterausdrücken zu extrahieren. Diese erfahren hierdurch noch einmal zusätzliche Bestätigung.

Zusätzlich werden noch andere Bausteine für fermionische Charakterausdrücke im Hinblick auf die $SU(2)$ -Wess-Zumino-Witten-CFT und die Kač-Peterson-Charaktere der affinen Lie-Algebren präsentiert, die für zukünftige Arbeit auf diesem Gebiet von Wichtigkeit sein könnten.

Abschließend wird noch eine physikalische Quasi-Teilchen-Interpretation, die symplektische Fermionen beinhaltet, für die neuen fermionischen Charaktere der $c_{p,1}$ -Modelle gegeben.

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1. Introduction and Overview

Conformal field theories (CFTs) are quantum field theories that possess conformal symmetry. This extremely powerful symmetry even enables exact solutions of two-dimensional conformal field theories, which is mainly due to the fact that the corresponding symmetry algebra, the Virasoro algebra, is infinite-dimensional¹. As a consequence of this peculiarity, we will mostly consider two-dimensional conformal field theories in this thesis.

Let us now offer some of the numerous applications of two-dimensional conformal field theory, which justify its significance despite a four-dimensional reality.

First of all, conformal field theory plays a major role in string theory:

In contrast to an ordinary quantum field theory, where the basic objects are regarded as point particles, a string is an extended one-dimensional object and thus has internal degrees of freedom, which permit vibrations. These string vibrations are most naturally described on a two-dimensional surface that the string sweeps out of the higher-dimensional space-time during its propagation. The theory on this (Riemann) surface, the so-called world-sheet of the string, is described by a conformal field theory. Moreover, the whole particle spectrum of the string theory is given by only one fundamental object – the string – since the mentioned vibrations can be interpreted as the ‘particles’ of the theory.

Recalling the known properties of a particle like mass, momentum, charge and spin, it is astonishing that – besides these vibrations – elementary particles such as neutrinos, composed ones such as nuclei as well as stable and unstable ones can be all abstracted under the term ‘particles’. Following an idea, which originated in Landau’s theory of Fermi liquids, which was originally invented for studying liquid helium-3, we even obtain a new animal in the zoo: the quasi-particle.

These particle-like entities lead us to statistical mechanics, another large area of application of two-dimensional conformal field theory:

Here, conformal field theories describe systems at the critical point, where the correlation length diverges, the so-called critical phenomena.

And in particular, the quasi-particle concept is one of the most important in condensed matter physics, because it is one of the few known ways of simplifying the quantum mechanical many-body problem, and is applicable to an extremely wide range of many-body systems. It even has eminent experimental relevance:

For example, the existence of quasi-particles has been experimentally demonstrated (see e.g. [SGJE97]) concerning the fractional quantum Hall effect. This effect is explained by proposing that electrons, which are under the influence of powerful magnetic fields, form a quantum fluid made up of quasi-particles that have fractional electric charges.

¹In later chapters, this symmetry algebra is even extended to a so-called \mathcal{W} -algebra.

Störmer, Tsui and Laughlin were even awarded the 1998 Nobel Prize in Physics for the discovery [TSG82] and explanation [Lau83] of this effect.

In the next part, we provide an overview of our thesis, which deals with formal so-called bosonic-fermionic q -series identities, which nevertheless can be interpreted in terms of the just mentioned quasi-particles in the end:

Thus, precisely these quasi-particles actually close the gap between our abstract combinatorial identities and the role they (could) play for experimental research.

This thesis is organized as follows:

The aim of the first chapter 2 is to guide the reader through the aspects in conformal field theory, which are relevant for an understanding of our studies and observations in this thesis. Here we abstain from proofs and instead refer the reader to [FMS99, Sch95, Gab00, Gin88a] for details. In addition, [Sch94] delivers a more mathematical approach to CFT.

To stress the importance of symmetries in general, we start with elementary thoughts on this topic in section 2.1 and based on these ones, we will then claw our way through the different areas of conformal field theory.

After having introduced some useful concepts, in section 2.3 we turn to the elements of representation theory:

With the help of embedding structures for the different degenerate representations, we review a rough classification of all possible degenerate representations, which gives us a first hint how the characters of the different models eventually may look like.

In chapter 3, we consider the bosonic character expressions for these classified models:

At first, we explain the derivation of character expressions and q -series expansions for the simplest CFTs, the minimal models. This procedure is demonstrated in the case of the Tricritical Ising model, which will also later serve as the main example to clarify our approaches to fermionic character expressions of the minimal models. Then we turn our attention to logarithmic conformal field theories (LCFTs), especially to the triplet \mathcal{W} -algebra, which leads us to the $c_{p,1}$ series - a chief ingredient of our investigation.

With regard to LCFT, the introductory literature is more rare than for general CFT: the mainly used references are [Flo03] and [Gab03].

In this context, new structure elements, in particular indecomposable representations, are explained. Finally, this results in the bosonic character expressions for the $c_{p,1}$ series.

In addition, some other interesting bosonic expressions of parabolic theories are listed without going into too much detail.

This concludes the first part of the thesis, where we have only concerned the so-called 'bosonic' character expressions.

In chapter 4, the famous Rogers-Ramanujan identities, which constitute the link to an alternative formulation of all mentioned characters and hence play a key role in our work, are discussed extensively in the middle part involving various aspects of the theory of partitions and helpful combinatorial identities.

Afterwards, in chapter 5, the corresponding 'fermionic' expressions are derived in the same order as for the bosonic expressions.

At first, we illustrate the construction of fermionic character expressions for the complete set of minimal models by means of the Tricritical Ising model. A fundamental fermionic form is defined in this context.

In contrast to these fermionic characters of the minimal models, which are essentially known, we present new expressions for the $c_{p,1}$ series in section 5.2, which already have been accepted for publication in the journal Nuclear Physics B:

- Fermionic Expressions for the Characters of $c_{p,1}$ Logarithmic Conformal Field Theories
Nucl. Phys. B (2007), [hep-th/0611241]

Then we turn to the parabolic models: Here we present expressions of fundamental fermionic form type, but consisting of more than only one fundamental form.

In addition, other building blocks of the fermionic characters are presented:

These are explained in the context of the $SU(2)$ Wess-Zumino-Witten conformal field theory and Kač-Peterson characters of the affine Lie algebras.

In chapter 6, we continue with an exciting connection between hypergeometric q -series and modular forms. In this context, we discuss Nahm's remarkable conjecture and allude the existence of Dilogarithm identities, which appear in each CFT's environment.

At the end in chapter 7, a physical quasi-particle interpretation for the minimal models is extended to the $c_{p,1}$ series, particularly addressing the $c = -2$ model.

2. Conformal Field Theory

2.1. Symmetries

Symmetries are of universal importance in human culture: they find themselves in paintings, sculptures, constructions, compositions, dances and poems. Colloquially, people equate beauty with symmetry. And definitely, the fascinating ideas of symmetry are in parts reducible to the perfection and regularity, which symmetry guarantees. Nonetheless, symmetries do not only occur in art and architecture, but also in nature, without any human's effort. That is the reason why you encounter symmetries that often in physics. The aim of physics is to correlate different quantities so that we are able to make predictions, which are based on our observations. In this context, the symmetry of nature plays a decisive role: A physical system being symmetric, can be described on the basis of less observations than a system without any symmetries. Thus, symmetries are important to find elegant solutions, but normally the nature do not offer a perfect symmetry. Therefore, it is extremely challenging to find coherences, which give sense to the symmetry in an asymmetrical reality: In my opinion, this interplay is what makes physics so exciting. When a law of physics does not change upon some transformation, that law is said to exhibit a symmetry. Especially in modern physics, the importance of symmetry cannot be overstated.

In particular, note the concept of (explicit) symmetry breaking: By adding terms that do not respect the symmetry, e.g. to the Lagrangian of the theory, the symmetry is broken. In the case of spontaneous symmetry breaking, the vacuum of the theory breaks the symmetry¹.

2.1.1. Noether's Theorem and Ward Identities

In order to explain the occurrence of symmetries, let us now start with one of the most profound observations in theoretical physics, namely Noether's Theorem, which states that every continuous symmetry of the action is associated with a current, and hence with a charge, that is classically conserved².

The most important conserved current associated with space and time translation invariance is the energy-momentum tensor: This tensor is defined in terms of the variation of the action S under changes of the space-time metric via

$$\delta S = \frac{1}{2} \int d^d x \sqrt{g} T^{\mu\nu} \delta g_{\mu\nu} . \quad (2.1.1)$$

¹A prominent example in this context is the Higgs mechanism.

²Of course, this relation also holds conversely.

In particular, in two dimensions, i.e. $d = 2$ in (2.1.1), any CFT has an infinite set of conserved charges, the Virasoro generators, as will become clear in later sections,

At the quantum level, a continuous symmetry leads to constraints relating different correlation functions, the objects we want to calculate in general in field theory. The knowledge of all correlation functions means that the theory is completely solved: We are able to compute any scattering amplitude, which eventually establishes the connection between theory and reality. The measure on correlation functions may also be expressed via the so-called Ward identities. Furthermore, as the consequence of a symmetry of the action, they allow us to identify the conserved charge, which is connected with conformal transformations (see section 2.2.1).

2.1.2. Conformal Symmetry

Since we want to study conformal field theories, the question arises, how the symmetries that these theories feature may look like. For a first clue, let us consider the transformation

$$z' = \xi z \tag{2.1.2}$$

for complex ξ : While the phase of ξ is a rotation of the system, its magnitude is a rescaling of the size of the system. Its effect on a two-dimensional region is displayed in figure 2.1.

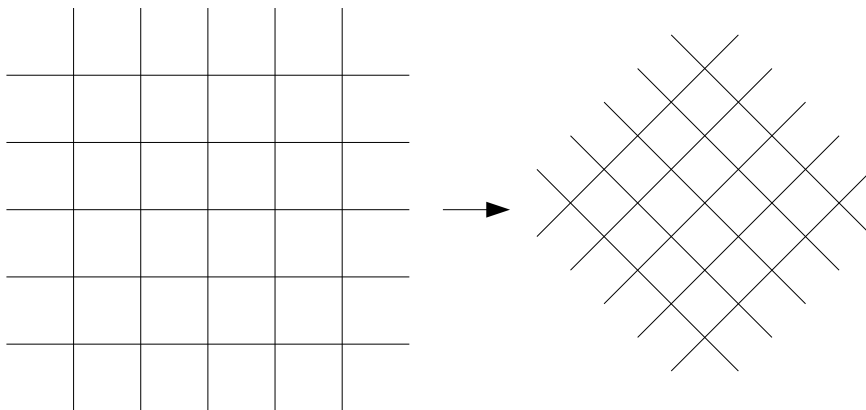


Figure 2.1.: The effect under the special conformal transformation (2.1.2)

This kind of rigid scaling can be generalized to conformal transformations: Infinitesimal distances are rescaled by a position-dependent factor. A theory with this invariance is called conformal field theory.

The conformal group is formed by the set of conformal transformations, i.e. invertible mappings $x \mapsto x'$, which leave the metric tensor invariant up to a scale:

$$g'_{\mu\nu}(x') = \Lambda(x)g_{\mu\nu}(x) , \tag{2.1.3}$$

$g_{\mu\nu}$ being the metric tensor in a d -dimensional space-time, which contains the Poincaré group as a subgroup. Despite a local dilation, the conformal group preserves angles between two arbitrary curves, hence the word conformal.

The finite conformal transformations are summarized in the following list:

$$x'^{\mu} = x^{\mu} + a^{\mu} \quad \text{translations} \quad (2.1.4)$$

$$x'^{\mu} = \alpha x^{\mu} \quad \text{dilations} \quad (2.1.5)$$

$$x'^{\mu} = M^{\mu}_{\nu} x^{\nu} \quad \text{rigid rotations} \quad (2.1.6)$$

$$x'^{\mu} = \frac{x^{\mu} - b^{\mu} x^2}{1 - 2bx + b^2 x^2} \quad \text{special conformal transformations (SCTs)} . \quad (2.1.7)$$

The last transformations (2.1.7) are the only ones that are probably not so familiar to the reader: They are composed of a translation and an inversion $x^{\mu} \mapsto \frac{x^{\mu}}{x^2}$. In comparison to the above introduced rigid special conformal transformations, these generalized transformations take infinitesimal squares into infinitesimal squares, but rescale them by a position-dependent factor.

The corresponding generators of the conformal group are

$$P_{\mu} = -i\partial_{\mu} \quad \text{translations} \quad (2.1.8)$$

$$D = -ix^{\mu}\partial_{\mu} \quad \text{dilations} \quad (2.1.9)$$

$$L_{\mu\nu} = i(x_{\mu}\partial_{\nu} - x_{\nu}\partial_{\mu}) \quad \text{rotations} \quad (2.1.10)$$

$$K_{\mu} = -i(2x_{\mu}x^{\nu}\partial_{\nu} - x^2\partial_{\mu}) \quad \text{SCTs} . \quad (2.1.11)$$

The commutation relations between these generators define the conformal algebra. Note that there exists an isomorphism between the conformal group in d dimensions and the noncompact group $SO(d+1, 1)$, which enables an even simpler form for the commutation relations.

A field theory has conformal symmetry at the classical level if its action is invariant under conformal transformations. It is important to note that quantum conformal symmetry in general does not follow from classical conformal symmetry: A quantum field theory does not make sense without a regularization prescription that introduces a scale in the theory. Adding a scale, i.e. adding a mass term like to the theory, breaks conformal invariance. In general, quantum effects also disturb conformal invariance, since they introduce a renormalization scale dependence on physical parameters like e.g. coupling constants. This dependence destroys invariance under scale transformations $q \rightarrow \lambda q$ in momentum space, except at particular values of the parameters, which constitute a renormalization-group fixed point.

2.2. The Geometry of the Space

Not having specified our space-time yet, in the following, we only want to treat two-dimensional CFT, i.e. there is only one space and one time direction.

Having fixed the space-time dimensions, the occurring symmetries nevertheless depend on the chosen geometry of the space, on which the theory is defined. While starting on the complex plane by complexifying our coordinates, there are also other possibilities: The simplest example, the infinite plane is topologically equivalent to a sphere, i.e. a Riemann surface of genus $h = 0$. In general, one may study CFTs defined on a Riemann surface of arbitrary genus h , which is the basis for calculating

multiloop scattering amplitudes in string theory. But in contrast to arbitrary genus Riemann surfaces, it is natural to study CFTs on the simplest non-spherical case, the torus ($h = 1$), equivalent to a plane with periodic boundary conditions in two directions, i.e. in time and space direction. Therefore, different properties can be derived by considering the CFT on the complex plane, on the cylinder or on a torus.

2.2.1. On the Complex Plane

As in arbitrary dimensions, one is usually interested in conformal field theories in Minkowski space. But since it is more comfortable to be able to make use of the many powerful theorems, which are provided by working with complex functions, at first a Wick rotation to the Euclidean space is performed, which is then mapped to the complex plane. Anyway, if we complexify the coordinates, it becomes irrelevant in this context to distinguish between Euclidean space and Minkowski space. The Wick rotation $x^0 = -ix^2$, which means that the time coordinate becomes imaginary, nevertheless has to be treated carefully, although it naturally improves convergence properties of important quantities - not only in two dimensional CFTs - like path integrals or propagators.

Conformal Transformations

Regaining after some confusion on the complex plane and introducing the coordinates $z = x_1 + ix_2$ and $\bar{z} = x_1 - ix_2$ ³, the Cauchy-Riemann equations arise, by demanding that each conformal transformation should leave the metric tensor invariant up to a scale, in the following form:

$$\partial_{\bar{z}}w(z, \bar{z}) = 0, \quad \partial_z\bar{w}(z, \bar{z}) = 0 \quad (2.2.1)$$

with $\partial z \equiv \frac{\partial}{\partial z}$ and analogously for \bar{z} . Thus, conformal transformations can now be written as any analytic transformations

$$z \mapsto w(z) \quad \text{and} \quad \bar{z} \mapsto \bar{w}(\bar{z}) \quad (2.2.2)$$

of the coordinates z and \bar{z} . Therefore, the conformal group in two dimensions is the set of all analytic maps, which is infinite dimensional, since all functions analytic in some neighborhood are specified by an infinite number of parameters: the coefficients of a Laurent series $\sum_n a_n z^n$. The infinitesimal versions of these coordinate transformations are generated by $l_n = -z^{n+1}\partial_z$, which satisfy the classical conformal algebra, also known as Witt algebra:

$$[l_n, l_m] = (n - m)l_{n+m} . \quad (2.2.3)$$

The same holds for the antiholomorphic counterpart, i.e. the barred quantities, and additionally $[l_n, \bar{l}_m] = 0$. Note that this decoupling into two sectors is not always the case, especially not for the later discussed logarithmic CFTs (see section 3.2).

³i.e. light-cone coordinates in a Minkowski space-time and therefore in the following referred to as left and right moving coordinates, respectively.

In contrast to the just mentioned local conformal transformations, global conformal transformations must be defined everywhere and be invertible. The complete set of such mappings, the so-called projective or Moebius transformations are given by

$$f(z) = \frac{az + b}{cz + d}, \quad a, b, c, d \in \mathbb{C}, \quad ad - bc = 1. \quad (2.2.4)$$

The global conformal group is isomorphic to $SL(2, \mathbb{C})$, which in turn is isomorphic to the Lorentz group in four dimensions: $SO(3, 1)$.

The physical space, a two-dimensional submanifold, is reobtained, if needed at all, via the reality condition $z^* = \bar{z}$.

Primary Fields

A field Φ that transforms as

$$\Phi(z, \bar{z}) \mapsto \Phi'(w, \bar{w}) = \left(\frac{\partial w}{\partial z}\right)^{-h} \left(\frac{\partial \bar{w}}{\partial \bar{z}}\right)^{-\bar{h}} \Phi(z, \bar{z}) \quad (2.2.5)$$

under any local conformal transformation is called a primary field: $(h, \bar{h}) = (\frac{1}{2}(\Delta + s), \frac{1}{2}(\Delta - s))$ being the (anti)holomorphic conformal dimensions. Hence, the bar does not indicate complex conjugation. The resulting sum $\Delta = h + \bar{h}$ is called the scaling dimension, whereas $s = h - \bar{h}$ is known as conformal spin. The class of primary fields plays an astonishing role in CFT: any field that does not transform as in (2.2.5) is called secondary field. All primary fields are also quasi-primary, but the reverse is not true.

The Energy-Momentum Tensor

As in arbitrary dimensions, the main object in a two-dimensional CFT is the energy-momentum tensor $T_{\mu\nu}$, which is by the way a quasi-primary field that is not primary. Besides the conservation law

$$\nabla^\mu T_{\mu\nu} = 0, \quad (2.2.6)$$

local scale invariance governs it to be traceless:

$$T^\mu{}_\mu = 0. \quad (2.2.7)$$

With respect to the complexified coordinates, the energy-momentum tensor now splits into two components $T \equiv T_{zz} = T_{11} - T_{22} + 2iT_{12}$ and $\bar{T} \equiv T_{\bar{z}\bar{z}} = T_{11} - T_{22} - 2iT_{12}$, which only depend on z and \bar{z} respectively, due to the conservation law (2.2.6). This splitting into two chiral halves, one being only of holomorphic, the other of antiholomorphic dependence, is a feature that occurs often, especially for the minimal models, which will be our first examples. Therefore, following discussions will be sometimes restricted to the chiral components only, automatically including that the same relations hold for the other half as well.

The Generator of Conformal Transformations

Hence, only considering the holomorphic component of the energy-momentum tensor, the current for an infinitesimal transformation takes the form $T(z)\epsilon(z)$, $\epsilon(z)$ also being the holomorphic component of an infinitesimal conformal change of coordinates, the corresponding charge may be written as

$$Q_\epsilon = \frac{1}{2\pi i} \oint dz \epsilon(z) T(z) . \quad (2.2.8)$$

Thus, Q_ϵ generates conformal transformations of the global form

$$\Phi(w, \bar{w}) \mapsto \Phi'(w, \bar{w}) = \left(\frac{\partial f(w)}{\partial w} \right)^h \phi(f(w), \bar{w}) , \quad (2.2.9)$$

with $f(w) = w + \epsilon(w)$.

In the infinitesimal form they look like

$$\delta_\epsilon \Phi(w, \bar{w}) = h \partial_w \epsilon(w) \Phi(w, \bar{w}) + \epsilon(w) \partial_w \Phi(w, \bar{w}) . \quad (2.2.10)$$

Here w and \bar{w} , which the field Φ in general both depends on, are independent variables and therefore also transform independently.

The quantum version of this transformation is a special case of the above mentioned conformal Ward identity

$$\delta_\epsilon \Phi(w, \bar{w}) = -[Q_\epsilon, \Phi(w, \bar{w})] \quad (2.2.11)$$

The commutator may be evaluated with the help of contour integrals and operator product expansions (OPEs), which will be introduced in the section (2.2.2).

It is interesting to note, that the classical charge conservation can be expressed with the fact that the evaluation of Q_ϵ on the cylinder (see section 2.2.2) is independent of the time, i.e. independent of the contour integral due to Cauchy's theorem.

Towards the Virasoro Algebra

As usual in a quantum theory, the measurement of an exact position of a quantum field is always associated with infinite fluctuations. Thus, correlation functions have singularities when the coordinates of two or more fields coincide. The behavior of this kind of divergences is expressed in a short-distance product of operators (operator product expansion). For $T(z)$, the OPE reads

$$T(z)T(w) = \frac{c/2}{(z-w)^4} + \frac{2T(w)}{(z-w)^2} + \frac{\partial T(w)}{z-w} + \dots \quad (2.2.12)$$

Here the ordinary commuting number c is called the central charge and the dots denote an infinite number of regular terms, which appear in almost each OPE and are usually omitted. In general, an OPE is given by a convergent expansion of the product of two fields at different points as a sum of local fields.

The central charge or conformal anomaly constitutes a soft breaking of conformal symmetry, since it introduces a macroscopic scale into the theory. It can be shown to be proportional to the Casimir energy.

In terms of the Laurent modes L_n , the energy-momentum tensor $T(z)$ takes the form

$$T(z) = \sum_{n \in \mathbb{Z}} L_n z^{-n-2} . \quad (2.2.13)$$

Its modes can be expressed as

$$L_n = \oint \frac{dz}{2\pi i} z^{n+1} T(z) , \quad (2.2.14)$$

where the integration is along a closed contour that encircles the origin counter-clockwise. The OPE relation (2.2.12) then yields the celebrated Virasoro algebra for the modes L_n

$$[L_n, L_m] = (n - m)L_{n+m} + \frac{c}{12}n(n^2 - 1)\delta_{n+m,0} . \quad (2.2.15)$$

The same procedure for the bared quantities yields the antiholomorphic counterpart of (2.2.15). As it already has been the case for the Witt algebra, holomorphic and antiholomorphic components decouple. It is the algebra of analytic transformations of z which are generated by $l_n = -z^{n+1} \frac{d}{dz}$ that form the two-dimensional conformal group, together with a central extension. To summarize, any CFT has an infinite set of conserved charges, the Virasoro generators, which act in the Hilbert space and satisfy the algebra (2.2.15). The set of $\{L_{-1}, L_0, L_1\}$ (and their antiholomorphic counterparts) generates $\mathfrak{sl}(2, \mathbb{C})$ in the Hilbert space, a closed subalgebra of the Virasoro algebra without central charge. The vacuum $|0\rangle$ is a singlet - as it should be - under this subalgebra. $L_0 + \bar{L}_0$ in particular, as we will see later in section 2.2.2, generates time translations in radial quantization and is therefore proportional to the Hamiltonian of the system.

The Hilbert space of physical states of a CFT is linked to representations of the Virasoro algebra. The (left, i.e. holomorphic) Hamiltonian L_0 of these representations, which are the so-called highest weight modules, is bounded from below. To this lowest L_0 -eigenvalues correspond highest weight states $|h, c\rangle$, which are characterized by the properties

$$L_0|h, c\rangle = h|h, c\rangle \quad (2.2.16)$$

$$L_n|h, c\rangle = 0 \quad \forall n > 0 \quad (2.2.17)$$

Furthermore, there exists a simple one-to-one correspondence between these highest weight states and primary fields, which holds in general for states $|\Phi\rangle$ in the Hilbert space and fields $\Phi(z, \bar{z})$, called vertex operators for the state $|\Phi\rangle$, via the relation

$$|\Phi\rangle = \lim_{z, \bar{z} \rightarrow \infty} \Phi(z, \bar{z})|0\rangle . \quad (2.2.18)$$

2.2.2. On the Cylinder

In a Euclidean theory, the time direction is somewhat arbitrary. In particular, it may be chosen as the radial direction from the origin. The use of complex coordinates then allows a representation of commutators in terms of contour integrals, making the operator product expansion (OPE) (see (2.2.12)) a particularly useful computational tool.

Radial Quantization

Motivating the choice of space and time that leads to radial quantization of two-dimensional CFTs, we start with a theory on an infinite cylinder: time t flowing along the flat direction of the cylinder from $-\infty$ to $+\infty$ and space x being compactified on a circle of circumference L . After having introduced a complex coordinate $t + ix$, the cylinder is mapped to the complex plane via

$$z = e^{\frac{2\pi(t+ix)}{L}}, \quad (2.2.19)$$

like it is sketched in figure 2.2. Then the surface at $t = -\infty$ is mapped to the origin $z = 0$, while the surface at $t = \infty$ is mapped to a circle with infinite radius $|z| = \infty$.

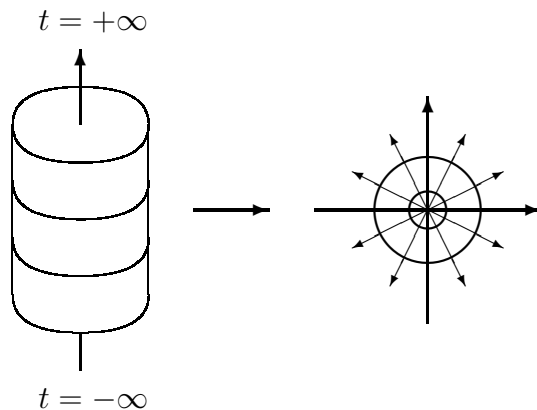


Figure 2.2.: After mapping the cylinder on the plane, the time flows radially outwards.

While the time changes radially, space on fixed-time annuli rotates clockwise or counterclockwise, respectively: hence the common terms left- and right-movers.

Within radial quantization, time ordering becomes radial ordering:

$$\mathcal{R}\Phi_1(z)\Phi_2(w) = \begin{cases} \Phi_1(z)\Phi_2(w) & \text{if } |z| > |w| \\ \Phi_2(w)\Phi_1(z) & \text{if } |z| < |w| \end{cases}. \quad (2.2.20)$$

For fermions, a minus sign is added in front of the second expression. Furthermore, with the property that circles around the origin are now fixed-time contours, equal time commutators can be obtained with the help of OPEs.

The following integral, where $a(z)$ and $b(z)$ are two holomorphic fields and the integration contour encircles w counterclockwise, may be evaluated by inserting the

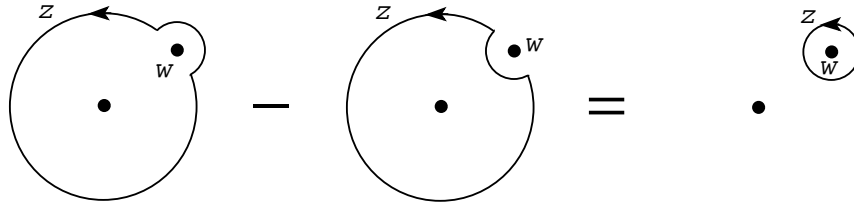


Figure 2.3.: Evaluating a contour integral yields a commutator.

OPE to yield a commutator as shown in figure 2.3.

$$\begin{aligned} \oint_w dza(z)b(w) &= \oint_{C_1} dza(z)b(w) - \oint_{C_2} dzb(w)a(z) \\ &= [A, b(w)] . \end{aligned} \quad (2.2.21)$$

Finally, the commutator $[A, B]$ of two operators, each the integral of a holomorphic field, i.e. $A = \oint a(z)dz$ and $B = \oint b(z)dz$, is obtained by integrating (2.2.21) over w :

$$[A, B] = \oint_0 dw \oint_w dza(z)b(w) \quad (2.2.22)$$

2.2.3. On the Torus



Figure 2.4.: A doughnut being topological equivalent to a torus

An astonishing result in [Car86], which was later proofed rigorously in [Nah91], is that conformal invariance of a quantum field theory on a two-dimensional sphere, S^2 , already enforces modular invariance of its partition function on a torus.⁴ So let us come to modular invariance now. As already mentioned, the geometry of the space, on which the theory is defined, imposes physical constraints on various

⁴The proof applies only for theories with a diagonalizable L_0 , but the result should apply for LCFTs as well [Flo96].

quantities. Since a two-dimensional torus is characterized by its modular parameter τ , these constraints mirror in the dependence on τ .

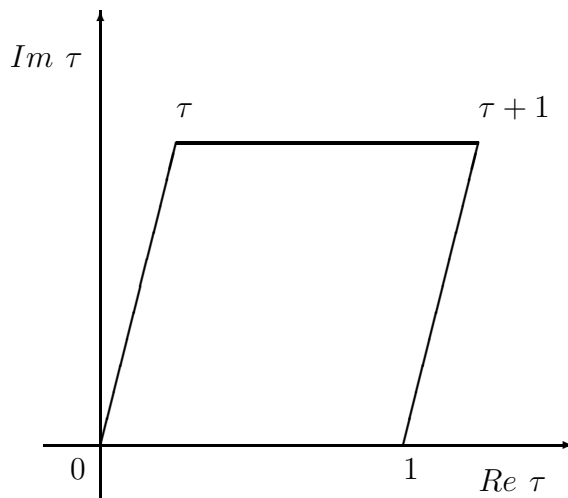


Figure 2.5.: The parameter τ defining a lattice, i.e. a torus.

The main advantage of studying CFTs on a torus is the imposition of constraints on the operator content from the requirement that the partition functions must be independent of the choice of the modular parameter τ for a given torus: $\tau \in \mathbb{C}$, $Im \tau > 0$ is the ratio of two complex numbers ω_1 and ω_2 , which are the periods of a lattice that is obtained on the complex z plane by identifying $z \sim z + \omega_1$ and $z \sim z + \omega_2$, i.e. after gluing together the opposite sides of the parallelogram, which is spanned by $\omega_{1,2}$, we get a torus. The demanded modular invariance of the partition functions is connected with a linear fractional transformation with integer parameters for τ :

$$\tau \mapsto \frac{a\tau + b}{c\tau + d} \quad , \quad a, b, c, d \in \mathbb{Z} \quad , \quad ad - bc = 1 \quad (2.2.23)$$

Furthermore, since the sign of all parameters may be simultaneously changed without affecting the transformation, the resulting modular group is $\frac{SL(2, \mathbb{Z})}{\mathbb{Z}_2} = PSL(2, \mathbb{Z})$. The two generators for this group and the corresponding operations in the upper half-plane are

$$T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \quad \text{with} \quad \mathcal{T} : \tau \mapsto \tau + 1 \quad (2.2.24)$$

$$S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \quad \text{with} \quad \mathcal{S} : \tau \mapsto -\frac{1}{\tau} . \quad (2.2.25)$$

These two transformations satisfy $(\mathcal{S}\mathcal{T})^3 = \mathcal{S}^2 = 1$.

Calculating one-loop closed string amplitudes, for example, one must only include the contributions from all inequivalent tori, i.e. the set of tori in the fundamental domain of the modular group. The fundamental domain, which is sketched in figure

2.6, is a domain of the upper half-plane such that no pair of points within can be reached through a modular transformation and any point outside can be reached from a unique point inside. Thus, the separate points within the fundamental domain belong to all possible inequivalent tori. The fundamental domain of the torus is given by the region

$$\mathcal{F} \equiv \left\{ -\frac{1}{2} < \operatorname{Re}(\tau) \leq \frac{1}{2}, \operatorname{Im}(\tau) > 0, |\tau| \geq 1, \right. \\ \left. \text{with the further restriction that } \operatorname{Re} \tau \geq 0 \text{ if } |\tau| = 1 \right\}. \quad (2.2.26)$$

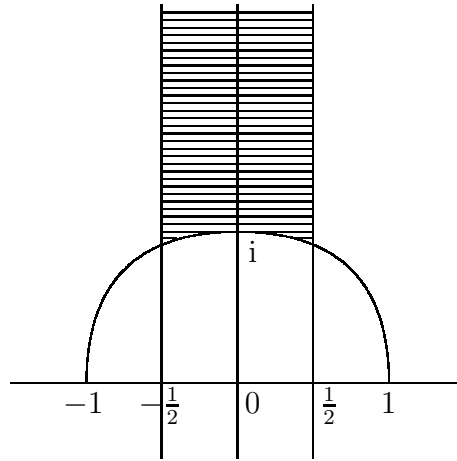


Figure 2.6.: The fundamental domain of the torus

The Partition Function

An important quantity to consider in this context is the partition function, which is formally defined as [Car86]⁵

$$Z(\tau, \bar{\tau}) \equiv \operatorname{Tr}(q^{-\frac{c}{24} + L_0} \bar{q}^{-\frac{c}{24} + \bar{L}_0}), \quad (2.2.27)$$

with $q = e^{2\pi i\tau}$, $\bar{q} = e^{2\pi i\bar{\tau}}$ and $H = L_0 + \bar{L}_0$ being the Hamilton operator. Its modular invariance is an extremely powerful tool in CFT. According to the decomposition of the Hilbert space into a sum of (irreducible) representations of the conformal algebra, the torus partition function assumes the form

$$Z(\tau, \bar{\tau}) = \sum_{h, \bar{h}} \mathcal{N}_{h, \bar{h}} \chi_h(\tau) \chi_{\bar{h}}(\bar{\tau}), \quad (2.2.28)$$

where $\chi_h(q)$ is the character for the representation of the chiral symmetry algebra with highest weight h . In general, the characters are certain modular functions

⁵similar to $Z = \operatorname{Tr} e^{-\beta H}$ in a statistical quantum field theory

which can be viewed as the zero-point partition functions on a torus: Fourier expansions around $\tau = +i\infty$ are just the q -series, which will be in the focus in later chapters. The symmetric matrix $\mathcal{N}_{h\bar{h}}$ consists of non-negative integer entries and $\mathcal{N}_{00} = 1$. Furthermore, it provides an elegant way to define whether the underlying symmetry algebra is maximal extended or not: The former is the case if $\mathcal{N}_{h\bar{h}}$ is diagonal. The modular invariance of the partition function forces the characters to be modular forms of weight 0.

See section C in the appendix for a connection of the partition functions to the A-D-E classification.

2.3. Representation Theory

The energy eigenstates of L_0 and \bar{L}_0 decompose into representations of the local conformal algebra, which is the Virasoro algebra for minimal models, much in the same way as the energy eigenstates of a rotation-invariant system fall into irreducible representations of $SU(2)$. So, similar to the highest weight construction with angular momentum operators, let us now construct representations of the Virasoro algebra.

2.3.1. The Verma Module

By applying the raising operators L_{-m} ($m > 0$) in all possible ways, the so-called descendant states are obtained:

$$L_{-k_1} L_{-k_2} \dots L_{-k_n} |h\rangle, \quad (1 \leq k_1 \leq \dots \leq k_n). \quad (2.3.1)$$

Each of these states is an eigenstate of L_0 with eigenvalue

$$h + \sum_{i=1}^n k_i = h + N, \quad (2.3.2)$$

where N is called the level of the state. $|h\rangle$ denotes the highest weight state with eigenvalue h of L_0 , i.e. $L_0|h\rangle = h|h\rangle$. It is interesting to note that this kind of states are asymptotic states, which means that they are created by acting with a primary field operator $\phi(0)$ of conformal dimension h on the vacuum $|0\rangle$. The module, which is built upon such a highest weight state and hence consists of all possible linear combinations of the corresponding descendant states, is called the Verma module $V(c, h)$. It admits a natural gradation

$$V(c, h) = \bigoplus_{n \geq 0} V(c, h)_{(n)}, \quad (2.3.3)$$

where

$$V(c, h)_{(n)} = \{v \in V(c, h) \mid L_0 v = (h + n)v\}. \quad (2.3.4)$$

A basis for the eigenstates $V(c, h)_{(n)}$ is given by the states

$$L_{-k_1} \dots L_{-k_m} |h, c\rangle, \quad \sum_{i=1}^m k_i = n, \quad k_1 \geq k_2 \geq \dots \geq k_m > 0. \quad (2.3.5)$$

The dimension of the eigenspace $V(c, h)_{(n)}$ is given by Euler's partition function $p(n)$, defined by

$$\frac{1}{\phi(q)} \equiv \prod_{n=1}^{\infty} \frac{1}{1-q^n} = \sum_{n=1}^{\infty} p(n)q^n, \quad (2.3.6)$$

This function counts the number of ways of partitioning n into a set of positive integers.

In general, the Verma module $V(c, h)$ is not irreducible. It may contain invariant subspaces. The hermiticity condition $L_n^\dagger = L_{-n}$ ($n \in \mathbb{Z}$), together with the normalization $\langle h, c | h, c \rangle = 1$ uniquely define a symmetric sesquilinear form $\langle \cdot | \cdot \rangle$, the Shapovalov form, on the Verma module. The radical of this form is such an invariant subspace. It consists of the so-called null states or singular vectors $v \in V(c, h)$, which are orthogonal to every state $w \in V(c, h)$. Since the complete set of all null states is the unique maximal ideal in $V(c, h)$, it follows that the coset vector space $M(c, h) \equiv \frac{V(c, h)}{\text{Rad}(\langle \cdot | \cdot \rangle)}$ is an irreducible highest weight module.

In the physical world, this means that the null states, being orthogonal to every other state, decouple from all correlation functions. Consequently, they can be omitted and hence the physical spectra consist of irreducible highest weight modules $M(c, h)$. Furthermore, there exists a one-to-one correspondence between null states and the roots of the so-called Kač determinant, which is the determinant of the so-called Gram matrix of inner products of all basis states. Hence it is an important tool in the investigation of the structure of Verma modules and their irreducible quotients. The Kač determinant is given by

$$\det M^l = \alpha_l \prod_{\substack{r, s \geq 1 \\ rs \leq l}} [h - h_{r, s}(c)]^{p(l-rs)}. \quad (2.3.7)$$

Here $p(k)$ is the number of partitions of the integer k and α_l is a positive constant independent of h or c .

By analyzing the Kač determinant or, to specify it, its vanishing curves $h = h_{(r, s)}(c)$ (see figure 2.3.1), one can show that the Verma module is irreducible for central charges $c > 1$ and highest weights $h > 0$. Requiring unitarity [Lan88], i.e. all states must have positive norm, the possible c and h values are restricted to $c \geq 1$ and $h \geq 0$.

2.3.2. Embedding Structures

Since each submodule of a Verma module can be written as a sum of submodules, which are themselves Verma modules, the embedding structures of Verma modules are very important to classify different representations. The representations belonging to $h = h_{r, s}(c)$ in (2.3.7) are called degenerate representations, since they possess at least one singular vector, which means that the corresponding Verma module $V(c, h)$ possesses an embedded submodule. Otherwise the Verma module $V(c, h)$ is irreducible. Parametrizing the highest weights as $h_{r, s} = -k + \frac{1}{4}((2k+1)(r^2 + s^2) + 2\sqrt{k(k+1)}(s^2 - r^2) - 2rs)$, which is just a more convenient parametrization of (2.3.7) for us, it can be shown that every degenerate representation of the Virasoro

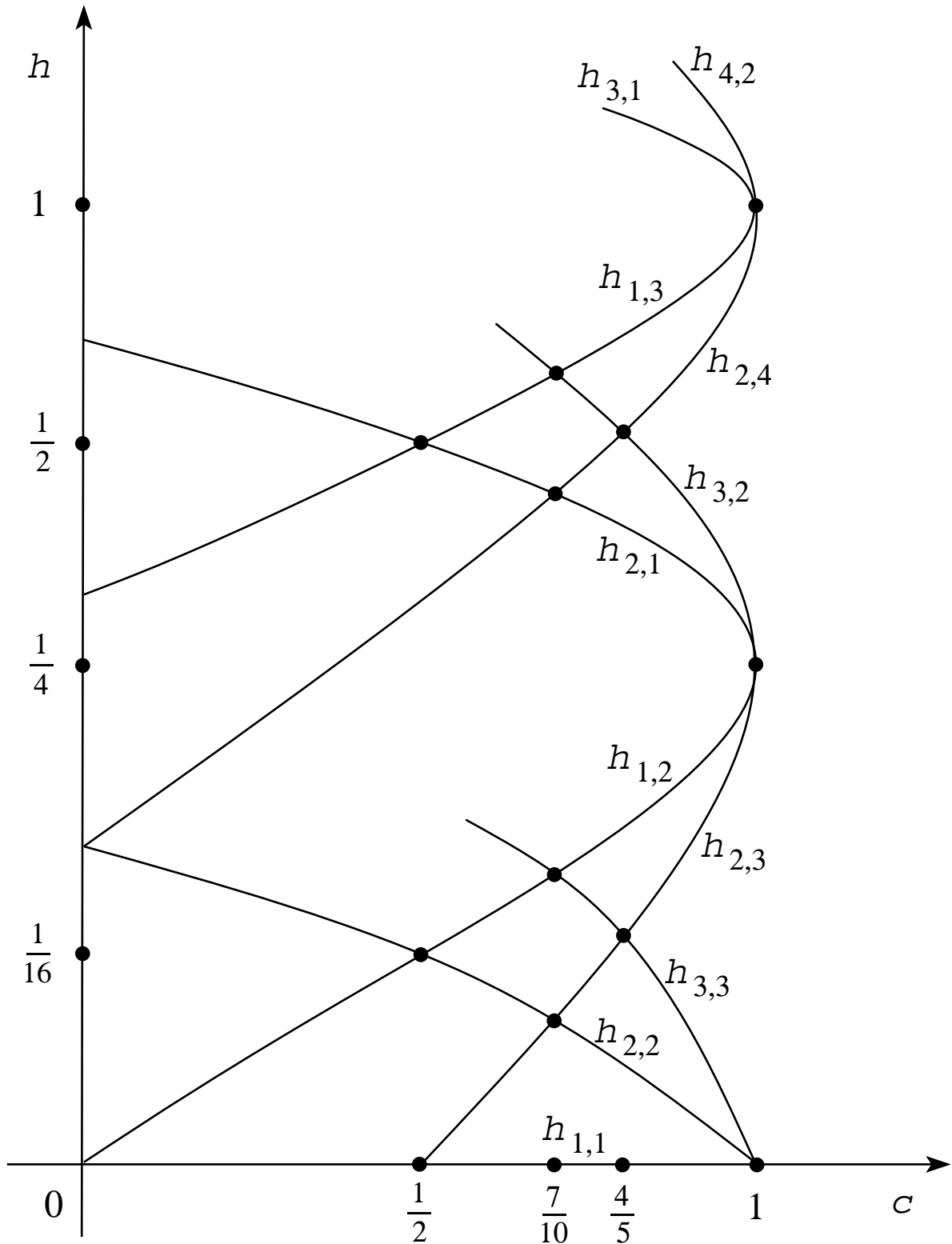


Figure 2.7.: The vanishing curves due to the Kač determinant (taken from [Gin88a])

algebra belongs to one of the following classes as determined by $k, k' := \sqrt{k(k+1)}$, of which especially the minimal and the logarithmic models will be of interest to us in this thesis; about the parabolic models should not be talked about that extensively. Much effort, e.g. the BRST-approach in [Fel89], has been invested to classify the embedding structures of Verma modules, which lead to irreducible representations. In the following embedding structures, which were proven in [FF83], each module $V_{a,b}$ is represented by a pair of Kač indices (a, b) , furthermore, each arrow represents an inclusion: $A \leftarrow B$ means $B \subset A$ and arrows are transitive.

1. $k, k' \in \mathbb{Q}$. In this case k must be of the form $\frac{(p-p')^2}{4pp'}$ with $p, p' \in \mathbb{N}$ coprime, and therefore $c = 1 - 6\frac{(p-p')^2}{pp'}$. In addition, one has $h_{r,s} \in \mathbb{Q} \forall r, s \in \mathbb{Z}$. One distinguishes between three subcases:

- **Minimal Models** ($p > p' > 1$). The highest weights $h_{r,s}^{p,p'} = \frac{(pr-p's)^2 - (p-p')^2}{4pp'}$ have the usual form. The infinite embedding structure for the Verma module $V_{r,s}$ with $1 \leq r < p', 1 \leq s < p$ has the form

$$\begin{array}{ccccccccccc}
 & & \swarrow & (r, -s) & \leftarrow & (r, s+2p) & \leftarrow & V_{r, -s-2p} & \leftarrow & (r, s+4p) & \cdots \\
 (r, s) & & & & & \times & & \times & & \times & \\
 & & \searrow & (r, 2p-s) & \leftarrow & (r, s-2p) & \leftarrow & (r, 4p-s) & \leftarrow & (r, s-4p) & \cdots,
 \end{array}$$

which is based on the infinite number of singular vectors.

- **Logarithmic models** ($p > p' = 1$). Here one has $h_{r,s}^{p,1} = \frac{(pr-s)^2 - (p-1)^2}{4p}$. As is readily seen this set is already exhausted by the weights of the form $h_{1,s}$. The corresponding highest weight representations lead to the following modified embedding chain:

$$(1, s) \leftarrow (1, -s) \leftarrow (1, s-2p) \leftarrow (1, -s-2p) \leftarrow (1, s-4p) \cdots$$

The embedding structure for all other remaining highest weight representations is determined via the relation $M_{1, s-pr} \simeq M_{r+1, s}$.

- **Gaussian models** ($p' = p$, i.e. $c = 1$). The embedding structure for all degenerate modules is given by $(r, s) \leftarrow (r, -s)$.
2. **Parabolic models** ($k \in \mathbb{Q}, k' \in \mathbb{C} \setminus \mathbb{Q}$). c is still rational. The weights $h_{\pm r, r} \in \mathbb{Q} \forall r \in \mathbb{Z}$ are exactly the rational weights. The embedding structure for all degenerate modules is $(r, s) \leftarrow (r, -s)$.
 3. **Irrational models**, i.e. no CFTs ($k \in \mathbb{C} \setminus \mathbb{Q}$). Neither c nor the weights (except for $h_{1,1} = 0$) are rational. Again the embedding structure is $(r, s) \leftarrow (r, -s)$.

3. Bosonic Expressions

3.1. Minimal Models

Of special interest are the best known CFTs, the minimal models [BPZ84]. Since they have a finite number of (physical) highest weight representations with - as we have already seen - at least two singular vectors, these models are also called rational.

They are distinguished by the central charge

$$c = 1 - 6 \frac{(p - p')^2}{pp'} , \quad (3.1.1)$$

where $p, p' \in \mathbb{N}$ are relatively prime (w.l.o.g. we set $p > p'$), and the highest weights

$$h_{r,s}^{p,p'} = \frac{(pr - p's)^2 - (p - p')^2}{4pp'} \quad (3.1.2)$$

with $1 \leq r < p'$ and $1 \leq s < p$. The minimal models are denoted by $\mathcal{M}(p', p)$ in the following. The highest weight representation to $h = 0$ is called the vacuum representation, because it is constructed on the state $|0\rangle$ with $L_1|0\rangle = 0$, i.e. it is invariant under translations.

These models are called 'minimal' because they all have a finite field content and even are the 'smallest' CFTs. Unfortunately, they are not very useful for string theory, but contribute to many applications in statistical mechanics.

3.1.1. An Example: the Tricritical Ising Model

To make things more demonstratic, let us introduce an example here: Following the Ising model, which constitutes the simplest unitary minimal model, the next one, namely $\mathcal{M}(5, 4)$, is the so-called Tricritical Ising Model with central charge $\frac{7}{10}$.

The dilute Ising model at its tricritical fixed point is defined like an ordinary Ising model, except that vacant sites are allowed and the number of spins on the lattice fluctuates.

The configuration energy is

$$E[\sigma_i, t_i] = - \sum_{\langle ij \rangle} t_i t_j (K + \delta_{\sigma_i, \sigma_j}) - \mu \sum_i t_i , \quad (3.1.3)$$

where the variable $t_i = \sigma_i^2$ is 0 if site i is vacant and 1 otherwise. While K is the energy of a pair of unlike spins, $K + 1$ is the energy of a pair of like spins, respectively. The average number of occupied sites on the lattice is specified by the

chemical potential μ .

The model is characterized as tricritical, since a critical point arises at some value dependent on β , K and μ , where three phases meet and coexist critically. Besides the identity operator (labeled as 1 in figure 3.1.1), there emerge five other scaling operators at this tricritical point: three energy- (labeled as ϵ , ϵ' and ϵ'' in figure 3.1.1) and two spin-like operators (labeled as σ and σ' in figure 3.1.1), corresponding to the different highest weights in the Kač table.

Due to the symmetry property

$$h_{r,s} = h_{p'-r,p-s} \quad (3.1.4)$$

half the highest weights in the Kač table are redundant.

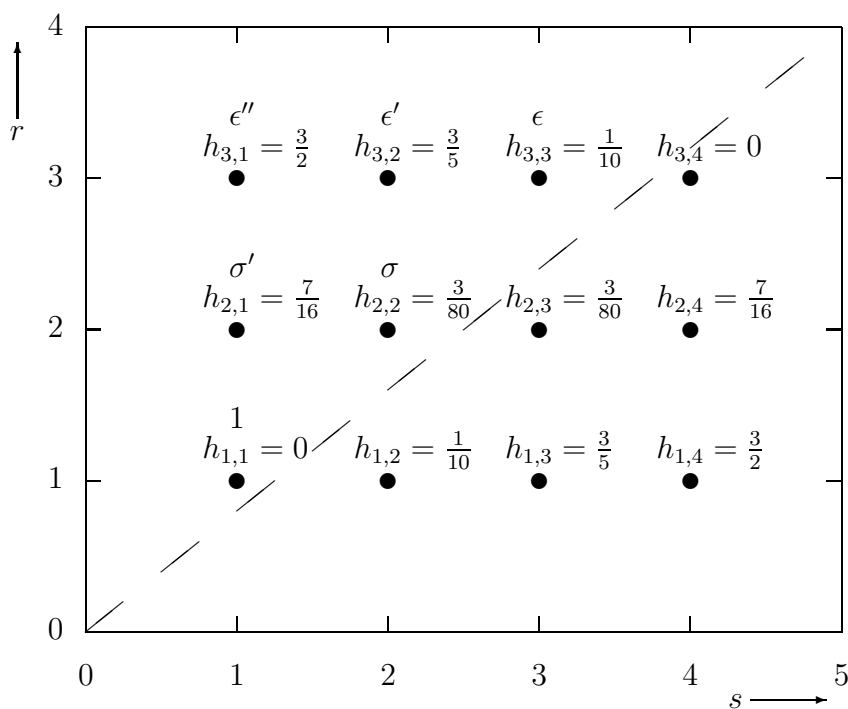


Figure 3.1.: The Kač table for the minimal model $\mathcal{M}(5,4)$

Note that the complete set of different highest weights of the Kac table 3.1.1 for this minimal model, namely, in increasing order, $h \in \{0, \frac{3}{80}, \frac{1}{10}, \frac{7}{16}, \frac{3}{5}, \frac{3}{2}\}$, can be read off with the help of the vanishing curves in figure 2.3.1: Starting at the central charge $c = \frac{7}{10}$ and following the vertical line, the wanted h -values can be identified as the intersection points with the corresponding vanishing curves.

Furthermore, this model is one of the few physically relevant theories that feature supersymmetry [FQS85]: The superconformal algebra or super-Virasoro algebra, a generalized Virasoro algebra, leads to pairs of fields and their corresponding superpartners, which are called superfields. Thus, the formulation of supersymmetric CFTs is possible in general.

3.1.2. The Character of a Verma Module

Since characters encode the physical spectrum with the information on the multiplicities of states in a highest weight module V , they play a crucial role in conformal field theory. The character χ_V is the holomorphic function on the complex upper half plane ($\tau \in \mathbb{C}, \text{Im}(\tau) > 0$), defined by

$$\chi_V(\tau) = \text{Tr}_V(q^{L_0 - \frac{c}{24}}) \quad (3.1.5)$$

with $q = e^{2\pi i\tau}$. With the generating function of the already mentioned partition function $p(n)$ from (2.3.6) the character of a generic Verma module may be written as

$$\chi_V(\tau) = \frac{q^{h - \frac{c}{24}}}{\phi(q)} \quad (3.1.6)$$

Introducing the famous Dedekind η -function (see B.1 in the appendix)

$$\eta(\tau) \equiv q^{\frac{1}{24}} \phi(q) = q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n), \quad (3.1.7)$$

the Virasoro character becomes

$$\chi_V(\tau) = \frac{q^{h + \frac{1-c}{24}}}{\eta(\tau)} \quad (3.1.8)$$

3.1.3. Irreducible Modules

As a consequence of the Kač determinant formula (2.3.7), we now go into the structure of irreducible Verma modules, i.e. we describe the embedding structure of the reducible Verma modules, which in the end leads to the minimal character formula. Here we recall that only those representations with highest weights h are reducible if and only if the highest weights are parametrized by

$$h_{r,s}^{p,p'} = \frac{(pr - p's)^2 - (p - p')^2}{4pp'} \quad (3.1.9)$$

with the corresponding conformal charges

$$c_{p,p'} = 1 - 6 \frac{(p - p')^2}{pp'}, \quad (3.1.10)$$

for some non-negative integers $r, s \geq 1$.

A reducible Verma module with highest weight $h_{r,s}^{p,p'}$, denoted by $V_{r,s}$ in this section, has its first singular vector at level $l = rs$ according to (2.3.7). An appearance of another singular vector at level $(p' - r)(p - s)$ follows from the symmetry property (3.1.4). From (3.1.9) one can derive the identities

$$h_{r,s} + rs = h_{p'+r,p-s} = h_{p'-r,p+s} \quad (3.1.11)$$

$$h_{r,s} + (p' - r)(p - s) = h_{r,2p-s} = h_{2p'-r,s}, \quad (3.1.12)$$

which state that the two singular vectors that are contained in $V_{r,s}$ are themselves highest weights of degenerate Verma modules, since they fit in (2.3.7). Furthermore, these new submodules give rise to the same structure, i.e. they also contain singular vectors, which in turn are highest weight vectors for modules that again contain singular vectors and so on. This structure is suggested graphically in the following figure 3.2.

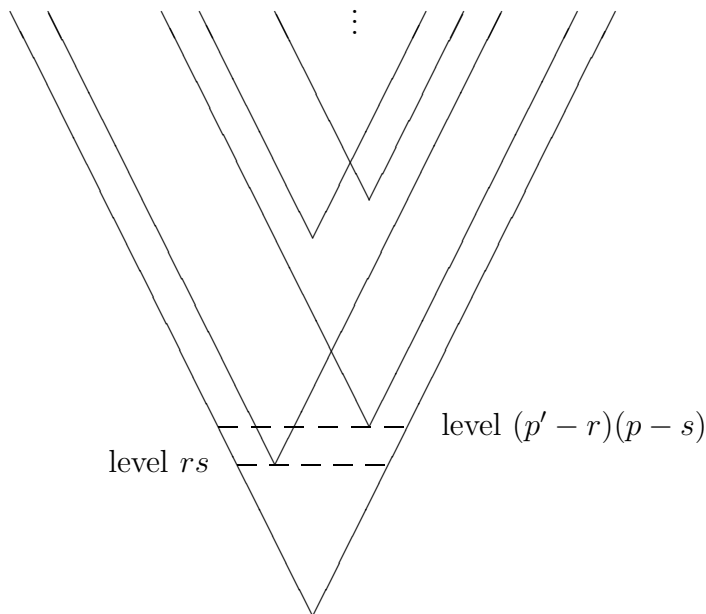


Figure 3.2.: The interlocking of Verma modules

The first inclusion of submodules can hence be written as

$$V_{p'+r,p-s} \cup V_{r,2p-s} \subset V_{r,s} \quad (3.1.13)$$

If we just factor out $V_{r,s}$ by the union of these two submodules, we would subtract too much, since the submodules in turn contain singular vectors, which are highest weights of new submodules. Thus, the irreducible Virasoro module $M_{r,s}$ is obtained after the sum of these submodules has been factored out, namely

$$M_{r,s} = \frac{V_{r,s}}{V_{p'+r,p-s} + V_{r,2p-s}}. \quad (3.1.14)$$

Keeping in mind the mentioned embedding structure and taking again advantage of some symmetry properties, the sum $V_{p'+r,p-s} + V_{r,2p-s}$ can be taken into the form of a quotient

$$V_{p'+r,p-s} + V_{r,2p-s} = \frac{V_{p'+r,p-s} \cup V_{r,2p-s}}{V_{2p'+r,s} + V_{r,2p+s}}, \quad (3.1.15)$$

which leads, by iterating this expression, to the infinite embedding structure of the Verma module $V_{r,s}$ with $1 \leq r < p'$, $1 \leq s < p$, whose form is depicted in the following figure 3.3. Finally, we obtain the irreducible Virasoro module $M_{r,s}$, which

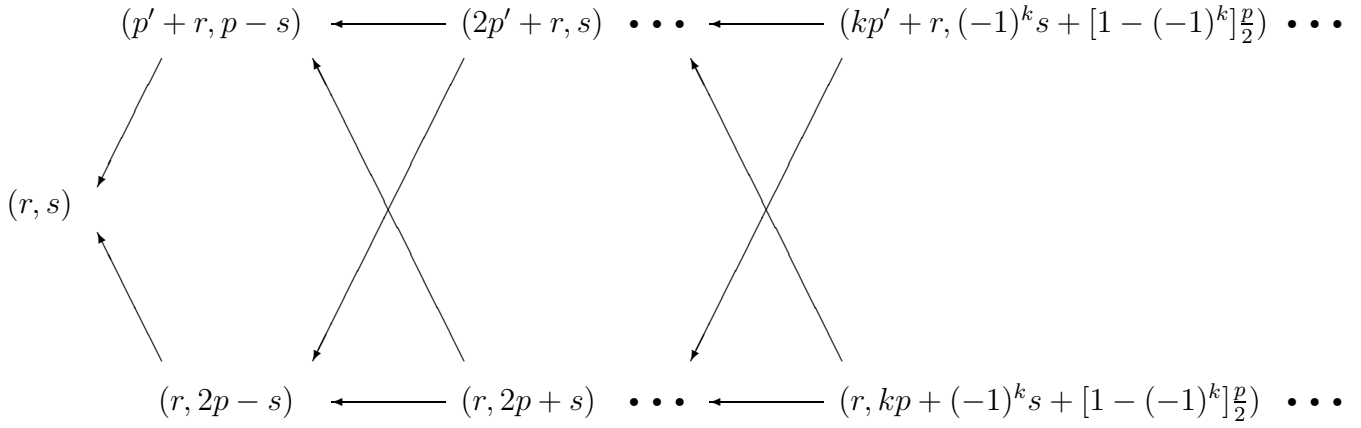


Figure 3.3.: The infinite embedding structure for a Verma module $V_{r,s}^{p,p'}$.

is given as an alternating sum that mirrors the successive subtractions and additions of the diverse submodules:

$$M_{r,s} = V_{r,s} - (V_{p'+r,p-s} \cup V_{r,2p-s}) + (V_{2p'+r,s} \cup V_{r,2p+s}) - \dots \quad (3.1.16)$$

This alternating structure, i.e. the first subtraction is too large, so you have to add the wrongly subtracted submodules and so on, will turn out to be of great importance in later chapters, since it is the signature of so-called bosonic character expressions.

3.1.4. Minimal Characters

With the results of the last two sections we now have all ingredients to write the character of the irreducible Verma module $M_{r,s}$ in an extremely simple form. Principally, we just have to follow the corresponding embedding chain, e.g. the one for

minimal models in figure 3.3.¹:

$$\begin{aligned}
 \chi_{r,s}^{p,p'} &= \frac{q^{\frac{1-c}{24}}}{\eta} \left(q^{h_{r,s}} - q^{h_{r,-s}} - q^{h_{r,2p-s}} + q^{h_{r,s+2p}} + q^{h_{r,s-2p}} - \dots \right) \\
 &= \frac{q^{\frac{1-c}{24}}}{\eta} \left(- \sum_{n=0}^{\infty} q^{h_{r,-s-2pn}} - \sum_{n=1}^{\infty} q^{h_{r,2pn-s}} + \sum_{n=0}^{\infty} q^{h_{r,s+2pn}} + \sum_{n=1}^{\infty} q^{h_{r,s-2pn}} \right) \\
 &= \frac{q^{\frac{1-c}{24}}}{\eta} \left(\sum_{n=-\infty}^{\infty} q^{h_{r,s+2pn}} - q^{h_{r,2pn-s}} \right) \\
 &= \frac{q^{\frac{1-c}{24}}}{\eta} \left(\sum_{n=-\infty}^{\infty} q^{\frac{(pr-p'(s+2pn))^2 - (p-p')^2}{4pp'}} - q^{\frac{(pr-p'(-s+2pn))^2 - (p-p')^2}{4pp'}} \right) \\
 &= \frac{q^{\frac{1-c}{24}}}{\eta} q^{\frac{c-1}{24}} \left(\sum_{n=-\infty}^{\infty} q^{\frac{(pr-p's-2pp'n)^2}{4pp'}} - q^{\frac{(pr+p's-2pp'n)^2}{4pp'}} \right) \\
 &= \frac{1}{\eta} \left(\sum_{n=-\infty}^{\infty} q^{\frac{(2kn+\lambda)^2}{4k}} - q^{\frac{(2kn+\lambda')^2}{4k}} \right) \\
 &= \frac{1}{\eta} (\Theta_{\lambda,k} - \Theta_{\lambda',k}) , \tag{3.1.17}
 \end{aligned}$$

where we have obtained the important Θ -functions by setting $k = pp'$, $\lambda = pr - p's$ and $\lambda' = pr + p's$ (cf. section B.2 in the appendix).

Since the range for the r - and s -values is set by $r \in \{1, 2, 3\}$ and $s \in \{1, 2, 3, 4\}$, six different characters for the in section 3.1.1 introduced Tricritical Ising Model arise, which can now be written as

$$\chi_{1,1}^{5,4} = \chi_{3,4}^{5,4} = \frac{1}{\eta} (\Theta_{1,20} - \Theta_{9,20}) = \frac{1}{\eta} (\Theta_{-1,20} - \Theta_{31,20}) \tag{3.1.18}$$

$$\chi_{1,2}^{5,4} = \chi_{3,3}^{5,4} = \frac{1}{\eta} (\Theta_{-3,20} - \Theta_{13,20}) = \frac{1}{\eta} (\Theta_{3,20} - \Theta_{27,20}) \tag{3.1.19}$$

$$\chi_{1,3}^{5,4} = \chi_{3,2}^{5,4} = \frac{1}{\eta} (\Theta_{-7,20} - \Theta_{17,20}) = \frac{1}{\eta} (\Theta_{7,20} - \Theta_{23,20}) \tag{3.1.20}$$

$$\chi_{1,4}^{5,4} = \chi_{3,1}^{5,4} = \frac{1}{\eta} (\Theta_{-11,20} - \Theta_{21,20}) = \frac{1}{\eta} (\Theta_{11,20} - \Theta_{19,20}) \tag{3.1.21}$$

$$\chi_{2,2}^{5,4} = \chi_{2,3}^{5,4} = \frac{1}{\eta} (\Theta_{2,20} - \Theta_{18,20}) = \frac{1}{\eta} (\Theta_{-2,20} - \Theta_{22,20}) \tag{3.1.22}$$

$$\chi_{2,4}^{5,4} = \chi_{2,1}^{5,4} = \frac{1}{\eta} (\Theta_{-6,20} - \Theta_{26,20}) = \frac{1}{\eta} (\Theta_{6,20} - \Theta_{14,20}) . \tag{3.1.23}$$

Their corresponding q -series expansions, which are normalized to one by dividing

¹For purposes of clarity, the dependence on τ or q , respectively, is omitted here and in the following as well as in later chapters if this cannot lead to confusion.

out the offset given by $q^{\frac{\lambda^2}{4k}}$, are

$$\begin{aligned} \chi_{1,1}^{5,4} = \chi_{3,4}^{5,4} &= q^{-\frac{1}{80}}(1 + q^2 + q^3 + 2q^4 + 2q^5 + 4q^6 \\ &+ 4q^7 + 7q^8 + 8q^9 + 12q^{10} + 14q^{11} + 20q^{12} + 23q^{13} + O(q^{14})) \end{aligned} \quad (3.1.24)$$

$$\begin{aligned} \chi_{1,2}^{5,4} = \chi_{3,3}^{5,4} &= q^{-\frac{9}{80}}(1 + q + q^2 + 2q^3 + 3q^4 + 4q^5 + 6q^6 \\ &+ 8q^7 + 11q^8 + 14q^9 + 19q^{10} + 24q^{11} + 32q^{12} + 40q^{13} + O(q^{14})) \end{aligned} \quad (3.1.25)$$

$$\begin{aligned} \chi_{1,3}^{5,4} = \chi_{3,2}^{5,4} &= q^{-\frac{49}{80}}(1 + q + 2q^2 + 2q^3 + 4q^4 + 5q^5 + 7q^6 \\ &+ 9q^7 + 13q^8 + 16q^9 + 22q^{10} + 27q^{11} + 36q^{12} + 45q^{13} + O(q^{14})) \end{aligned} \quad (3.1.26)$$

$$\begin{aligned} \chi_{1,4}^{5,4} = \chi_{3,1}^{5,4} &= q^{-\frac{121}{80}}(1 + q + 2q^2 + 2q^3 + 3q^4 + 4q^5 + 6q^6 \\ &+ 7q^7 + 10q^8 + 13q^9 + 17q^{10} + 21q^{11} + 28q^{12} + 34q^{13} + O(q^{14})) \end{aligned} \quad (3.1.27)$$

$$\begin{aligned} \chi_{2,2}^{5,4} = \chi_{2,3}^{5,4} &= q^{-\frac{1}{20}}(1 + q + 2q^2 + 3q^3 + 4q^4 + 6q^5 + 8q^6 \\ &+ 11q^7 + 15q^8 + 20q^9 + 26q^{10} + 34q^{11} + 44q^{12} + 56q^{13} + O(q^{14})) \end{aligned} \quad (3.1.28)$$

$$\begin{aligned} \chi_{2,4}^{5,4} = \chi_{2,1}^{5,4} &= q^{-\frac{9}{20}}(1 + q + q^2 + 2q^3 + 3q^4 + 4q^5 + 6q^6 \\ &+ 8q^7 + 10q^8 + 14q^9 + 18q^{10} + 23q^{11} + 30q^{12} + 38q^{13} + O(q^{14})) . \end{aligned} \quad (3.1.29)$$

Comparing these q -expansions with the expansion of $\frac{1}{\phi(q)}$, namely

$$\begin{aligned} \frac{1}{\phi(q)} &= \frac{1}{\prod_{n \geq 1} (1 - q^n)} = \frac{1}{(q)_\infty} = 1 + q + 2q^2 + 3q^3 + 5q^4 + 7q^5 \\ &+ 11q^6 + 15q^7 + 22q^8 + 30q^9 + 42q^{10} + 56q^{11} + 77q^{12} + 101q^{13} + \dots , \end{aligned} \quad (3.1.30)$$

one can easily verify that the first two singular vectors occur at level rs and at level $(4-r)(5-s)$, respectively (cf. the q -series expansions of the $c = -2$ model in section D in the appendix).

3.2. Logarithmic Models

After it was noted in [Kni87] that correlation functions may also exhibit logarithmic divergences, the concept of a CFT with logarithmic singularities occurring in the correlation functions was introduced by Gurarie [Gur93]. The main difference to ordinary rational CFTs such as the minimal models is that the representations of the chiral symmetry algebra may be indecomposable. But otherwise the LCFTs, especially the here explicitly considered $c_{p,1}$ series, are very close to rationality. Almost all important structures, basic notions and tools of (rational) CFTs, such as null vectors, (bosonic) character functions, partition functions, fusion rules, modular invariance, OPEs, have been generalized by now. So nowadays the understanding of LCFTs is almost at the same level as the one about (rational) conformal field theories. Furthermore, there exists a huge number of applications for LCFTs, which include topics like two-dimensional conformal turbulence, the fractional quantum Hall effect (see chapter 1) and AdS/CFT-correspondence.

3.2.1. \mathcal{W} -Algebras

In the following, we want to concern extended conformal symmetry algebras, the so-called \mathcal{W} -algebras as introduced by [Zam85].

As can be comprehended in [BFK⁺90], \mathcal{W} -algebras describe the operator product expansion (cf. (2.2.12)) of conformally invariant local chiral fields. While the singular part of such an OPE yields a Lie bracket structure for the Fourier modes of the fields, the regular part provides an operation of forming normal ordered products.

A \mathcal{W} -algebra is generated by a finite set of simple, i.e. they are not composed from others by normal ordering, primary fields $\phi_0, \phi_1, \dots, \phi_n$ including the identity. Hence, it is denoted by $\mathcal{W}(2, d_1, \dots, d_n)$, where the $d_i = h(\phi_i)$ stand for the conformal dimensions and $d_0 = 2$ for the Virasoro field instead of the identity field.

So, for example, the \mathcal{W} -algebra, which is generated by three primary fields, which all have conformal dimension three, is denoted by $\mathcal{W}(2, 3, 3, 3)$. This is in fact the most prominent candidate and therefore should bother us in the next section.

Other examples are the super-Virasoro algebra (cf. section 3.1.1) $\mathcal{W}(2, \frac{2}{3})$, the direct sum of two Virasoro algebras $\mathcal{W}(2, 2)$ or the Casimir algebras of the affine Kač-Moody algebras $\mathcal{W}(2, 3)$, $\mathcal{W}(2, 4)$ and $\mathcal{W}(2, 6)$ [Flo94].

3.2.2. The $\mathcal{W}(2, 3, 3, 3)$ -Algebra

Let us only consider the $c(2, 1)$ model of the $c_{p,1}$ series in detail here, since it should be sufficient to understand the basic ideas.

The Virasoro theory at $c = -2$ is not rational – it has infinitely many representations – with respect to the Virasoro algebra, but it is rational with respect to an extended chiral symmetry algebra in the sense that it only possesses finitely many indecomposable representations that close under fusion [Gab03]². At first, those extensions of the Virasoro algebra, resulting in a multiplet structure of fields with integer or half-integer spin – in particular the triplet structure, which will be of interest to us in the next sections – were studied in [Kau91].

Let us recall that the possible highest weights are given through the extended Kač table, which is displayed in figure 3.4, by

$$h_{r,s}^{2,1} = \frac{(2r - s)^2 - 1}{8} \quad (3.2.1)$$

and hence especially $h_{3,1} = 3$, which plays a decisive role for the following symmetry algebra extension.

The triplet \mathcal{W} -algebra is the extension of the Virasoro theory by a triplet of fields W^i with $h = h_{3,1} = 3$. Here, an important role is played by the screening charges Q , which are given by

$$Q = \oint dz V_{\alpha_+}(z) \quad (3.2.2)$$

with the vertex operator $V_{\alpha_+}(z)$ that arises in the context of the free field construction in [Kau91]. These screening charges Q are actually responsible for the triplet

²Note the definition of quasi-rationality in this context: [Nah96, Flo03].

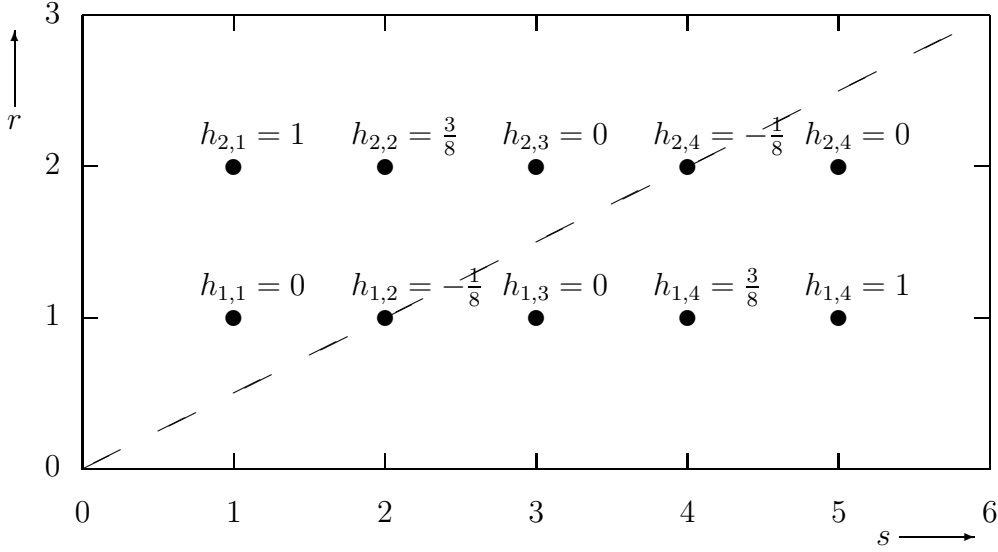


Figure 3.4.: The extended Kač table for the $c = -2$ model

structure, which is obtained by repeated application of Q on the field $\phi_{3,1}$

$$W^i = Q^i \phi_{3,1} . \quad (3.2.3)$$

This leads eventually to three fields with $SO(3)$ structure, which, together with the energy-momentum tensor, generate the desired $\mathcal{W}(2, 2p - 1, 2p - 1)$ -algebra.

As mentioned above, we are interested in the case of $p = 2$ here:

Expressed in an orthonormal basis, i.e. the metric g_{ab} and the structure constants f_c^{ab} of $\mathfrak{su}(2)$ take the form δ^{ab} and $i\epsilon^{abc}$, respectively, the commutation relations for the corresponding modes W_n^a of the fields and the modes L_n of the energy-momentum tensor then read

$$[L_n, L_m] = (n - m)L_{n+m} - \frac{1}{6}n(n^2 - 1)\delta_{n+m,0} , \quad (3.2.4)$$

$$[L_n, W_m^a] = (2n - m)W_{n+m}^a , \quad (3.2.5)$$

$$[W_n^a, W_m^b] = \delta^{ab} \left(2(n - m)\Lambda_{n+m} + \frac{1}{20}(n - m)(2n^2 + 2m^2 - nm - 8)L_{n+m} \right. \quad (3.2.6)$$

$$\left. - \frac{1}{120}n(n^2 - 1)(n^2 - 4)\delta_{m+n,0} \right) \quad (3.2.7)$$

$$+ i\epsilon^{abc} \left(\frac{5}{14}(2n^2 + 2m^2 - 3nm - 4)W_{n+m}^c + \frac{12}{15}V_{n+m}^c \right) , \quad (3.2.8)$$

with the normal ordered quasi-primary fields $\Lambda_m =: L_m^2 : -\frac{3}{10}\partial^2 L_m$ and $V_m^a =: L_m W_m^a : -\frac{3}{14}\partial^2 W_m^a$ ($a, b, c \in \{1, 2, 3\}$ and $n, m \in \mathbb{Z}$). The existence of singular vectors in the vacuum representation leads to constraints for the allowed representations – i.e. their zero modes have to vanish on any highest weight state ψ in order

to get a physical spectrum of L_0 , which is bounded from below – of the following form:

$$\left(W_0^a W_0^b - \delta^{ab} \frac{1}{9} L_0^2 (8L_0 + 1) - i\epsilon^{abc} \frac{1}{5} (6L_0 - 1) W_0^c \right) \psi = 0 \quad (3.2.9)$$

This constraint governs the highest weight representations to have an $\mathfrak{su}(2)$ structure, since

$$[W_0^a, W_0^b] = \frac{2}{5} (6h - 1) i\epsilon^{abc} W_0^c, \quad (3.2.10)$$

which follows from (3.2.9), is a rescaled version of the $\mathfrak{su}(2)$ algebra. The irreducible representations of these zero modes can be labeled as is customary after rescaling: $j(j+1)$ is the eigenvalue of the Casimir operator $\sum_{i=1}^3 (W_0^i)^2$ and m the eigenvalue of W_0^3 . Furthermore, (3.2.9) leads to the relation

$$j(j+1) = 3m^2, \quad (3.2.11)$$

since the action of $W_0^a W_0^a$ is the same as $W_0^b W_0^b$ on highest weight states. Evaluating this restriction, we have finally arrived at the allowed representations [EHH93, GK96b]:

- $j = 0$: Two singlet representations
 - \mathcal{V}_0 at $h = 0$
 - $\mathcal{V}_{-\frac{1}{8}}$ at $h = -\frac{1}{8}$
- $j = \frac{1}{2}$: Two doublet representations
 - \mathcal{V}_1 at $h = 1$
 - $\mathcal{V}_{\frac{3}{8}}$ at $h = \frac{3}{8}$.

Those readers, who find this analysis to be too physical, are referred to [Zhu96]. Analyzing the fusion products (see e.g. [Ver88] for the constitutional fusion rules in the context of modular invariance and [Knu06] for recent developments concerning fusion with regard to LCFT) for these four irreducible representations [GK96b], we find two generalized highest weight representations \mathcal{R}_0 and \mathcal{R}_1 . Their sketched structures can be found in the figures 3.5 and 3.6.

Here, each dot in the bottom row stands for the irreducible representation \mathcal{V}_0 and each one in the top row for \mathcal{V}_1 . The action of the triplet algebra is indicated by the arrows.

\mathcal{R}_0 is generated from a highest weight vector ω of $h = 0$, which is a singlet under $\mathfrak{su}(2)$, forming a Jordan cell for L_0 with Ω , i.e. $L_0 \omega = \Omega$. The four states $L_{-1} \omega$ and $W_{-1}^a \omega$ form two doublets and are therefore denoted by Ψ_1 and Ψ_2 . Furthermore, note that \mathcal{R}_0 is an extension of the vacuum representation.

\mathcal{R}_1 is generated from a doublet ϕ^\pm of weight $h = 1$, denoted by ϕ in the corresponding figure. It has two ground states ξ^\pm at $h = 0$ and another doublet ψ^\pm at $h = 1$, denoted by ψ in the corresponding figure, forming L_0 Jordan cells with ϕ^\pm . Furthermore, \mathcal{R}_1 is not a highest weight representation. The relations, which define the action of the Virasoro and the triplet algebra in both cases, are given in detail

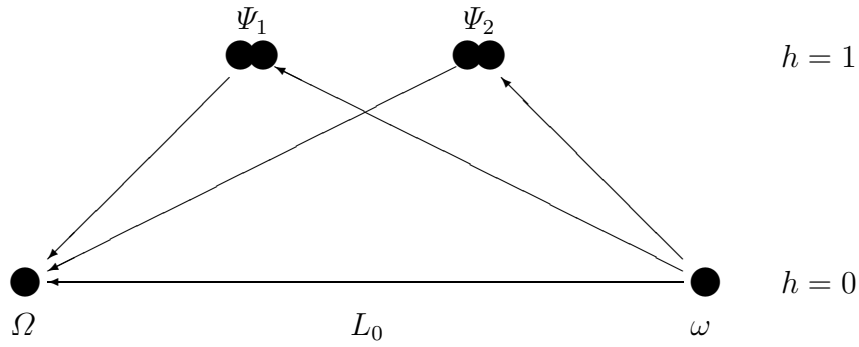


Figure 3.5.: The indecomposable representation \mathcal{R}_0

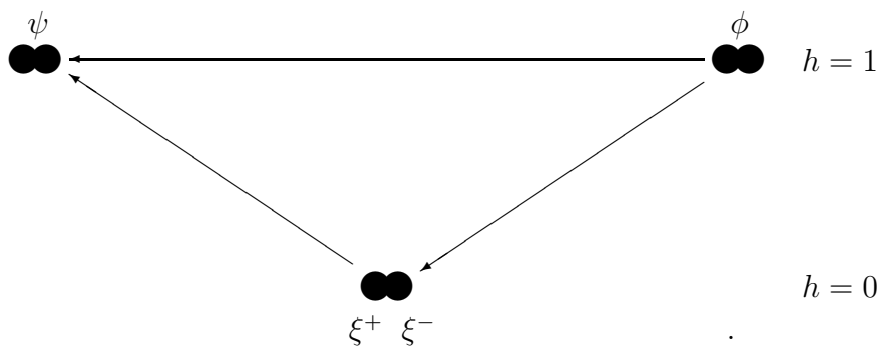


Figure 3.6.: The indecomposable representation \mathcal{R}_1

in [GK96b].

Both of these representations are indecomposable. The set of these six mentioned representations closes under fusion.

In the following chapters we do not distinguish between \mathcal{R}_0 and \mathcal{R}_1 , since they are isomorphic to each other.

Finally, note the interesting fact that the occurring partition function of the full theory is actually the same as that of a free boson compactified on a circle of radius $\sqrt{2}$ [Gin88b].

3.2.3. The Indecomposable Representation

As already mentioned, LCFTs are intimately connected with the existence of indecomposable representations. A Jordan cell of states takes the place of a unique highest weight state in a representation module. These new 'highest weight states' are linked by the action of a non-diagonalizable operator, which might be any generator, but is at least mainly the energy-momentum tensor, of the (extended) chiral symmetry algebra. To illustrate the Jordan cell structure, let us assume that there exist two operators Φ and Ψ with an equivalent set of quantum numbers with respect

to the maximally extended chiral symmetry algebra, the same conformal weight h included. As a consequence, the L_0 operator can no longer be diagonalized and thus takes the form

$$L_0 \begin{pmatrix} \Phi \\ \Psi \end{pmatrix} = \begin{pmatrix} h & 0 \\ 1 & h \end{pmatrix} \begin{pmatrix} \Phi \\ \Psi \end{pmatrix}. \quad (3.2.12)$$

Let us now go into more detail with regard to the structure of an indecomposable representation, following [GK96a], since it is not only a central aspect for the $c = -2$ model, but also for an LCFT in general.

To make things clear, we choose a representation similar to \mathcal{R}_0 , which we call \mathcal{R} here, since \mathcal{R}_1 is not a highest weight representation. As it is the case for \mathcal{R}_0 , it is generated from a highest weight state ω satisfying

$$L_0\omega = \Omega, \quad L_0\Omega = 0, \quad L_n\omega = 0 \quad \text{for } n > 0 \quad (3.2.13)$$

by the action of the Virasoro algebra, i.e. no extensions are considered here. The state $\chi = L_{-1}\Omega$ is a null-state of \mathcal{R} , but $\xi = L_{-1}\omega$ is not singular, since $L_1L_{-1}\omega = [L_1, L_{-1}]\omega = 2L_0\omega = 2\Omega$. The following figure 3.7 shows a sketch of \mathcal{R} .

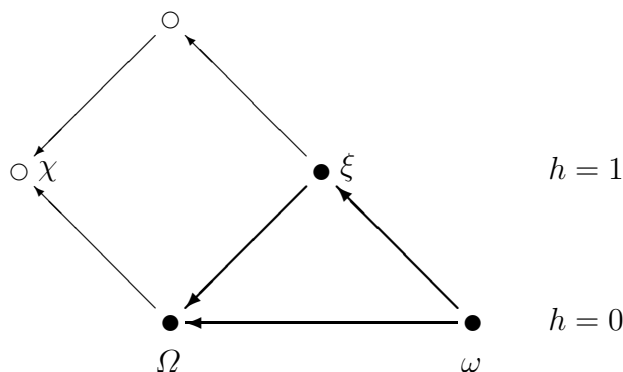


Figure 3.7.: The indecomposable representation \mathcal{R}

Here each filled dot denotes a state of the representation space, and the unfilled dots correspond to singular vectors. $A \rightarrow B$ indicates that B is in the image of A under the action of the Virasoro algebra.

Letting the Virasoro modes act on Ω , the complete set of the obtained states shapes up as a subrepresentation \mathcal{R}_{sub} of \mathcal{R} being isomorphic to the vacuum representation. This observation shows that \mathcal{R} cannot be irreducible.

On the other hand, \mathcal{R} is also not completely reducible: We cannot write \mathcal{R} as a direct sum of its submodules, since we cannot find a complementary subspace to \mathcal{R}_{sub} that is a representation by itself.

Hence, \mathcal{R} is called an indecomposable (but reducible) representation.

3.2.4. Characters of the Triplet Algebras

$$\mathcal{W}(2, 2p - 1, 2p - 1, 2p - 1)$$

A minimal model with central charge $c = c_{p,p'}$ admits highest weights $h_{r,s}^{p,p'}$ (cf. (3.1.9)) with $1 \leq r < p'$ and $1 \leq s < p$.

In contrast, the h -values of all $3p - 1$ inequivalent representations for the LCFT models with $c_{p,1}$ and chiral symmetry algebra $\mathcal{W}(2, 2p - 1, 2p - 1, 2p - 1)$ can be read off the extended conformal grid of the augmented minimal model [Flo97, EF06], corresponding formally to central charge $c_{3p,3}$.

For example, in the case $p = 2$ and $c_{2,1} = -2$, the only possible highest weights are $h \in \{-\frac{1}{8}, 0, \frac{3}{8}, 1\}$, where $h = 0$ corresponds to two inequivalent representations [GK96b]. Here, (3.2.9) and a null vector constraint can be transformed to obtain the condition

$$0 = L_0^2(8L_0 + 1)(8L_0 - 3)(L_0 - 1)\psi , \quad (3.2.14)$$

ψ being any highest weight state, which states that L_0 has to take exactly those h -values, which we just read off the extended conformal grid. In addition, note that (3.2.14) in general allows a logarithmic highest weight representation, since we only have to claim that $L_0^2 = 0$, but not necessarily that $L_0 = 0$. In particular, a two-dimensional space of highest weight states similar to (3.2.12) copes with (3.2.14).

In general, the possible highest weights for a given \mathcal{W} -algebra can be determined explicitly with the help of Jacobi identities and constraints on the singular vectors. In comparison to the singlet algebra $\mathcal{W}(2, 2p - 1)$, which is too small to obtain a rational $c_{p,1}$ model, the triplet algebra now serves as its maximally extended symmetry algebra.

The way to get the \mathcal{W} -algebra characters is to sum up appropriate subsets of Virasoro characters of degenerate highest weight representations, which is in conjunction with the fact that many properties are only defined modulo \mathbb{Z} for characters. One also has to keep in mind that only those highest weights are permitted which differ by integers and has to take care of multiplicities caused by the $\mathfrak{su}(2)$ symmetry among the triplet of chiral fields of conformal weight $2p - 1$. The multiplicity of the Virasoro highest weight representation on $|h_{2k+1,1}\rangle$ turns out to be $2k + 1$. For $k = 1$, i.e. $h_{3,1} = 2p - 1$, the dimension three matches the desired triplet structure. Fortunately, the structure for these representations appears even simpler than the one for minimal models: Due to the classification of Feigin and Fuks [FF82], there exists exactly one null vector, which leads to the following form for the Virasoro characters:

$$\chi_{2k+1,1}^{\text{Vir}} = \frac{1}{\eta(q)} (q^{h_{2k+1,1}} - q^{h_{2k+1,-1}}) . \quad (3.2.15)$$

Summarizing all the mentioned points, the vacuum representation of the \mathcal{W} -algebra is then the Hilbert space

$$\mathcal{H}_{|0\rangle}^{\mathcal{W}} = \bigoplus_{k \in \mathbb{Z}_+} (2k + 1) \mathcal{H}_{|h_{2k+1,1}\rangle}^{\text{Vir}} . \quad (3.2.16)$$

So, the vacuum character is [Flo03]

$$\begin{aligned}
 \chi_0^{\mathcal{W}} &= \sum_{k \in \mathbb{Z}_+} (2k+1) \chi_{2k+1,1}^{\text{Vir}} \\
 &= \frac{q^{(1-c)/24}}{\eta(q)} \left(\sum_{k \geq 0} (2k+1) q^{h_{2k+1,1}} - \sum_{k \geq 0} (2k+1) q^{h_{-(2k+1),1}} \right) \\
 &= \frac{q^{(1-c)/24}}{\eta(q)} \left(\sum_{k \geq 0} (2k+1) q^{h_{2k+1,1}} + \sum_{k \geq 1} (-2k+1) q^{h_{-2k+1,1}} \right) \\
 &= \frac{q^{(1-p)^2/4p}}{\eta(q)} \sum_{k \in \mathbb{Z}} (2k+1) q^{\frac{(1-(2k+1)p)^2 - (1-p)^2}{4p}} \\
 &= \frac{1}{\eta(q)} \sum_{k \in \mathbb{Z}} (2k+1) q^{\frac{2pk + (p-1)^2}{4p}} \\
 &= \frac{1}{p\eta(\tau)} ((\partial\Theta)_{p-1,p}(\tau) + \Theta_{p-1,p}(\tau)) . \tag{3.2.17}
 \end{aligned}$$

In the last line the character has been rewritten in terms of Θ -functions and affine Θ -functions (see sections B.2 and B.3 in the appendix).

Although the functions $A_{\lambda,k} = \frac{\Theta_{\lambda,k}}{\eta}$ are modular forms of weight 0, we meet a problem with the terms, where the affine Θ -functions are involved: $(\partial A)_{\lambda,k} = \frac{(\partial\Theta)_{\lambda,k}}{\eta}$ have weight 1. To solve the problem, a factor η^3 would be necessary in the denominator like it is the case for the characters of the affine Kac-Moody algebras $\widehat{\mathfrak{su}(2)}$ equivalent to $A_k^{(1)}$ (cf. section 5.4).

The Complete Set of the Bosonic $c_{p,1}$ Characters

Analyzing the action of the triplet algebras on the degenerate Virasoro representations [GK96b, Flo96] as well as the modular transformation properties of the vacuum character allows to find a complete set of character functions for the $c_{p,1}$ models that is closed under modular transformations [Flo97]:

$$\chi_{0,p} = \frac{\Theta_{0,p}}{\eta} \quad \text{representation to} \quad h_{1,p}^{p,1} \tag{3.2.18}$$

$$\chi_{p,p} = \frac{\Theta_{p,p}}{\eta} \quad h_{1,2p}^{p,1} \tag{3.2.19}$$

$$\chi_{\lambda,p}^+ = \frac{(p-\lambda)\Theta_{\lambda,p} + (\partial\Theta)_{\lambda,p}}{p\eta} \quad h_{1,p-\lambda}^{p,1} \tag{3.2.20}$$

$$\chi_{\lambda,p}^- = \frac{\lambda\Theta_{\lambda,p} - (\partial\Theta)_{\lambda,p}}{p\eta} \quad h_{1,3p-\lambda}^{p,1} \tag{3.2.21}$$

$$\tilde{\chi}_{\lambda,p}^+ = \frac{\Theta_{\lambda,p} + i\alpha\lambda(\nabla\Theta)_{\lambda,p}}{\eta} \quad h_{1,p+\lambda}^{p,1} \tag{3.2.22}$$

$$\tilde{\chi}_{\lambda,p}^- = \frac{\Theta_{\lambda,p} - i\alpha(p-\lambda)(\nabla\Theta)_{\lambda,p}}{\eta} \quad h_{1,p+\lambda}^{p,1} , \tag{3.2.23}$$

where $0 < \lambda < p$, $k = pp' = p$, $\lambda = pr - p's = pr - s$. The following definitions are listed for convenience (cf. sections B.2, B.3 and B.1 in the appendix): The Jacobi-Riemann Θ -function is defined as

$$\Theta_{\lambda,k}(\tau) = \sum_{n \in \mathbb{Z}} q^{\frac{(2kn+\lambda)^2}{4k}}, \quad (3.2.24)$$

the affine Θ -function defined as

$$(\partial\Theta)_{\lambda,k}(\tau) = \sum_{n \in \mathbb{Z}} (2kn + \lambda) q^{\frac{(2kn+\lambda)^2}{4k}} \quad (3.2.25)$$

and the Dedekind η -function defined as

$$\eta(q) = q^{1/24}(q)_{\infty}. \quad (3.2.26)$$

Here, $q = e^{2\pi i\tau}$, $\tau \in \mathfrak{h}$ (upper half-plane). $\frac{\Theta_{\lambda,k}(\tau)}{\eta(\tau)}$ is a modular form of weight zero with respect to the generators $\mathcal{T} : \tau \mapsto \tau + 1$ and $\mathcal{S} : \tau \mapsto -\frac{1}{\tau}$ of the modular group $PSL(2, \mathbb{Z})$. But since $\frac{(\partial\Theta)_{\lambda,k}(\tau)}{\eta(\tau)}$ is a modular form of weight one with respect to \mathcal{S} , some of the above character functions are of inhomogeneous modular weight, thus leading to S -matrices with τ -dependent coefficients.

However, adding

$$(\nabla\Theta)_{\lambda,k}(\tau) = \frac{\log q}{2\pi i} \sum_{n \in \mathbb{Z}} (2kn + \lambda) q^{\frac{(2kn+\lambda)^2}{4k}}, \quad (3.2.27)$$

one finds a closed finite dimensional representation of the modular group with constant S -matrix coefficients.

Note that (3.2.22) and (3.2.23) are not characters of representations in the usual sense. Actually, these are regularized character functions and the α -dependent part has an interpretation as torus vacuum amplitudes [FG06]. In the limit $\alpha \rightarrow 0$, they become the characters of the full reducible but indecomposable representations.

The q -series expansions of the $c_{2,1}$ model are displayed in section D in the appendix.

3.3. Parabolic Models

To conclude this chapter, let us come to the parabolic models, which have been quoted in section 3.3.

3.3.1. Characters of the $\mathcal{W}(2, 3k)$ -Algebras

Choosing k as integer or half-integer, then all allowed highest weights are given by

$$h_{r,r} = (r^2 - 1)k \quad (3.3.1)$$

$$h_{-r,r} = (r^2 - 1)k + r^2, \quad (3.3.2)$$

likewise being integer or half-integer. Allowing only odd r -values, choosing $k \in \frac{\mathbb{Z}_{>0}}{4}$ is also possible. Thus, all requirements are fulfilled to guarantee a local system of chiral BRST-invariant screened vertex operators [Flo94]. Here the locality also constricts the fusion rules of the chiral algebra: The corresponding highest weights may only differ by integer or half-integer values again [God89].

A consequence of the modular properties is that choosing $k \in \frac{\mathbb{Z}_{>0}}{4}$ is the only way to obtain rational conformal field theories from parabolic models.

An interesting algebra is given for $c = 1 - 24k$ with $k \in \frac{\mathbb{Z}_{>0}}{2}$: the $\mathcal{W}(2, 3k)$ -algebra. It is constructed from chiral vertex operators of a free field representation. Comparing the commutator of the modes of two such operators, one can show that this algebra has indeed only two generators: The Virasoro field and the field with conformal dimension $h_{2,2} = 3k$, as can be verified by using (3.3.1), with its modes, which both form a Lie algebraic structure.

This theory is just mentioned marginally here, since we only want to draw the reader's attention to the corresponding character expressions. For details we refer to [Flo93, Flo94].

The relevant representations and their characters of the bosonic $\mathcal{W}(2, 3k)$ -algebras³ are the following:

$$\chi_0^{\mathcal{W}} = \frac{1}{2}(\Lambda_{0,k}(\tau) - \Lambda_{0,k+1}(\tau)) \quad (\text{vacuum representation}) \quad (3.3.3)$$

$$\chi_1^{\mathcal{W}} = \Lambda_{1,k}(\tau) \quad (3.3.4)$$

⋮

$$\chi_{k-1}^{\mathcal{W}} = \Lambda_{k-1,k}(\tau) \quad (3.3.5)$$

$$\chi_{k,+}^{\mathcal{W}} = \chi_{k,-}^{\mathcal{W}} = \frac{1}{2}\Lambda_{k,k}(\tau) \quad (\text{degenerate representation}) \quad (3.3.6)$$

$$\chi_{k+1}^{\mathcal{W}} = \frac{1}{2}(\Lambda_{0,k}(\tau) + \Lambda_{0,k+1}(\tau)) \quad (\text{representation to } h_{min}) \quad (3.3.7)$$

$$\chi_{-1}^{\mathcal{W}} = \Lambda_{1,k+1}(\tau) \quad (3.3.8)$$

⋮

$$\chi_{-k}^{\mathcal{W}} = \Lambda_{k,k+1}(\tau) \quad (3.3.9)$$

$$\chi_{-k-1,+}^{\mathcal{W}} = \chi_{-k-1,-}^{\mathcal{W}} = \frac{1}{2}\Lambda_{k+1,k+1}(\tau) \quad (\text{degenerate representation}) \quad (3.3.10)$$

³Only the even sectors are considered here: For the odd sectors, a $\mathcal{W}(2, 8k)$ -algebra can be obtained in an analogous manner.

4. A Gateway to the Other Side

The Rogers-Ramanujan identities provide one of the most fascinating chapters in the history of partitions. The story began with the discovery of the Indian genius S. Ramanujan by G. H. Hardy. In Ramanujan's first letter to Hardy from 1913, two outstanding examples on continued fractions,

$$\frac{1}{1 + \frac{e^{-2\pi}}{1 + \frac{e^{-4\pi}}{1 + \frac{e^{-6\pi}}{1 + \dots}}}}} = \left(\sqrt{\frac{5 + \sqrt{5}}{2}} - \frac{\sqrt{5} + 1}{2} \right) e^{\frac{2\pi}{5}} \quad (4.0.1)$$

and

$$1 - \frac{e^{-\pi}}{1 + \frac{e^{-2\pi}}{1 - \frac{e^{-3\pi}}{1 + \dots}}} = \left(\sqrt{\frac{5 - \sqrt{5}}{2}} - \frac{\sqrt{5} - 1}{2} \right) e^{\frac{\pi}{5}}, \quad (4.0.2)$$

were commented by Hardy in his article [Har37]: "[These formulas] defeated me completely. I had never seen anything in the least like them before. A single look at them is enough to show that they could only be written down by a mathematician of the highest class. They must be true because, if they were not true, no one would have had the imagination to invent them."

Let us relate these continued fractions to the Rogers-Ramanujan identities now: We start with a linear second-order q -difference equation ¹

$$F(x) = F(xq) + xqF(xq^2), \quad (4.0.3)$$

where the function $F(x)$ is analytic in x at 0 and $F(0) = 1$. Setting $\frac{F(x)}{F(xq)} = c(x, q)$, we get

$$c(x, q) = 1 + \frac{xq}{c(xq, q)} \quad (4.0.4)$$

$$c(xq, q) = 1 + \frac{xq^2}{c(xq^2, q)} \quad (4.0.5)$$

$$c(xq^2, q) = 1 + \frac{xq^3}{c(xq^3, q)} \quad (4.0.6)$$

⋮ ⋮ ⋮

¹see [FS93b] for some additional information on q -difference equations in the context of Virasoro characters

Plugging these expressions into each other, we have already obtained a generalized version of Ramanujan's continued fraction, namely

$$c(x, q) = 1 + \frac{xq}{1 + \frac{xq^2}{1 + \frac{xq^3}{1 + \frac{xq^4}{1 + \dots}}}} . \quad (4.0.7)$$

Thus, it can be easily checked that the two theorems at the beginning of this chapter are precisely those evaluations of $c(1, e^{-2\pi})$ and $c(1, -e^{-\pi})$.

Furthermore, we can expand $F(x)$ in a power series

$$F(x) = \sum_{n \geq 0} A_n(q) x^n , \quad (4.0.8)$$

which yields, after being substituted in (4.0.3) and by comparing coefficients of x^n ,

$$A_n(q) = q^n A_n(q) + q^{2n-1} A_{n-1}(q) . \quad (4.0.9)$$

Successively using this expression, we can calculate

$$A_n(q) = \frac{q^{2n-1}}{1 - q^n} A_{n-1}(q) = \frac{q^{(2n-1)+(2n-3)}}{(1 - q^n)(1 - q^{n-1})} A_{n-2}(q) \quad (4.0.10)$$

$$= \dots = \frac{q^{1+3+\dots+(2n-1)}}{(q)_n} A_0(q) = \frac{q^{n^2}}{(q)_n} . \quad (4.0.11)$$

So finally we get

$$F_x(q) = \sum_{n=0}^{\infty} \frac{x^n q^{n^2}}{(q)_n} . \quad (4.0.12)$$

Applying this power series, $F_1(q)$ and $F_q(q)$ can now be written as infinite products²:

$$F_1(q) = 1 + \frac{q}{1 - q} + \frac{q^4}{(1 - q)(1 - q^2)} + \frac{q^9}{(1 - q)(1 - q^2)(1 - q^3)} + \dots \quad (4.0.13)$$

$$= \prod_{n=1}^{\infty} \frac{1}{(1 - q^{5n-1})(1 - q^{5n-4})} \quad (4.0.14)$$

and

$$F_q(q) = 1 + \frac{q^2}{1 - q} + \frac{q^6}{(1 - q)(1 - q^2)} + \frac{q^{12}}{(1 - q)(1 - q^2)(1 - q^3)} + \dots \quad (4.0.15)$$

$$= \prod_{n=1}^{\infty} \frac{1}{(1 - q^{5n-2})(1 - q^{5n-3})} . \quad (4.0.16)$$

²A proof can be found in [And84a]

With both of these last results, we are now able to write $c(1, q)$ in an infinite product.

And in particular, $F_1(q)$ and $F_q(q)$ match the infinite product representations of the famous Rogers-Schur-Ramanujan identities (4.2.1) and (4.2.2), respectively, which will ultimately lead us to the desired fermionic character expressions. Note that $F_1(q)$ and $F_q(q)$ are derived that explicitly here, since we will encounter them again in the context of q -hypergeometric series and modular forms in chapter 6.

But let us first of all put these identities in perspective with respect to the interpretation in terms of partitions, based on a generalization by Gordon.

4.1. Gordon's Generalization

The following theorem in terms of partitions is due to B. Gordon: Let $B_{k,i}(n)$ denote the number of partitions of n of the form $(b_1 b_2 \dots b_s)$, where $b_j - b_{j+k-1} \geq 2$, and at most $i - 1$ of the b_j equal 1.

Let $A_{k,i}(n)$ denote the number of partitions of n into parts $\not\equiv 0, \pm i \pmod{2k+1}$. Then $A_{k,i}(n) = B_{k,i}(n)$ for all n .

Its most celebrated corollaries are the two Rogers-Ramanujan identities – at first stated in terms of partitions here:

- The first identity ($k = i = 2$). The partitions of an integer n in which the difference between any two parts is at least 2 are equinumerous with the partitions of n into parts $\equiv 1 \pmod{5}$ or $\equiv 4 \pmod{5}$
- The second identity ($k = i + 1 = 2$). The partitions of an integer n in which each part exceeds 1 and the difference between any two parts is at least 2 are equinumerous with the partitions of n into parts $\equiv 2 \pmod{5}$ or $\equiv 3 \pmod{5}$.

Its analytic counterpart from [And74] applies for $1 \leq i \leq k$, $k \geq 2$, $|q| < 1$:

$$\sum_{n_1, n_2, \dots, n_{k-1} \geq 0} \frac{q^{N_1^2 + N_2^2 + \dots + N_{k-1}^2 + N_i + N_{i+1} + \dots + N_{k-1}}}{(q)_{n_1} (q)_{n_2} \cdots (q)_{n_{k-1}}} = \prod_{\substack{n=1 \\ n \not\equiv 0, \pm i \pmod{2k+1}}}^{\infty} (1 - q^n)^{-1}, \quad (4.1.1)$$

with $N_j = n_j + n_{j+1} + \dots + n_{k-1}$. This identity, one of the so-called Andrews-Gordon identities, introduces a sum over multi-indices and thus plays an important role on the way to fermionic character expressions and therewith to dilogarithm identities [NRT93].

Furthermore, each of the classical identities of Rogers-Ramanujan type can be used to yield further multiple sum series identities: It is shown in [And84b] how the Bailey chain is used to achieve this.

4.2. The Connection to Characters

The famous Rogers-(Schur³)Ramanujan identities [Rog94, Sch17, RR19]

$$\sum_{n=0}^{\infty} \frac{q^{n^2}}{(q)_n} = \prod_{n=1}^{\infty} \frac{1}{(1 - q^{5n-1})(1 - q^{5n-4})} \quad (4.2.1)$$

and

$$\sum_{n=0}^{\infty} \frac{q^{n^2+n}}{(q)_n} = \prod_{n=1}^{\infty} \frac{1}{(1 - q^{5n-2})(1 - q^{5n-3})}, \quad (4.2.2)$$

with the q -Pochhammer symbol defined as (see also A.1 in the appendix)

$$(q)_n = \prod_{i=1}^n (1 - q^i) \quad \text{and per definition} \quad (q)_0 = 1 \quad \text{and} \quad (q)_\infty = \lim_{n \rightarrow \infty} (q)_n, \quad (4.2.3)$$

first of all built a bridge between bosonic and fermionic representations for a CFT character.

In particular, these identities coincide with the two characters of the $\mathcal{M}(5, 2)$ minimal model [Fis78, Car85] (normalized to 1 at q^0). By using Jacobi's triple product identity, which is stated for $z \neq 0$, $|q| < 1$ by

$$\sum_{n=-\infty}^{\infty} z^n q^{n^2} = \prod_{n=0}^{\infty} (1 - q^{2n+2})(1 + zq^{2n+1})(1 + z^{-1}q^{2n+1}), \quad (4.2.4)$$

the r.h.s.'s of (4.2.1) and (4.2.2) can be transformed to give two simple examples of what is called a bosonic-fermionic q -series identity, namely

$$\sum_{n=0}^{\infty} \frac{q^{n^2}}{(q)_n} = \frac{1}{(q)_\infty} \sum_{n=-\infty}^{\infty} (q^{n(10n+1)} - q^{(5n+2)(2n+1)}) \quad (4.2.5)$$

and

$$\sum_{n=0}^{\infty} \frac{q^{n^2+n}}{(q)_n} = \frac{1}{(q)_\infty} \sum_{n=-\infty}^{\infty} (q^{n(10n+3)} - q^{(5n+1)(2n+1)}). \quad (4.2.6)$$

By the way, Jacobi's triple product identity is a direct consequence of a corollary due to Euler (see e.g. [And84a]), which is defined for $|t| < 1$, $|q| < 1$ by

$$1 + \sum_{n=1}^{\infty} \frac{t^n}{(q)_n} = \prod_{n=0}^{\infty} (1 - tq^n)^{-1}, \quad (4.2.7)$$

$$1 + \sum_{n=1}^{\infty} \frac{t^n q^{\frac{1}{2}n(n-1)}}{(q)_n} = \prod_{n=0}^{\infty} (1 + tq^n). \quad (4.2.8)$$

Since we have already found a way of generalizing the bosonic sides of the identity to all known minimal models in section 3.1.4, the next step is now to clear the way for the generalization of the fermionic sides for all minimal models. Before we conclude this chapter, we touch on two important subjects with respect to the Rogers-Ramanujan identities: the physical background and the proof of new identities relating the bosonic and the fermionic sides of a character.

³Schur is often omitted both in the literature and here as well.

4.3. The Physical Background

The occurrence of these bosonic-fermionic q -series identities is a peculiarity in two-dimensional conformal field theory, i.e. there exists only one space dimension.

In general, the nature of bosons and fermions is quite distinct in three space dimensions, regardless of whether the particles are expressed in terms of commutation and anti-commutation relations or through their spectra⁴.

Nevertheless, the fact that they are related in two dimensions provokes to the assumption that there exists a Bose-Fermi correspondence for every conformal field theory in question, i.e. all CFT characters can be written in two different sum representations, generalizing the Rogers-Schur-Ramanujan Identities. In particular, this assumption is supported by Nahm's conjecture (cf. section 6.2).

To confront the bosonic side with the fermionic side, let us summarize the most important facts here:

As mentioned, the so-called bosonic expressions on the r.h.s.'s of (4.2.5) and (4.2.6), respectively, correspond to two special cases of the general character formula for minimal models $\mathcal{M}(p, p')$ [RC84]

$$\hat{\chi}_{r,s}^{p,p'} = q^{\frac{c}{24} - h_{r,s}^{p,p'}} \chi_{r,s}^{p,p'} = \frac{1}{(q)_{\infty}} \sum_{n=-\infty}^{\infty} (q^{n(np p' + pr - p's)} - q^{(np+s)(np'+r)}) \quad (4.3.1)$$

with $\hat{\chi}_{r,s}^{p,p'}$ being the normalized character. The known symmetry $\chi_{r,s}^{p,p'} = \chi_{p'-r, p-s}^{p,p'}$ follows from (3.1.4).

Since (4.3.1) is computed by eliminating null states from the Hilbert space of a free chiral boson [FF83], it is referred to as bosonic form. Its signature is the alternating sign, which reflects the subtraction of null vectors. The factor $(q)_{\infty}$ keeps track of the free action of the Virasoro 'raising' modes. Furthermore, it can be expressed in terms of Θ -functions (see (B.2) in the appendix), which directly point out the modular transformation properties of the character.

In contrast, the fermionic sum representation possesses a remarkable interpretation in terms of quasi-particles for the states, obeying Pauli's exclusion principle (see chapter 7).

The bosonic representations are in general unique, whereas there is usually more than one fermionic expression for the same character.

4.4. How to Prove the Identities

Having introduced all bosonic expressions of the relevant characters, i.e. the minimal characters, the characters of the parabolic models and above all, the characters of the $c_{p,1}$ -series, we will be in search of the corresponding fermionic expressions in the next chapter to constitute new bosonic-fermionic q -series identities. To 'prove' these Bose-Fermi identities, the q -series expansions of both sides are compared. To achieve this, we worked with Maple:

All presented identities have been verified up to a high order. A q -series Maple

⁴Due to the Spin-Statistics-Theorem, both approaches are equivalent.

package and the appropriate q -product tutorial [Gar98] assisted us much with that task.

Although this procedure is no proof in a rigorous mathematical sense, there are the following arguments for this approach to be sufficient:

At first, if we know that a fermionic partition function has a finite representation of the modular group that is the same as for the bosonic one, it will be enough to know only a finite number of terms. Otherwise, if we do not know this fermionic representation, one can show by retrospectively drawing upon Nahm's conjecture (see section 6.2) that comparisons up to an only finite order will again be sufficient.

Let us now attend to the analysis of the fermionic expressions.

5. Fermionic Expressions

5.1. Fermionic Virasoro Characters

Non-unique bases of the Hilbert spaces in two dimensional CFTs establish the existence of several alternative character formulae. From both a mathematical and physical point of view, further interest attaches to the so-called fermionic sum representations for a character, which first appeared in the context of the Rogers-Schur-Ramanujan identities (4.2.1) and (4.2.2). As we have seen, the characters of the minimal models are constructed as formal power series χ in some variable $q = e^{2\pi i\tau}$, $\tau \in \mathfrak{h}$ (upper half-plane).

In the first systematic study of fermionic expressions [KKMM93b], sum representations for all characters of the unitary Virasoro minimal models and certain non-unitary minimal models were given. The list of expressions was augmented to all p and p' and certain r and s in [BMS98]. Eventually, the fermionic expressions for the characters of all minimal models were summarized in [Wel05]. Such a fermionic expression, which is a generalization of the left hand sides of (4.2.1) and (4.2.2), is a linear combination of fundamental fermionic forms.

A fundamental fermionic form [BMS98, Wel05, DKMM94] is

$$\sum_{\substack{\vec{m} \in (\mathbb{Z}_{\geq 0})^r \\ \text{restrictions}}} \frac{q^{\vec{m}^t A \vec{m} + \vec{b}^t \vec{m} + c}}{\prod_{i=1}^j (q)_i} \prod_{i=j+1}^r \left[\begin{matrix} g(\vec{m}) \\ m_i \end{matrix} \right]_q \quad (5.1.1)$$

with $A \in M_r(\mathbb{Q})$, $\vec{b} \in \mathbb{Q}^r$, $c \in \mathbb{Q}$, $0 \leq j \leq r$, g a certain linear, algebraic function in the m_i , $1 \leq i \leq r$, and the q -binomial coefficient (cf. A.2 in the appendix) defined as

$$\left[\begin{matrix} n \\ m \end{matrix} \right]_q = \begin{cases} \frac{(q)_n}{(q)_m (q)_{n-m}} & \text{if } 0 \leq m \leq n \\ 0 & \text{otherwise} \end{cases} . \quad (5.1.2)$$

If $j = r$, then the fundamental fermionic form reduces to the form that is found in Nahm's conjecture (see section 6.2)

$$f_{A, \vec{b}, c}(\tau) = \sum_{\substack{\vec{m} \in (\mathbb{Z}_{\geq 0})^r \\ \text{restrictions}}} \frac{q^{\vec{m}^t A \vec{m} + \vec{b}^t \vec{m} + c}}{(q)_{\vec{m}}} , \quad (5.1.3)$$

which makes a prediction whether for a given matrix A there exist \vec{b} and c such that (5.1.3) is a modular function.¹

¹The constant c is not to be confused with the central charge $c_{p,p'}$.

The construction of the fermionic expressions for all Virasoro characters $\chi_{r,s}^{p,p'}$, $1 < p' < p$, p and p' coprime and $1 \leq r < p, 1 \leq s < p$ as usual, is sketched here, for details see [Wel05]: Note that p and p' are interchanged there.

As already mentioned above, each such expression is a sum of terms of fundamental fermionic form type. Basically, all these fermionic expressions can be obtained by using certain trees (see section 5.1.2), Given a fixed r - and s -value, the corresponding trees are constructed from the Takahashi lengths and truncated Takahashi lengths, which are associated with the continued fraction of $\frac{p}{p'}$, which will be defined in the next section.

5.1.1. Continued Fractions

So, the continued fraction of $\frac{p}{p'}$ plays a central role in the construction of the mentioned fermionic expressions. Let p and p' be coprime integers with $1 \leq p' < p$. If

$$\frac{p}{p'} = c_0 + \frac{1}{c_1 + \frac{1}{\vdots c_{n-2} + \frac{1}{c_{n-1} + \frac{1}{c_n}}}} \quad (5.1.4)$$

with $c_i \geq 1$ for $0 \leq i < n$, and $c_n \geq 2$, then $[c_0, c_1, c_2, \dots, c_n]$ is said to be the continued fraction for p/p' .

n is called the height of p/p' , while the rank t is given by $t = c_0 + c_1 + \dots + c_n - 2$.

We also define

$$t_k = -1 + \sum_{i=0}^{k-1} c_i, \quad (5.1.5)$$

for $0 \leq k \leq n+1$. Then $t_{n+1} = t + 1$ and $t_n \leq t - 1$.

The appearance of q -binomial coefficients (see A.2 in the appendix) in fermionic character expressions is directly ruled by the continued fraction, i.e. no q -binomial coefficients appear if

$$\sum_{i=1}^n c_i < 4. \quad (5.1.6)$$

5.1.2. Takahashi Trees

A Takahashi tree is calculated for a ($1 \leq a < p$) with the help of the Takahashi lengths [BMS98] that are associated with the continued fraction. It is a binary tree of positive integers, which starts with an unlabeled root node. The following nodes are labelled $a_{i_1 i_2 \dots i_k}$ for some $k \geq 1$, with $i_j \in \{0, 1\}$ for $1 \leq j \leq k$. They are either branch-nodes, through-nodes or leaf-nodes. While a branch-node is followed by another branch-node or a leaf-node, a through-node is always the parent for a leaf-node. Naturally, every arm ends with a leaf-node. The tree is generated recursively, starting with the root node, which depends on the corresponding set of

Takahashi lengths. Furthermore, every Takahashi tree is finite, since each leaf-node occurs no deeper than $n + 1$ levels below the root node. So, a maximum number of 2^n leaf-nodes is possible. A typical Takahashi tree is shown in Fig. 5.1.

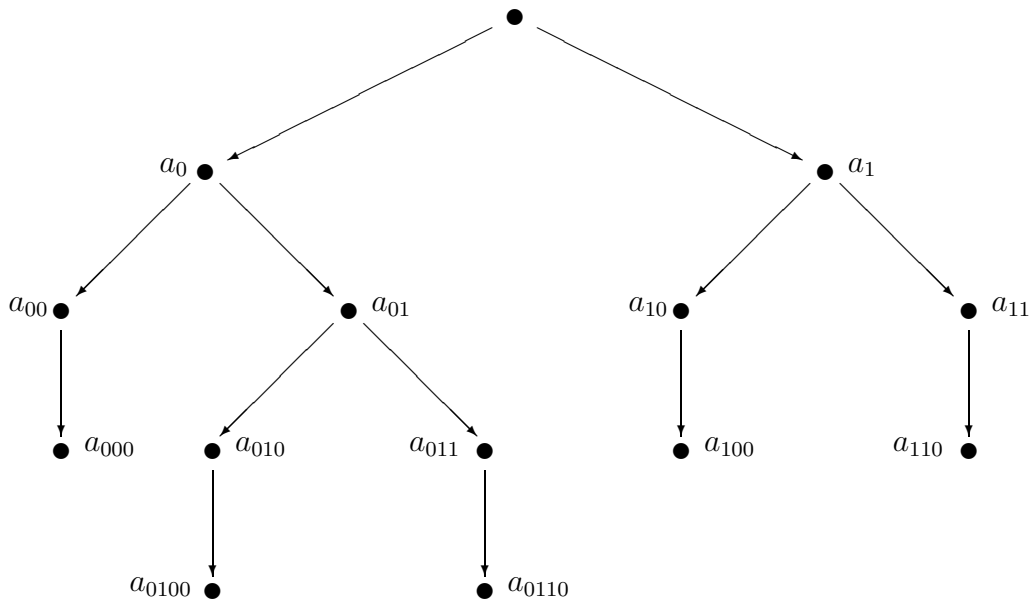


Figure 5.1.: A typical Takahashi tree

5.1.3. In Search of New Identities

It is interesting to compare the expressions, which we calculated following the just mentioned construction scheme, with other known expressions for minimal models, found mostly in [Byt99a, Byt99b, KKMM93a, KKMM93b]. In this way interesting identities arise: the same character can be either expressed with or without q -binomial coefficients.

Let us investigate the character expressions of the minimal model $\mathcal{M}(5, 4)$ associated with the Tricritical Ising Model, which we introduced in section 3.1.1.

The continued fraction associated with this model is given by $[1, 4]$: Thus, we can predict the occurrence of q -binomial coefficients by using (5.1.6).

Furthermore, the rank is $t = 3$: Thus, the matrix appearing in the fermionic expressions due to [Wel05] is a 2×2 matrix, the Cartan matrix C_{A_2} to be more precise.

There are various representations for the characters of the Tricritical Ising Model:

$$\chi_{1,1}^{5,4} = \sum_{\substack{\vec{m}=0 \\ \vec{m} \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\vec{m}^t \begin{pmatrix} \frac{1}{2} & -\frac{1}{4} \\ -\frac{1}{4} & \frac{1}{2} \end{pmatrix} \vec{m}}}{(q)_{m_1}} \begin{bmatrix} \frac{1}{2} m_1 \\ m_2 \end{bmatrix} \quad (5.1.7)$$

$$= \sum_{\substack{\vec{m}=0 \\ m_1+m_3+m_6 \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\vec{m}^t \begin{pmatrix} \frac{3}{2} & 1 & \frac{3}{2} & 2 & 2 & \frac{5}{2} & 3 \\ 1 & 2 & 2 & 2 & 3 & \frac{3}{2} & 4 \\ \frac{3}{2} & 2 & \frac{7}{2} & 3 & 4 & \frac{9}{2} & 6 \\ 2 & 2 & \frac{3}{2} & 4 & 4 & \frac{5}{2} & 6 \\ 2 & 3 & 4 & 4 & 6 & 6 & 8 \\ \frac{5}{2} & 3 & \frac{9}{2} & 5 & 6 & \frac{15}{2} & 9 \\ 3 & 4 & 6 & 6 & 8 & 9 & 12 \end{pmatrix} \vec{m}}}{(q)_{\vec{m}}}, \quad (5.1.8)$$

$$\chi_{2,1}^{5,4} = \sum_{\vec{m}}^{\infty} \frac{q^{\vec{m}^t \begin{pmatrix} 2 & \frac{1}{2} \\ \frac{1}{2} & \frac{1}{2} \end{pmatrix} \vec{m} + \begin{pmatrix} 2 \\ \frac{1}{2} \end{pmatrix}^t \vec{m}}}{(q)_{\vec{m}}} \quad (5.1.9)$$

$$= \sum_{\substack{\vec{m}=0 \\ \vec{m} \equiv \begin{pmatrix} 1 \\ 0 \end{pmatrix} \pmod{2}}}^{\infty} \frac{q^{\vec{m}^t \begin{pmatrix} \frac{1}{2} & -\frac{1}{4} \\ -\frac{1}{4} & \frac{1}{2} \end{pmatrix} \vec{m} - \frac{1}{2}}}{(q)_{m_1}} \begin{bmatrix} \frac{m_1+1}{2} \\ m_2 \end{bmatrix} \quad (5.1.10)$$

$$= \sum_{\vec{m}=0}^{\infty} \frac{(-1)^{m_3} q^{\vec{m}^t \begin{pmatrix} \frac{1}{2} & 0 & 0 \\ 0 & \frac{1}{2} & \frac{1}{2} \\ 0 & \frac{1}{2} & \frac{1}{2} \end{pmatrix} \vec{m} + \begin{pmatrix} \frac{1}{2} \\ 1 \\ 1 \end{pmatrix}^t \vec{m}}}{(q)_{\vec{m}}} \quad (5.1.11)$$

$$= \sum_{\vec{m}=0}^{\infty} \frac{q^{\vec{m}^t \begin{pmatrix} 2 & 0 \\ 0 & \frac{1}{2} \end{pmatrix} \vec{m} + \begin{pmatrix} 2 \\ \frac{1}{2} \end{pmatrix}^t \vec{m}}{(q^2)_{m_1} (q)_{m_2}}. \quad (5.1.12)$$

$$\chi_{2,2}^{5,4} = \sum_{\vec{m}}^{\infty} \frac{q^{\vec{m}^t \begin{pmatrix} 2 & \frac{1}{2} \\ \frac{1}{2} & \frac{1}{2} \end{pmatrix} \vec{m} + \begin{pmatrix} 0 \\ \frac{1}{2} \end{pmatrix}^t \vec{m}}}{(q)_{\vec{m}}} \quad (5.1.13)$$

$$= \sum_{\substack{\vec{m}=0 \\ \vec{m} \equiv \begin{pmatrix} 1 \\ 0 \end{pmatrix} \pmod{2}}}^{\infty} \frac{q^{\vec{m}^t \begin{pmatrix} \frac{1}{2} & -\frac{1}{4} \\ -\frac{1}{4} & \frac{1}{2} \end{pmatrix} \vec{m} + \begin{pmatrix} -\frac{1}{2} \\ 0 \end{pmatrix}^t \vec{m}}}{(q)_{m_1}} \begin{bmatrix} \frac{m_1+1}{2} \\ m_2 \end{bmatrix} \quad (5.1.14)$$

$$= \sum_{\vec{m}=0}^{\infty} \frac{(-1)^{m_3} q^{\vec{m}^t \begin{pmatrix} \frac{1}{2} & 0 & 0 \\ 0 & \frac{1}{2} & \frac{1}{2} \\ 0 & \frac{1}{2} & \frac{1}{2} \end{pmatrix} \vec{m} + \begin{pmatrix} \frac{1}{2} \\ 0 \\ 0 \end{pmatrix}^t \vec{m}}}{(q)_{\vec{m}}} \quad (5.1.15)$$

$$= \sum_{\vec{m}=0}^{\infty} \frac{q^{\vec{m}^t \begin{pmatrix} 2 & 0 \\ 0 & \frac{1}{2} \end{pmatrix} \vec{m} + \begin{pmatrix} 0 \\ \frac{1}{2} \end{pmatrix}^t \vec{m}}{(q^2)_{m_1} (q)_{m_2}}. \quad (5.1.16)$$

$$\chi_{3,1}^{5,4} = \sum_{\substack{\vec{m}=0 \\ \vec{m} \equiv \begin{pmatrix} 0 \\ 1 \end{pmatrix} \pmod{2}}}^{\infty} \frac{q^{\vec{m}^t \begin{pmatrix} \frac{1}{2} & -\frac{1}{4} \\ -\frac{1}{4} & \frac{1}{2} \end{pmatrix} \vec{m} - \frac{3}{2}}}{(q)_{m_1}} \begin{bmatrix} \frac{1}{2} m_1 \\ m_2 \end{bmatrix} \quad (5.1.17)$$

$$= \sum_{\substack{\vec{m}=0 \\ m_1+m_3+m_6 \equiv 1 \pmod{2}}}^{\infty} \frac{q^{\vec{m}^t \begin{pmatrix} \frac{3}{2} & 1 & \frac{3}{2} & 2 & 2 & \frac{5}{2} & 3 \\ 1 & 2 & 2 & 2 & 3 & 3 & 4 \\ \frac{3}{2} & 2 & \frac{7}{2} & 3 & 4 & \frac{9}{2} & 6 \\ 2 & 2 & 3 & 4 & 4 & 5 & 6 \\ 2 & 3 & 4 & 4 & 6 & 6 & 8 \\ \frac{5}{2} & 3 & \frac{9}{2} & 5 & 6 & \frac{13}{2} & 9 \\ 3 & 4 & 6 & 6 & 8 & 9 & 12 \end{pmatrix} \vec{m}}}{(q)_{\vec{m}}} . \quad (5.1.18)$$

These examples punctuate the fact that fermionic expressions are indeed not unique.

Furthermore, realize that there exists a fixed matrix, depending on the form of representation one has chosen, for a given model that did not change by varying the r - or s -values: compare e.g. the matrices in (5.1.7), (5.1.10), (5.1.14) and (5.1.17). Note the fact that the occurring 7×7 matrix equals the inverse of the Cartan matrix C_{E_7} (see figure C.4 in appendix C).

Although there exist many individual examples, generalized identities that hold for all r - and s -values still remain to be found.

Another way of linking different character expressions is given by an additional symmetry property [Byt99a], namely

$$\chi_{\alpha r, s}^{\alpha p, p'}(q) = \chi_{r, \alpha s}^{p, \alpha p'}(q) . \quad (5.1.19)$$

Evaluating both sides with the introduced procedure from [Wel05], (5.1.19) constitutes a simple possibility to relate representations of different dimensionality.

Thus, for example, (5.1.19) implies due to [Byt99b]

$$\chi_{n,3}^{6,5} = \chi_{1,3n}^{15,2} \quad \text{for } n \in \{1, 2\} \quad (5.1.20)$$

for the Three-State Potts model associated with $\mathcal{M}(6, 5)$ [Dot84], i.e. the next unitary minimal model after the Tricritical Ising model, which has been introduced in section 3.1.1.

Setting $n = 1$, this relates the expressions

$$\chi_{1,3}^{6,5} = \sum_{\substack{\vec{m}=0 \\ \vec{m} \equiv \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \pmod{2}}}^{\infty} \frac{q^{\vec{m}^t \begin{pmatrix} \frac{1}{2} & -\frac{1}{4} & 0 \\ -\frac{1}{4} & \frac{1}{2} & -\frac{1}{4} \\ 0 & -\frac{1}{4} & \frac{1}{2} \end{pmatrix} \vec{m} + \begin{pmatrix} 0 \\ -\frac{1}{2} \\ 0 \end{pmatrix}^t \vec{m} - \frac{1}{2}}{(q)_{m_1}} \begin{bmatrix} \frac{1}{2}(m_1 + m_3 + 1) \\ m_2 \end{bmatrix} \begin{bmatrix} \frac{1}{2}m_2 \\ m_3 \end{bmatrix} = \quad (5.1.21)$$

$$\chi_{1,3}^{15,2} = \sum_{\vec{m}=0}^{\infty} \frac{q^{\vec{m}^t \begin{pmatrix} 1 & 1 & 1 & 1 & 1 \\ 1 & 2 & 2 & 2 & 2 \\ 1 & 2 & 3 & 3 & 3 \\ 1 & 2 & 3 & 4 & 4 \\ 1 & 2 & 3 & 4 & 5 \\ 1 & 2 & 3 & 4 & 5 & 6 \end{pmatrix} \vec{m} + \begin{pmatrix} 0 \\ 1 \\ 2 \\ 3 \\ 4 \end{pmatrix}^t \vec{m}}{(q)_{\vec{m}}} . \quad (5.1.22)$$

Note that the matrices occurring in (5.1.21) and (5.1.22) are the Cartan matrix C_{A_3} (see figure C.1 in section C in the appendix) and the inverse of the Cartan matrix C_{T_6} associated with the tadpole diagram (see figure C.6 in section C in the appendix), respectively.

It is a peculiarity in [Wel05] that the Cartan matrix C_{A_n} for an arbitrary n appears in many fermionic character expressions as we have already noticed in the expression for the minimal model $\mathcal{M}(5, 4)$. Naturally, the inverses of Cartan matrices are expected.

5.1.4. Possible Connections to the \mathcal{W} -algebra Characters

In this context, we mention an attempt to link these fermionic character expressions for minimal models to the ones for \mathcal{W} -algebras. The $c_{2,1}$ model formally matches the $c_{6,3}$ minimal model due to its occurring highest weights. But since only coprime values for p and p' are allowed, we tried to obtain the right expression with the help of the limiting process $\lim_{n \rightarrow \infty} (M(n, 2n + 1))$ yielding in the limit the same continued fraction as the $c_{2,1}$ model: We hoped for a matrix with finite dimension, but this procedure failed, since the dimension of the corresponding matrix increases to infinity during the sequence. This result can also be anticipated by analyzing the continued fraction.

Let us summarize our yet obtained results:

While the analysis of the fermionic expressions due to [Wel05] gave us a manifold insight into the generic structure of these characters, especially into symmetries that could be relevant for the following approaches, unfortunately, we did not find any concrete hint, which would have led us directly to the fermionic character expressions of the $c_{p,1}$ models that we will discuss in the next sections.

5.2. Fermionic Characters of the $c_{p,1}$ Series

We present fermionic sum representations for all characters of all $c_{p,1}$ models. All of them consist of only one fundamental fermionic form. In this section, we first

present in detail the fermionic expressions for the case $p = 2$ and then generalize to $p > 2$.

5.2.1. The Case of $p = 2$

At the beginning, let us recall the bosonic character expressions for the case $p = 2$.

The Complete Set of the Bosonic $c_{2,1}$ Characters

$$\chi_{1,2}^+ = \frac{\Theta_{1,2} + (\partial\Theta)_{1,2}}{2\eta} \quad \text{vacuum irrep } V_0 \text{ to } h_{1,1} = 0 \quad (5.2.1)$$

$$\chi_{0,2} = \frac{\Theta_{0,2}}{\eta} \quad \text{irrep to } h_{1,2} = -\frac{1}{8} \quad (5.2.2)$$

$$\chi_{1,2} = \frac{\Theta_{1,2}}{\eta} \quad \text{indecomp. rep } R_0(\supset V_0) \text{ to } h_{1,3} = 0 \quad (5.2.3)$$

$$\chi_{2,2} = \frac{\Theta_{2,2}}{\eta} \quad \text{irrep to } h_{1,4} = \frac{3}{8} \quad (5.2.4)$$

$$\chi_{1,2}^- = \frac{\Theta_{1,2} - (\partial\Theta)_{1,2}}{2\eta} \quad \text{irrep to } h_{1,5} = 1. \quad (5.2.5)$$

When $\alpha \rightarrow 0$, the general forms (3.2.22) and (3.2.23) lead to the character expression (5.2.3) [Kau95, Flo97]. Actually, as we have already talked about in 3.2.2, there exist two indecomposable representations, R_0 and R_1 , which, however, are isomorphic to each other and thus share the same character.

From the Bosonic to the Fermionic Expressions

Starting with the bosonic characters of the form $\frac{\Theta_{\lambda,k}}{\eta} = \frac{\Theta_{\lambda,k}}{q^{\frac{1}{24}}(q)_\infty}$, it is straightforward to derive the corresponding fermionic expressions. On the way, a key role is played by the q -analogue of Kummer's Theorem due to Cauchy [And84a], which is given by

$$\sum_{n=0}^{\infty} \frac{q^{n^2-n} z^n}{(q)_n \prod_{j=1}^n (1 - zq^{j-1})} = \prod_{m=0}^{\infty} (1 - zq^m)^{-1} \quad (5.2.6)$$

Setting $z = q^{k+1}$ and dividing by $(q)_k$, we obtain a nice representation for the inverse of the Dedekind- η function², namely

$$\sum_{n=0}^{\infty} \frac{q^{n^2+nk}}{(q)_n (q)_{n+k}} = \frac{1}{(q)_\infty}, \quad (5.2.7)$$

which is valid for $k \in \mathbb{Z}_{\geq 0}$ and known as the so-called Durfee rectangle identity.

²Strictly speaking, it is divided by $q^{\frac{1}{24}}$ here for a simpler calculation.

Let us now transform $\frac{\Theta_{\lambda,k}}{(q)_\infty}$ into its fermionic form:

$$\frac{\Theta_{\lambda,k}}{(q)_\infty} = \frac{1}{(q)_\infty} \sum_{-\infty}^{\infty} q^{\frac{(2kn+\lambda)^2}{4k}} \quad (5.2.8)$$

$$= \frac{1}{(q)_\infty} \left(\sum_{n=1}^{\infty} q^{\frac{(2kn-\lambda)^2}{4k}} + q^{\frac{\lambda^2}{4k}} + \sum_{n=1}^{\infty} q^{\frac{(2kn+\lambda)^2}{4k}} \right) \quad (5.2.9)$$

$$= \frac{1}{(q)_\infty} \left(\sum_{n=1}^{\infty} q^{kn^2 + \frac{\lambda^2}{4k} - n\lambda} + q^{\frac{\lambda^2}{4k}} + \sum_{n=1}^{\infty} q^{kn^2 + \frac{\lambda^2}{4k} + n\lambda} \right) \quad (5.2.10)$$

$$= \left(\sum_{n=1}^{\infty} \sum_{m_1=0}^{\infty} \frac{q^{m_1^2 + m_1 2n}}{(q)_{m_1} (q)_{m_1 + 2n}} q^{kn^2 + \frac{\lambda^2}{4k} - n\lambda} + q^{\frac{\lambda^2}{4k}} \sum_{m=0}^{\infty} \frac{q^{m^2}}{(q)_m^2} \right. \\ \left. + \sum_{n=1}^{\infty} \sum_{m_2=0}^{\infty} \frac{q^{m_2^2 + m_2 2n}}{(q)_{m_2 + 2n} (q)_{m_2}} q^{kn^2 + \frac{\lambda^2}{4k} + n\lambda} \right) \quad (5.2.11)$$

$$= \left(\sum_{\substack{0 \leq m_1 < m_2 \\ m_1 + m_2 \equiv 0 \pmod{2}}}^{\infty} \frac{q^{m_1 m_2 + \frac{k}{4}(m_1^2 + m_2^2) - \frac{k}{2} m_1 m_2 + \frac{\lambda}{2}(m_1 - m_2) + \frac{\lambda^2}{4k}}}{(q)_{m_1} (q)_{m_2}} + q^{\frac{\lambda^2}{4k}} \sum_{m=0}^{\infty} \frac{q^{m^2}}{(q)_m^2} \right. \\ \left. + \sum_{\substack{0 \leq m_2 < m_1 \\ m_1 + m_2 \equiv 0 \pmod{2}}}^{\infty} \frac{q^{m_1 m_2 + \frac{k}{4}(m_1^2 + m_2^2) - \frac{k}{2} m_1 m_2 + \frac{\lambda}{2}(m_1 - m_2) + \frac{\lambda^2}{4k}}}{(q)_{m_1} (q)_{m_2}} \right) \quad (5.2.12)$$

$$= q^{\frac{\lambda^2}{4k}} \left(\sum_{\substack{\vec{m}=0 \\ m_1 + m_2 \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\frac{1}{4} \vec{m}^t \begin{pmatrix} k & 2-k \\ 2-k & k \end{pmatrix} \vec{m} + \frac{1}{2} \begin{pmatrix} \lambda \\ -\lambda \end{pmatrix}^t \vec{m}}}{(q)_{m_1} (q)_{m_2}} \right). \quad (5.2.13)$$

At first, we have used (5.2.7) three times in line (5.2.11), twice with $k = 2n$ and once with $k = 0$, and afterwards, we have set $m_2 + 2n = m_1$ and $m_1 + 2n = m_2$, respectively, in line (5.2.12). The same transformation can be accomplished analogously for the case, which includes the restriction $m_1 + m_2 \equiv 1 \pmod{2}$. Accordingly, we obtain the final result, the sum-restricted r -fold q -hypergeometric series

$$\Lambda_{\lambda,k}(\tau) = \frac{\Theta_{\lambda,k}(\tau)}{\eta(\tau)} \\ = \sum_{\substack{\vec{m}=0 \\ m_1 + m_2 \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\frac{1}{4} \vec{m}^t \begin{pmatrix} k & 2-k \\ 2-k & k \end{pmatrix} \vec{m} + \frac{1}{2} \begin{pmatrix} \lambda \\ -\lambda \end{pmatrix}^t \vec{m} + \frac{\lambda^2}{4k} - \frac{1}{24}}{(q)_{\vec{m}}} \quad (5.2.14)$$

$$= \sum_{\substack{\vec{m}=0 \\ m_1 + m_2 \equiv 1 \pmod{2}}}^{\infty} \frac{q^{\frac{1}{4} \vec{m}^t \begin{pmatrix} k & 2-k \\ 2-k & k \end{pmatrix} \vec{m} + \frac{1}{2} \begin{pmatrix} -(k-\lambda) \\ k-\lambda \end{pmatrix}^t \vec{m} + \frac{(k-\lambda)^2}{4k} - \frac{1}{24}}{(q)_{\vec{m}}} \quad (5.2.15)$$

with $(q)_{\vec{m}} = \prod_{i=1}^r (q)_{m_i}$, $r = 2$ [KMM93].³ This serves for (5.2.2) to (5.2.4) and is in agreement with Nahm's conjecture (see e.g. [Nah04]), which predicts that for

³Note that (5.2.14) is not unique as well as (B.2.1) is not: According to (B.2.2), the vector may

a matrix of the form $A = \frac{1}{2} \begin{pmatrix} \alpha & 1-\alpha \\ 1-\alpha & \alpha \end{pmatrix}$ with rational coefficients, there exist a vector $\vec{b} \in \mathbb{Q}^r$ and a constant $c \in \mathbb{Q}$ such that (6.2.1) is a modular function.

The fermionic expressions of the remaining two characters may be calculated as follows: By using $\frac{(\partial\theta)_{1,2}}{\eta^3(q)} = 1$ and the easily proven identity

$$\eta(q) = q^{\frac{1}{24}} \sum_{n=0}^{\infty} \frac{(-1)^n q^{\binom{n+1}{2}}}{(q)_n} \quad (5.2.16)$$

by Euler (see e.g. [And84a]), which implies that

$$\eta^2(q) = \tilde{\eta}^2(q, -1) \quad \text{with} \quad \tilde{\eta}^2(q, z) = \sum_{\vec{m}=0}^{\infty} \frac{q^{\frac{1}{2}\vec{m}^t \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \vec{m} + \frac{1}{2} \begin{pmatrix} 1 \\ 1 \end{pmatrix}^t \vec{m} + \frac{1}{12} z^{m_1+m_2}}{(q)_{\vec{m}}}, \quad (5.2.17)$$

and by using furthermore the relation

$$\sum_{\vec{m}=0}^{\infty} \frac{q^{\frac{1}{2}\vec{m}^t \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \vec{m} + \frac{1}{2} \begin{pmatrix} 1 \\ 1 \end{pmatrix}^t \vec{m}}{(q)_{\vec{m}}} = \sum_{\substack{\vec{m}=0 \\ m_1+m_2 \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\frac{1}{2}\vec{m}^t \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \vec{m} + \frac{1}{2} \begin{pmatrix} 1 \\ -1 \end{pmatrix}^t \vec{m}}{(q)_{\vec{m}}} \quad (5.2.18)$$

the remaining two characters also yield expressions which consist of only one fundamental fermionic form. The last step follows essentially from

$$\sum_{m=0}^{\infty} \frac{q^{m(m+1)/2}}{(q)_m} = \frac{1}{2} \sum_{m=0}^{\infty} \frac{q^{m(m-1)/2}}{(q)_m}. \quad (5.2.19)$$

The Complete Set of the Fermionic $c_{2,1}$ Characters

The following is a list of the fermionic expressions for all five characters of the LCFT model corresponding to central charge $c_{2,1} = -2$:

$$\chi_{1,2}^+ = \sum_{\substack{\vec{m}=0 \\ m_1+m_2 \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\frac{1}{2}\vec{m}^t \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \vec{m} + \frac{1}{2} \begin{pmatrix} 1 \\ 1 \end{pmatrix}^t \vec{m} + \frac{1}{12}}{(q)_{\vec{m}}} \quad (5.2.20)$$

$$\chi_{0,2} = \sum_{\substack{\vec{m}=0 \\ m_1+m_2 \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\frac{1}{2}\vec{m}^t \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \vec{m} - \frac{1}{24}}{(q)_{\vec{m}}} \quad (5.2.21)$$

$$\chi_{1,2} = \sum_{\substack{\vec{m}=0 \\ m_1+m_2 \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\frac{1}{2}\vec{m}^t \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \vec{m} + \frac{1}{2} \begin{pmatrix} 1 \\ -1 \end{pmatrix}^t \vec{m} + \frac{1}{12}}{(q)_{\vec{m}}} \quad (5.2.22)$$

$$\chi_{2,2} = \sum_{\substack{\vec{m}=0 \\ m_1+m_2 \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\frac{1}{2}\vec{m}^t \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \vec{m} + \begin{pmatrix} 1 \\ -1 \end{pmatrix}^t \vec{m} + \frac{11}{24}}{(q)_{\vec{m}}} \quad (5.2.23)$$

$$\chi_{1,2}^- = \sum_{\substack{\vec{m}=0 \\ m_1+m_2 \equiv 1 \pmod{2}}}^{\infty} \frac{q^{\frac{1}{2}\vec{m}^t \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \vec{m} + \frac{1}{2} \begin{pmatrix} 1 \\ 1 \end{pmatrix}^t \vec{m} + \frac{1}{12}}{(q)_{\vec{m}}}. \quad (5.2.24)$$

be changed in certain ways along with the constant.

Using the equality to the bosonic representation of the characters, these give bosonic-fermionic q -series identities generalizing the left and right hand sides of (4.2.5) and (4.2.6). In (5.2.21) to (5.2.23), also the last line of (5.2.14) may be used, where $m_1 + m_2 \equiv 1 \pmod{2}$.

It is remarkable that, although two of the bosonic characters have inhomogeneous modular weight, there exists a uniform fermionic representation for all five characters with the same matrix A in every case. But on the other hand, this is a satisfying result, since this is also the case for all other models for which fermionic character expressions are known: Their different modules are only distinguished by the linear term in the exponent, not by the quadratic one.

The fact that the quadratic form is diagonal fits well in the description of the $c = -2$ model in terms of symplectic fermions (see section 7.6) forming an $SU(2)$ doublet here (cf. 7.6). Thus, it will become clear in the next section that the matrix A for the case $p = 2$ can be understood as the degenerate inverse Cartan matrix of the series of Lie algebras D_p corresponding to the group $SO(4)$ or $SU(2) \times SU(2)$, respectively (see section C in the appendix).

5.2.2. The Case of $p > 2$

We now generalize the results of the foregoing section to $p > 2$ and present fermionic sum representations for all characters of the LCFT models corresponding to central charge $c_{p,1}$. All of them consist of a single fundamental fermionic form. This can be ranked as the central result of this thesis, which also constitutes the main ingredient of our published article [FGK07].

As already indicated, the matrix A in the case of $p = 2$ can be understood as the degenerate inverse Cartan matrix of the series of Lie algebras D_p (see section C in the appendix). And indeed, generalizing to the case $p > 2$, the inverse Cartan matrix of the Lie algebra D_p corresponding to a fixed p shows up in the fermionic character expressions.

The Complete Set of the Fermionic $c_{p,1}$ Characters ($p > 2$)

The fermionic character expressions for the $c_{p,1}$ models⁴, which we checked numerically up to $k = 5$ and high order and assume them to hold for $k > 5$, can be expressed as follows and their q -series expansions equal the bosonic character expressions in fact (cf. (3.2.18)-(3.2.23)), the latter being redisplayed on the r.h.s. for

⁴This means the characters and not the torus vacuum amplitudes (3.2.22) and (3.2.23). Note that $\lim_{\alpha \rightarrow 0} \tilde{\chi}_{\lambda,k}^+ = \lim_{\alpha \rightarrow 0} \tilde{\chi}_{\lambda,k}^- = \chi_{\lambda,k}$ for $0 < \lambda < k$.

convenience:

$$\chi_{\lambda,k} = \sum_{\substack{\vec{m}=0 \\ m_{k-1}+m_k \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\vec{m}^t C_{D_k}^{-1} \vec{m} + \vec{b}_{\lambda,k}^t \vec{m} + c_{\lambda,k}^*}}{(q)_{\vec{m}}} = \frac{\Theta_{\lambda,k}}{\eta} \quad (5.2.25)$$

$$\chi_{\lambda',k}^+ = \sum_{\substack{\vec{m}=0 \\ m_{k-1}+m_k \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\vec{m}^t C_{D_k}^{-1} \vec{m} + \vec{b}_{\lambda',k}^t \vec{m} + c_{\lambda',k}^*}}{(q)_{\vec{m}}} = \frac{(k - \lambda')\Theta_{\lambda',k} + (\partial\Theta)_{\lambda',k}}{k\eta} \quad (5.2.26)$$

$$\chi_{\lambda',k}^- = \sum_{\substack{\vec{m}=0 \\ m_{k-1}+m_k \equiv 1 \pmod{2}}}^{\infty} \frac{q^{\vec{m}^t C_{D_k}^{-1} \vec{m} + \vec{b}_{\lambda',k}^t \vec{m} + c_{k-\lambda',k}^*}}{(q)_{\vec{m}}} = \frac{\lambda'\Theta_{\lambda',k} - (\partial\Theta)_{\lambda',k}}{k\eta} \quad (5.2.27)$$

for $0 \leq \lambda \leq k$ and $0 < \lambda' < k$, where $k = p$ since $p' = 1$ and $(\vec{b}_{\lambda,k})_i = \frac{\lambda}{2}(\pm\delta_{i,k-1} \mp \delta_{i,k})$ for $1 \leq i \leq k$, $(\vec{b}_{\lambda',k}^+)_i = \max\{0, \lambda' - (k - i - 1)\}$ for $1 \leq i < k - 1$ and $(\vec{b}_{\lambda',k}^+)_i = \frac{\lambda'}{2}$ for $k - 1 \leq i \leq k$, $(\vec{b}_{\lambda',k}^-)_i = (\vec{b}_{k-\lambda',k}^+)_i$ and $c_{\lambda,k}^* = \frac{\lambda^2}{4k} - \frac{1}{24}$. Note the fact that the restriction $m_{k-1} + m_k \equiv 1 \pmod{2}$ may also be used in (5.2.25), but then the vector and the constant change to $\vec{b}_{k-\lambda,k}$ and $c_{k-\lambda,k}^*$, respectively, by virtue to (5.2.14).

The matrix $A = C_{D_p}^{-1}$ for $p \geq 3$ is of the form

$$C_{D_p}^{-1} = \frac{1}{4} \begin{pmatrix} 4 & 4 & \dots & 4 & 2 & 2 \\ 4 & 8 & \dots & 8 & 4 & 4 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 4 & 8 & \dots & 4p-8 & 2p-4 & 2p-4 \\ 2 & 4 & \dots & 2p-4 & p & p-2 \\ 2 & 4 & \dots & 2p-4 & p-2 & p \end{pmatrix}. \quad (5.2.28)$$

Building such a matrix you have to start down right, i.e. $C_{D_3}^{-1}$ with $p = 3$, for example, is of the form

$$C_{D_3}^{-1} = \frac{1}{4} \begin{pmatrix} 4 & 2 & 2 \\ 2 & 3 & 1 \\ 2 & 1 & 3 \end{pmatrix}. \quad (5.2.29)$$

In this form it is obvious that $C_{D_3}^{-1}$ indeed equals $C_{A_3}^{-1}$ as is evident from the associated Dynkin diagrams in figure 5.2 (cf. the figures C.2 and C.1 in the appendix). Furthermore, this coincidence implicates the fact that various fermionic expressions, which correspond to different A-D-E-T series, may bear relation to each other and hence presumably culminate in a diversity of interpretation.

Thus, as in the previous section, the $p \times p$ matrix $A = C_{D_p}^{-1}$ is the same for all characters corresponding to a fixed p , i.e. for all characters of exactly one selected $c_{p,1}$ model. This is in agreement with previous results on fermionic expressions, since it is known to also be the case for the characters of a given minimal model (see section 5.1). Note that in [KKMM93a], fermionic expressions for the characters of the free boson with central charge $c = 1$ and compactification radius $r = \sqrt{\frac{p}{2}}$

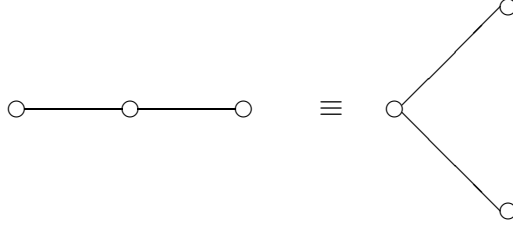


Figure 5.2.: The coincidence of the Dynkin diagrams of A_3 and D_3

[Gin88b] have been obtained. Those characters equal (5.2.25). Thus, some of the expressions in (5.2.25) already appeared in [KKMM93a], but only for $\lambda = 0$ and $\lambda = k$ and only for the special case $\vec{b} = \vec{0}$.

5.3. Fermionic Characters of the $\mathcal{W}(2, 3k)$ -algebras

For the purpose of giving fermionic expressions for the characters associated with the $\mathcal{W}(2, 3k)$ -algebras here, let us recall the fermionic expression for $\Lambda_{\lambda, k}$ from (5.2.14) here:

$$\begin{aligned}
 \Lambda_{\lambda, k}(\tau) &= \frac{\Theta_{\lambda, k}(\tau)}{\eta(\tau)} \\
 &= \sum_{\substack{\vec{m}=0 \\ m_1+m_2 \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\frac{1}{4}\vec{m}^t \begin{pmatrix} k & 2-k \\ 2-k & k \end{pmatrix} \vec{m} + \frac{1}{2}(-\lambda)^t \vec{m} + \frac{\lambda^2}{4k} - \frac{1}{24}}{(q)_{\vec{m}}} \\
 &= \sum_{\substack{\vec{m}=0 \\ m_1+m_2 \equiv 1 \pmod{2}}}^{\infty} \frac{q^{\frac{1}{4}\vec{m}^t \begin{pmatrix} k & 2-k \\ 2-k & k \end{pmatrix} \vec{m} + \frac{1}{2} \begin{pmatrix} -(k-\lambda) \\ k-\lambda \end{pmatrix}^t \vec{m} + \frac{(k-\lambda)^2}{4k} - \frac{1}{24}}{(q)_{\vec{m}}} \quad (5.3.1)
 \end{aligned}$$

Using this, the bosonic character from (3.3.7) corresponding to the representation to h_{min} can be rewritten as

$$\chi_{k+1}^{\mathcal{W}} = \frac{1}{2}(\Lambda_{0, k}(\tau) + \Lambda_{0, k+1}(\tau)) \quad (5.3.2)$$

$$= q^{-\frac{1}{24}} \sum_{\substack{\vec{m}=0 \\ m_1+m_2 \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\frac{1}{4}\vec{m}^t \begin{pmatrix} k & 2-k \\ 2-k & k \end{pmatrix} \vec{m}} + q^{\frac{1}{4}\vec{m}^t \begin{pmatrix} k+1 & 1-k \\ 1-k & k+1 \end{pmatrix} \vec{m}}}{2(q)_{\vec{m}}} \quad (5.3.3)$$

$$= q^{-\frac{1}{24}} \sum_{\substack{\vec{m}=0 \\ m_1+m_2 \equiv 1 \pmod{2}}}^{\infty} \frac{q^{\frac{1}{4}\vec{m}^t \begin{pmatrix} k & 2-k \\ 2-k & k \end{pmatrix} \vec{m} + \frac{1}{2} \begin{pmatrix} -k \\ k \end{pmatrix}^t \vec{m} + \frac{k}{4}} + q^{\frac{1}{4}\vec{m}^t \begin{pmatrix} k+1 & 1-k \\ 1-k & k+1 \end{pmatrix} \vec{m} + \frac{1}{2} \begin{pmatrix} -k-1 \\ k+1 \end{pmatrix}^t \vec{m} + \frac{k+1}{4}}}{2(q)_{\vec{m}}}. \quad (5.3.4)$$

So, for example, the character $\chi_5^{\mathcal{W}}$ with $k = 4$ can now be written in the following fermionic-like form:

$$\chi_5^{\mathcal{W}} = \frac{1}{2}(\Lambda_{0,4}(\tau) + \Lambda_{0,5}(\tau)) \quad (5.3.5)$$

$$= \sum_{\substack{\vec{m}=0 \\ m_1+m_2 \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\frac{1}{2}\vec{m}^t \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix} \vec{m} - \frac{1}{24}} + q^{\frac{1}{4}\vec{m}^t \begin{pmatrix} 5 & -3 \\ -3 & 5 \end{pmatrix} \vec{m} - \frac{1}{24}}}{2(q)_{\vec{m}}} \quad (5.3.6)$$

$$= \sum_{\substack{\vec{m}=0 \\ m_1+m_2 \equiv 1 \pmod{2}}}^{\infty} \frac{q^{\frac{1}{2}\vec{m}^t \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix} \vec{m} + \begin{pmatrix} -2 \\ 2 \end{pmatrix}^t \vec{m} + \frac{23}{24}} + q^{\frac{1}{4}\vec{m}^t \begin{pmatrix} 5 & -3 \\ -3 & 5 \end{pmatrix} \vec{m} + \frac{1}{2} \begin{pmatrix} -5 \\ 5 \end{pmatrix}^t \vec{m} + \frac{29}{24}}}{2(q)_{\vec{m}}} . \quad (5.3.7)$$

In general, it seems that the expressions like (5.3.6), involving the restriction that $m_1 + m_2$ has to be even, are simpler to handle, since both summands in the numerator share the same offset.

Having set the first occurring matrix

$$A = \frac{1}{4} \begin{pmatrix} k & 2-k \\ 2-k & k \end{pmatrix} \quad (5.3.8)$$

and the second one

$$A' = A + \frac{1}{4} \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix} = A + B , \quad (5.3.9)$$

an attempt to fuse both matrices into one is given by

$$\begin{aligned} & \sum_{\substack{\vec{m}=0 \\ m_1+m_2 \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\vec{m}^t A \vec{m}} + q^{\vec{m}^t \left(A + \frac{1}{4} \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}\right) \vec{m}}}{2(q)_{\vec{m}}} \\ = & \sum_{\substack{\vec{m}=0 \\ m_1+m_2 \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\vec{m}^t A \vec{m}} + q^{\vec{m}^t A \vec{m} + \vec{m}^t B \vec{m}}}{2(q)_{\vec{m}}} \\ = & \sum_{\substack{\vec{m}=0 \\ m_1+m_2 \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\vec{m}^t A \vec{m}}}{2(q)_{\vec{m}}} \left(1 + q^{\vec{m}^t B \vec{m}}\right) . \end{aligned} \quad (5.3.10)$$

Although there exist some nice ideas to go on, involving a relabeling of the summation indices, e.g. only summing over the even m_i or over the odd m_i , respectively, there seems to be no apparent way to reshape it into a fermionic expression, which consists of only one fundamental fermionic form, that looks like

$$\sum_{\vec{m}=0}^{\infty} \frac{q^{\vec{m}^t A_k \vec{m} + b \vec{m} + c}}{2(q)_{\vec{m}}} . \quad (5.3.11)$$

5.4. More Fermionic Forms

Some of the summands of the bosonic character expressions of the triplet algebras $\mathcal{W}(2, 2p - 1, 2p - 1, 2p - 1)$ resemble the Kač-Peterson characters of the affine Lie algebra $A_1^{(1)}$ [KP84]. Fermionic expressions for those characters are known, but most of them are not of fundamental fermionic form type. We display in short the known fermionic expressions and present new fermionic expressions of fundamental fermionic form type below.

Besides the already introduced symmetry structures of the Virasoro algebra or \mathcal{W} -algebras, there exist other symmetries that give rise to a new formulation of the fundamental fermionic forms:

In [HHT⁺92] such a new formulation was introduced for the $SU(2)$ Wess-Zumino-Witten (WZW) CFT, which is based on the Yangian symmetry, i.e. it uses invariance under the Yangian $Y(\mathfrak{sl}_2)$ to specify a spectrum in terms of multi-spinon states. Thus, the Hilbert space of the theory is obtained by repeated application of modes of the so-called spinon-field, which has $SU(2)$ spin $j = \frac{1}{2}$ and obeys fractional (semionic) statistics. This spinon formulation of the $SU(2)_1$ WZW model has been worked out in [BPS94, BLS94b, BLS94a], until it has been generalized to higher levels in [BLS95].

The mentioned Yangian $Y(\mathcal{L})$ associated to a Lie algebra \mathcal{L} is a Hopf algebra which is neither commutative nor cocommutative and thus can be viewed as a non-trivial example of a quantum group [Dri85]. Furthermore, it is interesting to note in this context that the correlation functions of the spinon-field can be derived via the celebrated Knizhnik-Zamolodchikov equation [KZ84].

At first, note the fermionic expressions for the irreducible integrable representations of $A_1^{(1)}$ at level $k - 2$ [BLS95]

$$\frac{(\partial\Theta)_{\lambda,k}(\tau)}{\eta^3(\tau)} = \sum_{\substack{m_1, \dots, m_{k-1}=0 \\ \text{restrictions}}}^{\infty} \frac{q^{\vec{m}^t B_k \vec{m} + c_{\lambda,k}^\#}}{(q)_{m_1} (q)_{m_2}} \prod_{i=3}^{k-1} \left[\begin{matrix} [(\frac{1}{2}(2 - C_{A_{k-2}}) \vec{m}')_{i-1}] \\ m_i \end{matrix} \right] \quad (5.4.1)$$

for $0 < \lambda < k$ with $\vec{m}^t = (m_1 + m_2, m_3, m_4, \dots, m_{k-1})$ and

$$4B_k = C_k + C_{A_{k-1}}, \quad (C_k)_{ij} = \begin{cases} -1 & \text{if } i + j \text{ is even and } i + j \leq 4 \\ 2 & \text{if } i + j \text{ is odd and } i + j \leq 4 \\ 0 & \text{if } i + j > 4 \end{cases}, \quad (5.4.2)$$

where C_{A_k} is the Cartan matrix of the Lie algebra $A_k \cong \mathfrak{sl}_{k+1}$ (see section C in the appendix) and $c_{\lambda,k}^\# = \frac{2\lambda^2 + k - 2k\lambda}{8k}$. Given any $x \in \mathbb{R}$, $[x]$ and $\lfloor x \rfloor$ mean the next integer greater than or equal to x and the next integer less than or equal to x , respectively. The following restrictions hold for the sum variables: $(\vec{m}')_i = (\vec{Q})_i \pmod{2}$ with $\vec{Q} = ((\sum_{j=0}^{\lfloor \frac{\lambda}{2} - 1 \rfloor} \delta_{i, \lambda - (2j+1)})_i : i \in \{1, \dots, k-2\}) \in (\mathbb{Z}_2)^{k-2}$, i.e. \vec{Q} is either of the form $(1, 0, 1, 0, \dots, 1, 0, 0, 0, \dots, 0)$ if λ is odd or of the form $(0, 1, 0, 1, \dots, 1, 0, 0, 0, \dots, 0)$ if λ is even.⁵

⁵The number and the placement of entries 1 in the latter vector may be changed in certain ways, but then an inner product $\vec{b}^t \vec{m}$ with the $k - 1$ -component vector $\vec{b}^t = (\frac{1}{2}, -\frac{1}{2}, 0, \dots, 0)$ has to be added to the quadratic form in the numerator of (5.4.1).

For $\lambda = 1$ and $\lambda = k - 1$, there exists another expression. In both cases, it consists of a single fundamental fermionic form without sum restrictions and has $2(k - 2)$ different sum indices.

For $\lambda = 1$, it reads [FS93a]

$$\frac{(\partial\Theta)_{1,k}(\tau)}{\eta^3(\tau)} = \sum_{\vec{m}=0}^{\infty} \frac{q^{\frac{1}{2}\vec{m}^t(C_{A_2} \otimes C_{T_{k-2}}^{-1})\vec{m} + c_{1,k}^b}}{(q)_{\vec{m}}}, \quad (5.4.3)$$

where C_{A_2} is as above, $C_{T_k}^{-1}$ is the inverse of the $k \times k$ Cartan matrix associated with the tadpole Dynkin diagram⁶ and the constant $c_{\lambda,k}^b = \frac{\lambda^2}{4k} - \frac{1}{8}$.

For $\lambda = k - 1$, we present the following fermionic expression:

$$\frac{(\partial\Theta)_{k-1,k}(\tau)}{\eta^3(\tau)} = \sum_{\vec{m}=0}^{\infty} \frac{q^{\frac{1}{2}\vec{m}^t(C_{A_2} \otimes C_{T_{k-2}}^{-1})\vec{m} + (\vec{a}_2 \otimes \vec{b}_{k-2})^t \vec{m} + c_{k-1,k}^b}}{(q)_{\vec{m}}} \quad (5.4.4)$$

with $\vec{a}_2^t = (1, -1)$ and $\vec{b}_k^t = (1, 2, 3, \dots, k)$. It has been checked numerically up to $k = 4$ and high order and is assumed to hold for higher values of k .

For example,

$$\chi_{3,4}^+(\tau) = \frac{\Theta_{3,4}(\tau) + (\partial\Theta)_{3,4}(\tau)}{4\eta(\tau)} \quad (5.4.5)$$

with

$$\frac{\Theta_{3,4}(\tau)}{\eta(\tau)} = \sum_{\substack{\vec{m}=0 \\ m_1+m_2 \equiv 0 \pmod{2}}}^{\infty} \frac{q^{\frac{1}{2}\vec{m}^t \begin{pmatrix} -2 & -1 \\ -1 & 2 \end{pmatrix} \vec{m} + \frac{1}{2} \begin{pmatrix} 3 \\ -3 \end{pmatrix}^t \vec{m} + \frac{25}{48}}{(q)_{\vec{m}}} \quad (5.4.6)$$

and

$$\frac{(\partial\Theta)_{3,4}(\tau)}{\eta(\tau)} = \sum_{\vec{m}=0}^{\infty} q^{\frac{1}{2}\vec{m}^t \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 2 & -1 & 2 & -1 \\ 0 & 0 & -1 & 2 & -1 & 2 \\ 0 & 0 & 2 & -1 & 4 & -2 \\ 0 & 0 & -1 & 2 & -2 & 4 \end{pmatrix} \vec{m} + \begin{pmatrix} 1 \\ 1 \\ -1 \\ 2 \\ -2 \end{pmatrix}^t \vec{m} + \frac{7}{16}}{(q)_{\vec{m}}} (-1)^{m_1+m_2} \quad (5.4.7)$$

or, equivalently,

$$\frac{(\partial\Theta)_{3,4}(\tau)}{\eta(\tau)} = \sum_{\substack{\vec{m}=0 \\ m_3+m_4 \equiv 0 \pmod{2} \\ m_5 \equiv 1 \pmod{2}}}^{\infty} \frac{q^{\frac{1}{4}\vec{m}^t \begin{pmatrix} 2 & 0 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & -1 \\ 0 & 0 & 1 & 1 & -1 \\ 0 & 0 & -1 & -1 & 2 \end{pmatrix} \vec{m} + \frac{1}{2} \begin{pmatrix} 1 \\ 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}^t \vec{m} + \frac{1}{48}}{\prod_{i=1}^4 (q)_{m_i}} (-1)^{m_1+m_2} \left[\begin{matrix} m_3+m_4 \\ 2 \\ m_5 \end{matrix} \right]_q. \quad (5.4.8)$$

A single fundamental fermionic form for the character $\chi_{3,4}^+(\tau)$ is given in section 5.2.2.

⁶The C_{T_k} Cartan matrix differs from the C_{A_k} Cartan matrix only by a 1 instead of a 2 in the lower right corner.

6. Dilogarithms and Modular Functions

As we have shown, there exists a variety of bosonic-fermionic q -series identities, of which the Rogers-Ramanujan identities constitute the archetype. In other words, these identities justify an overlap between the classes of q -hypergeometric functions and modular forms or functions, i.e. (complex) analytic functions on the upper half-plane satisfying a certain kind of functional equations.

A first effort to shed some light on these coherences mysteriously involves dilogarithms¹, due to Nahm's conjecture [Nah04]. Let us motivate the following discussion with two examples 6.1.1 and 6.1.2, which should be already familiar to the mindful reader.

6.1. q -Hypergeometric Series

Let us start with the two power series from (4.0.12)

$$F_1(q) = \sum_{n=0}^{\infty} \frac{q^{n^2}}{(q)_n} \quad (6.1.1)$$

and

$$F_q(q) = \sum_{n=0}^{\infty} \frac{q^{n^2+n}}{(q)_n} \quad (6.1.2)$$

with $|q| < 1$. As we have already shown in section 4, $F_1(q)$ and $F_q(q)$ provide infinite product expansions (4.0.13) and (4.0.15), which can be rewritten to the form

$$F_1(q) = \prod_{n \equiv \pm 1 \pmod{5}} \frac{1}{1 - q^n} \quad (6.1.3)$$

and

$$F_q(q) = \prod_{n \equiv \pm 2 \pmod{5}} \frac{1}{1 - q^n}, \quad (6.1.4)$$

respectively.

It is important to note that these both are modular functions, up to rational powers of q .

¹The aspect of Bloch groups, which is also involved, should not be of interest to us here.

By using again Jacobi's triple product identity (see (4.2.4)) to achieve fermionic sum representations and taking into account the mentioned rational powers, we get

$$\begin{aligned} q^{-\frac{1}{60}} F_1(q) &= \frac{q^{-\frac{1}{60}}}{(q)_\infty} \sum_{n \in \mathbb{Z}} (-1)^n q^{\frac{5n^2+n}{2}} \\ &= \frac{\Theta_{5,1}(q)}{\eta(q)} \end{aligned} \tag{6.1.5}$$

and

$$\begin{aligned} q^{\frac{11}{60}} F_q(q) &= \frac{q^{\frac{11}{60}}}{(q)_\infty} \sum_{n \in \mathbb{Z}} (-1)^n q^{\frac{5n^2+3n}{2}} \\ &= \frac{\Theta_{5,2}(q)}{\eta(q)}, \end{aligned} \tag{6.1.6}$$

where q is defined as usual, $\eta(q)$ being the Dedekind η -function and

$$\Theta_{5,j}(q) = \sum_{n \equiv 2j-1 \pmod{10}} (-1)^{\lfloor \frac{n}{10} \rfloor} q^{\frac{n^2}{40}} \tag{6.1.7}$$

being a modified Θ -function [Zag06].

In this form, it is striking that the expressions in the second lines of (6.1.5) and (6.1.6), respectively, are indeed modular functions, since $\eta(z)$, $\Theta_{5,1}(z)$ and $\Theta_{5,2}(z)$ are all modular forms of weight $\frac{1}{2}$. This means that they are invariant under $z \mapsto \frac{az+b}{cz+d}$ for all matrices $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ that belong to some subgroup of finite index of $SL(2, \mathbb{Z})$, even invariant under the full modular group if we combine them into a single-vector valued function (see [Zag06] for details).

The functions $F_1(q)$ and $F_q(q)$ are examples of so-called q -hypergeometric series. They are special in the sense that they belong to the very few q -hypergeometric series, which are also modular. The problem of stating under which circumstances such a combination occurs in general is a fascinating and yet unsolved question. The next section is devoted to Nahm's conjecture, which relates the answer of this question in a very special case to dilogarithms and rational conformal field theories.

6.2. Nahm's Conjecture

Nahm's conjecture deals with certain r -fold q -hypergeometric series, i.e. they are similar to the two examples (6.1.1) and (6.1.2), but the sum runs over a multi-index $(\mathbb{Z}_{\geq 0})^r$ similar to (4.1.1) now instead of only over $\mathbb{Z}_{\geq 0}$. Therefore, we define a function $f_{A, \vec{b}, c}(\tau)$ by the r -fold q -hypergeometric series (cf. (5.1.3))

$$f_{A, \vec{b}, c}(\tau) = \sum_{\substack{\vec{m} \in (\mathbb{Z}_{\geq 0})^r \\ \text{restrictions}}} \frac{q^{\vec{m}^t A \vec{m} + \vec{b}^t \vec{m} + c}}{(q)_{\vec{m}}}, \tag{6.2.1}$$

Nahm's conjecture does not answer the posed question completely, but predicts which matrices A can occur. Let $A = A_{ij} = A_{ji}$ be a positive definite symmetric

$r \times r$ matrix with rational entries. Then the conjecture makes a prediction whether for this A there exist \vec{b} and c such that (6.2.1) is a modular function.²

The main motivation for this conjecture actually comes from physics: One expects that all the modular functions $f_{A,\vec{b},c}$ obtained in this manner are characters of rational CFTs.

6.2.1. Examples

The following examples for the two simplest cases $r = 1$ and $r = 2$ in (6.2.1) support Nahm's conjecture:

1. Rank one examples

All parameters are A, \vec{b} and c are rational numbers. There are exactly seven cases known, where $f_{A,\vec{b},c}$ is a modular function:

A	\vec{b}	c
1	0	-1/60
1	1	1/60
1/2	0	-1/48
1/2	1/2	1/24
1/2	-1/2	1/24
1/4	0	-1/40
1/4	1/2	1/40

(6.2.2)

Already familiar to us are the first two cases that are the modular functions, which emanate from the Rogers-Ramanujan identities in section 6.1.

2. Rank two examples

22 individual matrices, namely

$$\begin{aligned} & \frac{1}{2} \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}, \frac{1}{2} \begin{pmatrix} 4 & 1 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}, \frac{1}{2} \begin{pmatrix} 4 & 3 \\ 3 & 3 \end{pmatrix}, \frac{1}{2} \begin{pmatrix} 8 & 3 \\ 3 & 3 \end{pmatrix}, \frac{1}{2} \begin{pmatrix} 8 & 5 \\ 5 & 4 \end{pmatrix}, \\ & \frac{1}{2} \begin{pmatrix} 11 & 9 \\ 9 & 8 \end{pmatrix}, \frac{1}{2} \begin{pmatrix} 24 & 19 \\ 19 & 16 \end{pmatrix}, \frac{1}{4} \begin{pmatrix} 4 & 2 \\ 2 & 3 \end{pmatrix}, \frac{1}{4} \begin{pmatrix} 5 & 4 \\ 4 & 4 \end{pmatrix}, \frac{1}{6} \begin{pmatrix} 8 & 1 \\ 1 & 2 \end{pmatrix} \end{aligned} \tag{6.2.3}$$

and their inverses multiplied by two are possible examples. Except for $\frac{1}{2} \begin{pmatrix} 24 & 19 \\ 19 & 16 \end{pmatrix}$, found in [Zag06], all of these examples originate from [Ter95]. In addition, three infinite families have been found in [Zag06]. Several values of \vec{b} and c , for which the function $f_{A,\vec{b},c}$ is modular, have yet been found for the following matrices only

$$\frac{1}{2} \begin{pmatrix} \alpha & 1-\alpha \\ 1-\alpha & \alpha \end{pmatrix}, \frac{1}{2} \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}, \frac{1}{2} \begin{pmatrix} 4 & 1 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}, \frac{1}{4} \begin{pmatrix} 4 & 2 \\ 2 & 3 \end{pmatrix}, \frac{1}{6} \begin{pmatrix} 4 & 2 \\ 2 & 4 \end{pmatrix}, \tag{6.2.4}$$

the first matrix being one of the three infinite families and the last one a concrete example that arises from another infinite family. The infinite family

²The constant c is not to be confused with the central charge $c_{p,p'}$.

corresponding to the matrix $\frac{1}{2} \begin{pmatrix} \alpha & 1-\alpha \\ 1-\alpha & \alpha \end{pmatrix}$ is of special interest to us, since it provides the modular function

$$f_{\frac{1}{2} \begin{pmatrix} \alpha & 1-\alpha \\ 1-\alpha & \alpha \end{pmatrix}, (-\alpha\nu), \frac{\alpha}{2}\nu^2 - \frac{1}{24}}(\tau) = \frac{1}{\eta(\tau)} \sum_{n \in \mathbb{Z} + \nu} q^{\frac{\alpha n^2}{2}} \quad (6.2.5)$$

with all $\nu \in \mathbb{Q}$. This can even be simply proved by using the identity

$$\sum_{\substack{m, n \geq 0 \\ m-n=r}} \frac{q^{mn}}{(q)_m (q)_n} = \frac{1}{(q)_\infty} \quad r \in \mathbb{Z}, \quad (6.2.6)$$

To conclude we mention that this last example with the matrix of the form $\frac{1}{2} \begin{pmatrix} \alpha & 1-\alpha \\ 1-\alpha & \alpha \end{pmatrix}$ is directly associated with the fermionic sum representations of the modular forms $\frac{\Theta_{\lambda, k}(\tau)}{\eta(\tau)}$ of weight zero.

6.3. Dilogarithm Identities for our $c_{p,1}$ Series

In certain conformal field theory models it was observed that the central charge can be expressed through the dilogarithm evaluated at certain algebraic numbers. In particular, if the fermionic representation of a CFT character is known, dilogarithm identities can be extracted. The number d is then the effective central charge c_{eff} of the CFT and is fixed by the properties of the character $\chi(q)$ with respect to modular transformations. On the other hand, that number can also be obtained from the fermionic representation through saddle point analysis (see e.g. [NRT93]). Equality of those two expressions gives the often non-trivial dilogarithm identities.

These mentioned procedures are applied to our $c_{p,1}$ models to support the fermionic character expressions we derived in section 5.2.2 even more:

We show in this section that it is possible to correctly extract dilogarithm identities from them. The effective central charge of the given LCFT model should be expressible as a sum of dilogarithm functions evaluated at certain algebraic numbers, where these numbers are determined by the matrix A in the quadratic form in the exponent of the fermionic character expression.

In general, dilogarithm identities are relations of the form

$$\frac{1}{L(1)} \sum_{i=1}^N L(x_i) = d \quad (6.3.1)$$

with x_i an algebraic, d a rational number, N being the size of the matrix A in the fermionic form, and L being the Rogers dilogarithm (see e.g. [Lew58, Lew81]), defined for $0 < x < 1$ by

$$L(x) = \sum_{n=1}^{\infty} \frac{x^n}{n^2} + \frac{1}{2} \ln(x) \ln(1-x). \quad (6.3.2)$$

The Rogers dilogarithm admits an analytic continuation on the complex plane as a multivalued analytical function of x . The dilogarithm and its generalization, the

polylogarithm, appear in a lot of branches of mathematics and physics (see e.g. [Kir95] and section B.4 in the appendix).

Dilogarithm identities for the central charges and conformal dimensions exist for at least large classes of rational CFTs. It is conjectured [NRT93] that all values of the effective central charges occurring in non-trivial rational CFTs can be expressed as one of those rational numbers that consist of a sum of an arbitrary number of dilogarithm functions evaluated at algebraic numbers from the interval $(0, 1)$. Thus, the study of dilogarithm identities arising from CFTs, e.g. the set of effective central charges that can be expressed with a fixed number N in (6.3.1), gives further insight into the classification of all rational CFTs.

Let us now go into detail with respect to the $c_{p,1}$ models: The effective central charge is a quantity originating from the properties of the CFT characters with respect to modular transformations. It is the same for all p of the LCFTs corresponding to central charge $c_{p,1}$ and it is given by

$$c_{\text{eff}}^{p,1} = c_{p,1} - 24h_{\text{min}}^{p,1} = 1. \quad (6.3.3)$$

The x_i in (6.3.1) are obtained by using the common saddle point analysis procedure (see e.g. [NRT93]), implying that the place of d in (6.3.1) is taken by the effective central charge of the conformal field theory in question. This leads to a set of algebraic equations

$$x_i = \prod_{j=1}^N (1 - x_j)^{A_{ij} + A_{ji}} \quad (6.3.4)$$

that determine the x_i , with $A = C_{D_p}^{-1}$ in the case of $\mathcal{W}(2, 2p - 1, 2p - 1, 2p - 1)$.

Although those $c_{p,1}$ theories are non-minimal models on the edge of the conformal grid, it is still possible (numerically solving (6.3.4)) to correctly extract the well-known infinite set of dilogarithm identities

$$\frac{1}{L(1)} \left(2L\left(\frac{1}{p}\right) + \sum_{j=2}^{p-1} L\left(\frac{1}{j^2}\right) \right) = 1 \quad \forall p \geq 2 \quad (6.3.5)$$

(which can be found in [Kir92] and references therein). This supports the fermionic sum representations presented in section 5.2.2 for the characters of the $\mathcal{W}(2, 2p - 1, 2p - 1, 2p - 1)$ triplet algebras even more.

In particular, the effective central charge of the logarithmic conformal field theory corresponding to central charge $c = -2$ is given by

$$c_{\text{eff}}^{2,1} = c_{2,1} - 24h_{\text{min}} = 1 \quad (6.3.6)$$

Again, it is possible to extract the following dilogarithm identity

$$L\left(\frac{1}{2}\right) = \frac{\pi^2}{12}, \quad (6.3.7)$$

which is a special case of the well-known identity

$$L(x) + L(1 - x) = \frac{\pi^2}{6}. \quad (6.3.8)$$

Finally, note the connection between the dilogarithm identities and the A-D-E(-T) classification (cf. section C in the appendix), which has been established in [GT95] and is furthermore related to the Andrews-Gordon identities, which have been introduced in (4.1.1).

7. The Quasi-Particle Interpretation

As mentioned above, fermionic sum representations of characters admit an interpretation in terms of fermionic quasi-particles, as shown in [KM93, KKMM93a, KKMM93b]. The discussion of the Bose-Fermi correspondence for the minimal models, i.e. the underlying symmetry algebra is the Virasoro algebra, is based on these results in order to clarify the physical picture. Afterwards, remarks on the triplet \mathcal{W} -algebra are made.

Let us shortly recall the most important facts concerning the two different representations, i.e. the bosonic and the fermionic one: The occurrence of a factor $(q)_\infty$ in the denominator arises naturally in the construction of Fock spaces using bosonic generators. Encoded by the numerator, these spaces are then truncated in a particular way. The interpretation as partition functions requires these expressions to be modular covariant, which is easily achieved for the bosonic side by expressing the characters in terms of Θ -functions as done in (3.1.17).

In contrast, the fermionic representations possess a remarkable interpretation in terms of quasi-particles for the states, obeying Pauli's exclusion principle, which we start to discuss now.

7.1. Fundamental Fermionic Forms

The general fermionic character expression is a linear combination of fundamental fermionic forms. The characters of various series of rational CFTs, including the $c_{p,1}$ series, can be represented as a single fundamental fermionic form [Wel05, BMS98, DKMM94]. Let us recall the already defined fundamental fermionic form from (5.1.1):

$$\sum_{\substack{\vec{m} \in (\mathbb{Z}_{\geq 0})^r \\ \text{restrictions}}} \frac{q^{\vec{m}^t A \vec{m} + \vec{b}^t \vec{m} + c}}{\prod_{i=1}^j (q)_i} \prod_{i=j+1}^r \left[\begin{matrix} g(\vec{m}) \\ m_i \end{matrix} \right]_q \quad (7.1.1)$$

with A being a real $N \times N$ matrix here, where N now stands for the number of species, \vec{b} being a vector which needs to be specified for a particular theory. The multi-summation may be restricted in some way provoking that certain particles may only appear together with certain other particles. We will explain that for the $c_{p,1}$ models in section 7.4 and section 7.5. A possible constant c does not matter in this context and hence is omitted. The q -binomial coefficient does not necessarily need to appear. Since we will analyze its physical relevance in the next section, we recall the definition of the q -binomial coefficient (see also section A.2 in the

appendix) for integers n and m

$$\begin{bmatrix} n \\ m \end{bmatrix}_q = \begin{cases} \frac{(q)_n}{(q)_m (q)_{n-m}} & \text{if } 0 \leq m \leq n \\ 0 & \text{otherwise} \end{cases} \quad (7.1.2)$$

and abbreviate the limit $n \rightarrow \infty$ as $\begin{bmatrix} \infty \\ m \end{bmatrix}_q = \frac{1}{(q)_m}$ for $m \geq 0$.

Thus, the two constitutional versions of the fundamental fermionic form, i.e. with q -binomial coefficients occurring or without, respectively, leads in the former case to a finite and in the latter case to an infinite spectrum for the corresponding particle species.

7.2. The Quasi-Particle Spectrum

For the quasi-particle interpretation, the characters are regarded as partition functions Z

$$\chi \sim Z = \sum_{\text{states}} e^{-\frac{E_{\text{states}}}{kT}} = \sum_{l=0}^{\infty} P(E_l) e^{-\frac{E_l}{kT}} \quad (7.2.1)$$

with T being the temperature, k the Boltzmann's constant, E_l the energy and $P(E_l)$ the degeneracy of the particular energy level l . The energy spectrum consists of all the excited state energies, with the groundstate energy scaled out, that are given by

$$E_l = E_{ex} - E_{GS} = \sum_{i=1}^N \sum_{\substack{\alpha=1 \\ \text{restrictions}}}^{m_i} e(p_{\alpha}^i) \quad (7.2.2)$$

and the momenta of the states are given by

$$P_{ex} = \sum_{i=1}^N \sum_{\substack{\alpha=1 \\ \text{restrictions}}}^{m_i} p_{\alpha}^i, \quad (7.2.3)$$

where N denotes the number of different species of particles, m_i the number of particles of type i in the state, $e(p_{\alpha}^i)$ the single-particle energy of the particle of type i and the subscript restrictions indicates possible rules under which the excitations may be combined.

(7.2.2) is referred to as a quasi-particle spectrum in statistical mechanics (see e.g. [McC94]). Quasi means in this context that for example magnons or phonons have other properties than real particles like protons or electrons (cf. chapter 1). And in addition, the spectrum above may contain single-particle energy levels that are different from the form, which is ubiquitous in relativistic quantum field theory $e_i(p) = \sqrt{M_{\alpha}^2 + p^2}$. For the quasi-particles to satisfy Fermi statistics, one requires that one of the restrictions corresponds to the form of Pauli's exclusion principle

$$p_{\alpha}^i \neq p_{\beta}^i \quad \text{for } \alpha \neq \beta \quad \text{and all } i, \quad (7.2.4)$$

The connection to (5.1.1) is made more transparent with the help of combinatorics: The number of additive partitions $P_M(N, N')$ of a positive integer N into M

distinct¹ non-negative integers which are smaller than or equal to N' is stated by [Sta72]

$$\sum_{N=0}^{\infty} P_M(N, N') q^N = q^{\frac{1}{2}M(M-1)} \begin{bmatrix} N' + 1 \\ M \end{bmatrix}, \quad (7.2.5)$$

which in the limit $N' \rightarrow \infty$ takes the form

$$\lim_{N' \rightarrow \infty} \sum_{N=0}^{\infty} P_M(N, N') q^N = q^{\frac{1}{2}M(M-1)} \frac{1}{(q)_M}. \quad (7.2.6)$$

Applying (7.2.6) to the fermionic sum representation (6.2.1) leads to

$$\prod_{i=1}^r \left(\sum_{\substack{m_i \\ \text{restrictions}}}^{\infty} \sum_{N=0}^{\infty} P_{m_i}(N) q^{N+(b_i+\frac{1}{2})m_i+\sum_{j=1}^r A_{ij}m_i m_j - \frac{1}{2}m_i^2} \right), \quad (7.2.7)$$

where $P_M(N) = \lim_{N' \rightarrow \infty} P_M(N, N')$. The constant c has been omitted, since it would just result in an overall shift of the energy spectrum.

If we now adopt massless single-particle energies

$$e(p_{\alpha}^i) = v p_{\alpha}^i \quad (7.2.8)$$

(v referred to as the fermi velocity, spin-wave velocity, speed of sound or speed of light), where p_{α}^i denotes the quasi-particle α of 'species' i ($1 \leq i \leq r$), and if in (7.2.7) we set

$$q = e^{-\frac{v}{kT}}, \quad (7.2.9)$$

we deduce that the partition function corresponds to a system of quasi-particles that are of r different species and which obey the Pauli exclusion principle from (7.2.4), but whose momenta p_{α}^i are otherwise freely chosen from the sets

$$P_i = \{p_{\min}^i, p_{\min}^i + 1, p_{\min}^i + 2, \dots, p_{\max}^i\} \quad (7.2.10)$$

with minimum momenta

$$p_{\min}^i(\vec{m}) = \left[\left((A - \frac{1}{2})\vec{m} \right)_i + b_i + \frac{1}{2} \right] \quad (7.2.11)$$

and with the maximum momenta p_{\max}^i either infinite if $i \leq j$ in (5.1.1) or, if $i > j$, finite and dependent on \vec{m} , \vec{b} and g .

Since the fermionic character expressions we present for the $c_{p,1}$ series of LCFTs are all of the type (6.2.1), we will mostly deal with the case that all $p_{\max}^i = \infty$ here, i.e. the spectra are not bounded from above, and mention the alternative only marginally. The former case means that a multi-particle state with energy E_l may consist of exactly those combinations of quasi-particles of arbitrary species i , whose single-particle energies $e(p^i)$ add up to E_l and where Pauli's principle holds for

¹The requirement of distinctiveness expresses the fermionic nature of the quasi-particles, i.e. Pauli's exclusion principle.

any two quasi-particles of that combination unless they belong to different species. Possible sum restrictions then result in the requirement that certain particles may only be created in conjunction with certain others.

The latter case means that the possible particle momenta include some limits from above due to the occurrence of q -binomial coefficients. Although these momentum boundaries may seem artificial, the phenomenon that the momenta of the quasi-particles, which correspond to an occurring q -binomial coefficient, are restricted to take only a finite number of values for given \vec{m} is a common occurrence in quantum spin chains.

7.3. The Decomposition of the Hilbert Space

For the sake of completeness, let us contrast the both Hilbert space decompositions, before we will make some remarks on the \mathcal{W} -characters: With respect to the Virasoro algebra it is straightforward to associate to each energy level some well-defined set of fermionic quasi-particle momenta $|p_1^1, \dots, p_1^{m_1}, \dots, p_n^{m_n}\rangle$, which are in one-to-one correspondence to the decomposition of the Hilbert space [BF97]

$$\mathcal{H}_{h_{r,s}} = \bigoplus_{l=0}^{\infty} |h_{r,s}^l\rangle \quad (7.3.1)$$

in form of the already familiar irreducible representations of the Virasoro algebra

$$L_0|h_{r,s}\rangle = h_{r,s}|h_{r,s}\rangle \quad (7.3.2)$$

$$L_i|h_{r,s}\rangle = 0 \quad \text{for } i > 0 \quad (7.3.3)$$

$$|h_{r,s}^l\rangle = L_{-n_1}L_{-n_2} \dots L_{-n_k}|h_{r,s}\rangle \quad \text{for } n_i > 0. \quad (7.3.4)$$

Here $l = n_1 + n_2 + \dots + n_k$ denotes the l^{th} level of the irreducible highest weight module.

However, with respect to the chiral symmetry algebra \mathcal{W} , the energy operator L_0 is - as we have already realized in section 3.2 - no longer diagonal on a highest weight representation: It is given in a Jordan normal form with non-trivial blocks. By taking into account the multiplets generated by screening operators Q , one can construct exactly $2p$ regular representations of the triplet algebra [Flo96]. This procedure leads to the \mathcal{W} -modules

$$\mathcal{H}_{n,+}^{\mathcal{W}} = \bigoplus_{j=0}^{\infty} \bigoplus_{m=0}^{2j-1} Q^m \mathcal{H}_{2j+1,n} \quad (7.3.5)$$

$$\mathcal{H}_{n,-}^{\mathcal{W}} = \bigoplus_{j=1}^{\infty} \bigoplus_{m=0}^{2j-2} Q^m \mathcal{H}_{2j,n} \quad (7.3.6)$$

with $1 \leq n \leq p$.

The vacuum representation of the \mathcal{W} -algebra can then be written as the following decomposition of the Hilbert space:

$$\mathcal{H}_{|0\rangle} = \bigoplus_{k \in \mathbb{Z}} (2k+1) \mathcal{H}_{|h_{2k+1,1}\rangle}^{\text{Vir}}. \quad (7.3.7)$$

In the next section, we want to investigate the corresponding vacuum character: at first for the $c = -2$ model.

7.4. The $c = -2$ Model

We start with the case of $p = 2$, i.e. $c_{2,1} = -2$. In contrast to the characters for the minimal models, these characters are the traces over the representation modules of the triplet \mathcal{W} -algebra, instead of the Virasoro algebra only. However, although highest weight states are labeled by two highest weights in this case, h and w as the eigenvalues of L_0 and W_0 respectively, we consider only the traces of the operator $q^{L_0 - \frac{c}{24}}$. It turns out that these \mathcal{W} -characters are given as infinite sums of Virasoro characters, for example [Flo96]

$$\chi_{|0\rangle} = \sum_{k=0}^{\infty} (2k+1) \chi_{|h_{2k+1,1}\rangle}^{\text{Vir}}. \quad (7.4.1)$$

Let us now come to the vacuum character (5.2.20) for the $c_{2,1}$ model, which features the interesting sum restriction $m_1 + m_2 \equiv 0 \pmod{2}$ expressing the fact that particles of type 1 and 2 must be created in pairs. Thus, the existence of one-particle states for either particle species is prohibited. Therefore, the single-particle energies must be extracted out of the observed multi-particle energy levels.

Applying (7.2.6) to the fermionic sum representation (5.2.20) of the vacuum character leads to

$$\chi_{1,2}^+ = \left(\sum_{m_1=0}^{\infty} \sum_{N=0}^{\infty} P_{m_1}(N) q^{N+m_1} \right) \left(\sum_{\substack{m_2=0 \\ m_2 \equiv m_1 \pmod{2}}}^{\infty} \sum_{N=0}^{\infty} P_{m_2}(N) q^{N+m_2} \right), \quad (7.4.2)$$

where the constant c has been omitted, since it would just result in an overall shift of the energy spectra. Using massless single-particle energies (7.2.8) and setting (7.2.9) in (7.4.2) then results in the partition function (7.2.1) corresponding to a system of two quasi-particle species, with both species having the momentum spectrum $\mathbb{N}_{\geq 1}$, i.e. a multi-particle state with energy E_l may consist of exactly those combinations of an even number of quasi-particles, having momenta p_{α}^i ($i \in \{1, 2\}$), whose single-particle energies $e(p_{\alpha}^i)$ add up to E_l and where the momenta $p_{\alpha}^i \in \mathbb{N}_{\geq 1}$ of each two of the quasi-particles in that combination are distinct unless they belong to different species, i.e. they respect the exclusion principle.

Formally, these spectra belong to two free chiral fermions with periodic boundary conditions. Note in this context the physical interpretation in terms of symplectic fermions (see section 7.6), free two-component fermion fields of spin one, which generate the LCFT associated with $c_{2,1} = -2$.

7.5. The $p > 2$ Relatives

Besides the best understood LCFT with central charge $c_{2,1} = -2$, some general remarks on its $c_{p,1}$ relatives are alluded here to conclude this section.

The restrictions $m_{p-1} + m_p \equiv Q \pmod{2}$ (Q denotes the total charge of the system) in (5.2.25) to (5.2.27) imply that the quasi-particles $p-1$ and p are charged under a \mathbb{Z}_2 subgroup of the full symmetry of the D_p Dynkin diagram (see figure C.2 in the appendix), while all the others are neutral. This charge reflects the $\mathfrak{su}(2)$ structure carried by the triplet \mathcal{W} -algebra such that all representations must have ground states, which are either $\mathfrak{su}(2)$ singlets or $\mathfrak{su}(2)$ doublets. In principal, the corresponding restrictions $m_{p-1} + m_p \equiv 0 \pmod{2}$ and $m_{p-1} + m_p \equiv 1 \pmod{2}$, as well as the connected sectors, may be interchanged by virtue of a linear shift, thus matching the singlet and doublet structure in any case.

In comparison to the $c_{2,1} = -2$ model, there exist p quasi-particles in each member of the $c_{p,1}$ series, exactly two of which can only be created in pairs, while the others do not have this restriction.

These observations suggest the following conjecture:

The $c_{p,1}$ theories might possess a realization in terms of free fermions such that they are generated by one pair of symplectic fermions and $p-2$ ordinary fermions (figure C.2 underlines this structure graphically). Such realizations are unknown so far, except for the well-understood case $p = 2$, and are a very interesting direction of future research.

The minimal momenta of the quasi-particles in the system are given in (7.2.11). Contrary to the case of $p = 2$, the quasi-particles do not decouple here: Hence, the minimal momenta for the quasi-particle species depend on the numbers of quasi-particles of the different species in the state. But as in the case of $p = 2$, the momentum spectra are not bounded from above.

7.6. Symplectic Fermions

Since the $c_{p,1}$ model features – as mentioned above in this chapter – an interpretation in terms of symplectic fermions, we summarize, for the sake of completeness, the essential properties here, while the reader is referred to [Kau95] and [Kau00] for more details.

The chiral algebra of the symplectic fermion model is generated by a free two-component fermion field χ^α of conformal weight one, whose anti-commutator is given by

$$\{\chi_n^\alpha, \chi_m^\beta\} = nd^{\alpha\beta}\delta_{n+m}, \quad (7.6.1)$$

where $d^{\alpha\beta}$ is an anti-symmetric tensor, whose components are given by $d^{\pm\mp} = \pm 1$. The highest weight state Ω satisfies the relations

$$\chi_n^\alpha \Omega = 0 \quad \forall n \geq 0 \quad (7.6.2)$$

and hence generates the vacuum representation.

The relations

$$L_{-2}\Omega = \frac{1}{2}d_{\alpha\beta}\chi_{-1}^\alpha\chi_{-1}^\beta\Omega \quad (7.6.3)$$

$$W_{-3}^a\Omega = t_{\alpha\beta}^a\chi_{-2}^\alpha\chi_{-1}^\beta\Omega, \quad (7.6.4)$$

where $d_{\alpha\beta}$ is the inverse to $d^{\alpha\beta}$ and $t_{\alpha\beta}^a$ are the matrix elements of the spin $\frac{1}{2}$ representation of $\mathfrak{su}(2)$, satisfy the triplet algebra, which is therefore contained.

Finally, let us consider the maximal generalized highest weight representation of this chiral algebra that contains the vacuum representation. It is freely generated by the negative modes χ_m^α with $m < 0$ from a four-dimensional space of ground states. This space is spanned by two bosonic states Ω and ω , and two fermionic states, θ^α , and the action of the zero-modes χ_0^α is given as

$$\chi_0^\alpha \omega = -\theta^\alpha \tag{7.6.5}$$

$$\chi_0^\alpha \theta^\beta = d^{\alpha\beta} \Omega \tag{7.6.6}$$

$$L_0 \omega = \Omega . \tag{7.6.7}$$

8. Conclusion and Outlook

Motivated by Nahm's conjecture, which predicts the existence of fermionic character expressions consisting of a single fundamental form for each rational conformal field theory, the aim of this thesis has been to find exactly those fermionic characters in the case of the logarithmic conformal field theories associated with central charge $c_{p,1}$. Although the form of these characters – involving indecomposable representations and the triplet \mathcal{W} -algebras, which are extended Virasoro algebras that feature a triplet structure of primary fields [Kau91] – is kind of tricky, one has hoped for the existence of the fermionic sum representations, since these theories are in a generalized sense [GK96b, CF06] rational conformal field theories.

And in fact, we found a complete set of new fermionic expressions for the characters of the logarithmic conformal field theories associated with central charge $c_{p,1}$ [FGK07], which constitutes our main achievement.

In addition, the following results provide further evidence for the well-definedness of these $c_{p,1}$ models:

It is remarkable that there exist fermionic quasi-particle sum representations with the same matrix A (cf. (6.2.1)) for all characters of each $c_{p,1}$ model, in spite of the inhomogeneous structure of the bosonic character expressions in terms of modular forms. In particular, the matrix A could be identified to be the inverse of the Cartan matrix of the simply-laced Lie algebra D_p (cf. section C in the appendix and here especially figure C.2), where $p = 2$ can be understood as the degenerate inverse Cartan matrix of this series. Therefore, those expressions fit well into the known scheme of fermionic character expressions for other conformal field theories.

Physically, this indicates that in each $c_{p,1}$ model there exists a set of $p-2$ fermionic quasi-particle species, the members of which may be combined without obeying any restrictions except Pauli's exclusion principle to obtain an arbitrary multi-particle state, and additionally a set of two species, the members of which may only appear in an even or odd number, depending on the sector of the theory. In all cases except $p = 2$, the possible quasi-particle momenta obey non-trivial restrictions (7.2.11) for their minimum momenta, depending on the numbers of quasi-particles of each species in the state. Moreover, since the fermionic character expressions are of the form (6.2.1) for all $p \geq 2$, the momentum spectra are unbounded from above.

In particular, our conjecture regarding the realization of the $p > 2$ models in terms of $p - 2$ ordinary fermions and one pair of symplectic fermions may be a decisive hint for future research.

Being rational CFTs [GK96b, CF06], it is furthermore satisfying that the fermionic character expressions of the outlined theories – although they are non-minimal models on the edge of the conformal grid – lead correctly to a well-known

infinite set of dilogarithm identities, which supports the fermionic expressions for the characters of the $c_{p,1}$ models that are presented in this thesis even more.

The fermionic expressions of the $\mathcal{W}(2, 3k)$ -Algebras, which we presented in section 5.3, still consist of more than one fundamental fermionic form:

Besides the already mentioned ansatz in (5.3.10), general relations for the Θ -functions [Igu72, Akh90] could turn out to be useful for obtaining a fermionic expression that consists of only one fundamental fermionic form. The instructions with regard to the spinon bases on how to construct the fermionic expressions for the individual summands of the $c_{p,1}$ models, may also be helpful for the construction of other yet missing fermionic character expressions.

In this context, another exciting approach is given with respect to the formulation in terms of crystal bases. An extensive introduction is given in [Kas95], where a rich combinatorial structure, which is based on the investigation of quantized universal enveloping algebras, emerges.

Interesting results, which constitute a link to fermionic expressions via quantum affine Lie algebras and crystal paths, can be found in [KKM⁺92, HKK⁺98, HKK⁺99, HKO⁺99] and in particular, quite recent results in [SS05].

To conclude, we want to put straight that the spinon and crystal bases are only two additional options of the numerous avenues towards finding new general fermionic expressions:

Therefore, we would like to touch on some other promising attempts that have kept us busy during our studies by shortly listing the references and associated keywords:

- Bailey's lemma and the proximate Bailey chain, which we have already mentioned in section 4.1: [Bai47, Bai51, SW99a, AB97].
- Directly connected to Bailey's lemma [War02], the Hall-Littlewood functions [DLT94, SW99b] and in particular the Kostka Polynomials [NR04, AKS05, Dek06] mainly represent recent results.
- Fermionic expressions in the context of superconformal algebras [DS05] are in this case also directly connected to Bailey's lemma.

Acknowledgements

First of all, I would like to thank my thesis supervisor PD Dr. Michael Flohr, who gave me the chance to work in his group on an exciting and extremely multi-faceted topic. He has always been motivating and encouraging in our discussions, despite some radical changes in his life. As a consequence, my office-mate Michael Köhn and me had to take care of ourselves quite often and thus I am secondly in particular thankful to him, since without him, working on this thesis would probably have been much more frustrating and boring in some sense. Furthermore, he has been – and still remains – quite more than just a faithful companion at university.

I am also glad to have been able to share much time with Svenja Riekeberg and Torsten Weber, who wrote their diploma theses a few steps from our office and always stood by for some nice conversation as well as the both fellows at our corridor André Grimpe and Olaf Hoffmann did.

In this regard, I also want to express my gratitude to the other group members: to the old-established Kirsten Vogeler and in particular Hendrik Adorf, who took us under his wing from the very first, and to the new ones Annekathrin Mueller-Lohmann, Anne-Lỳ Do and particularly Holger Knuth, who has been a frequent and gladly seen visitor of our office.

I also would like to thank Prof. Dr. Luis Santos, who agreed to be the second reviewer of this thesis, although he currently does not have too much time on his hand.

In the end, special thanks goes to the crew of the institute as a whole, who always care for a friendly atmosphere and in particular to those who have joined our weekly soccer program.

On the personal side, I am much obliged to my parents and sisters, who not only supported me during my whole life, but also particularly with regard to hard times at university.

And in recent times, I have been in the lucky position to share my weekends with Antje, who always cheers me up and shows me that there also exists a life beyond physics.

A. Important Definitions

A.1. The q -Pochhammer Symbol

The q -Pochhammer symbol, the q -analog of the Pochhammer symbol, is originally defined by

$$(a; q)_k = \begin{cases} \prod_{j=0}^{k-1} (1 - aq^j) & \text{if } k > 0 \\ 1 & \text{if } k = 0 \\ \prod_{j=1}^k (1 - aq^{-j})^{-1} & \text{if } k < 0 \\ \prod_{j=0}^{\infty} (1 - aq^j) & \text{if } k = \infty \end{cases} . \quad (\text{A.1.1})$$

For brevity, $(a; q)_k$ is often simply written $(a)_k$. Hence, $(q; q)_k$ takes the form $(q)_k$ and we only need the definition

$$(q)_k = \prod_{i=1}^k (1 - q^i), \quad (\text{A.1.2})$$

with $(q)_0 = 1$ and $(q)_\infty = \lim_{k \rightarrow \infty} (q)_k$.

For convenience, we list some properties:

$$(a; q)_n = \frac{(a; q)_\infty}{(aq^n; q)_\infty}, \quad (\text{A.1.3})$$

$$\frac{1 - aq^{2n}}{1 - a} = \frac{(q\sqrt{a}; q)_n (-q\sqrt{a}; q)_n}{(\sqrt{a}; q)_n (-\sqrt{a}; q)_n}, \quad (\text{A.1.4})$$

$$(a; q)_n (-a; q)_n = (a^2; q^2)_n, \quad (\text{A.1.5})$$

$$(a; q)_n = \left(\frac{q^{1-n}}{a}; q\right)_n (-a)^n q^{\binom{n}{2}}, \quad (\text{A.1.6})$$

$$(a; q^{-1})_n = (a^{-1}; q)_n (-a)^n q^{-\binom{n}{2}}, \quad (\text{A.1.7})$$

$$(a; q)_{-n} = \frac{1}{(aq^{-n}; q)_n} = \frac{\left(-\frac{q}{a}\right)^n}{\left(\frac{q}{a}; q\right)_n} q^{\binom{n}{2}}, \quad (\text{A.1.8})$$

with $\binom{n}{k}$ being the usual binomial coefficient and especially $\binom{n}{2} = \frac{1}{2}n(n-1)$.

A.2. The q -Binomial Coefficient

The q -binomial coefficient, also known as Gaussian polynomial, is defined as

$$\begin{bmatrix} n \\ m \end{bmatrix}_q = \begin{cases} \frac{(q)_n}{(q)_m (q)_{n-m}} & \text{if } 0 \leq m \leq n \\ 0 & \text{otherwise} \end{cases} . \quad (\text{A.2.1})$$

Let $0 \leq m \leq n$ be integers. Then the q -binomial coefficient $\begin{bmatrix} n \\ m \end{bmatrix}$ is a polynomial of degree $m(n-m)$ in q that satisfies the following relations:

$$\begin{bmatrix} n \\ 0 \end{bmatrix} = \begin{bmatrix} n \\ n \end{bmatrix} = 1, \quad (\text{A.2.2})$$

$$\begin{bmatrix} n \\ m \end{bmatrix} = \begin{bmatrix} n \\ n-m \end{bmatrix}, \quad (\text{A.2.3})$$

$$\begin{bmatrix} n \\ m \end{bmatrix} = \begin{bmatrix} n-1 \\ m \end{bmatrix} + q^{n-m} \begin{bmatrix} n-1 \\ m-1 \end{bmatrix}, \quad (\text{A.2.4})$$

$$\begin{bmatrix} n \\ m \end{bmatrix} = \begin{bmatrix} n-1 \\ m-1 \end{bmatrix} + q^m \begin{bmatrix} n-1 \\ m \end{bmatrix}, \quad (\text{A.2.5})$$

$$\lim_{q \rightarrow 1} \begin{bmatrix} n \\ m \end{bmatrix} = \frac{n!}{m!(n-m)!} = \binom{n}{m}, \quad (\text{A.2.6})$$

B. Important Functions

B.1. The Dedekind η -Function

The Dedekind η -function is defined as

$$\eta(\tau) = q^{1/24} \prod_{n \in \mathbb{N}} (1 - q^n) . \quad (\text{B.1.1})$$

The modular properties of this function are

$$\eta\left(-\frac{1}{\tau}\right) = \sqrt{-i\tau} \eta(\tau) , \quad (\text{B.1.2})$$

$$\eta(\tau + 1) = e^{\frac{\pi i}{12}} \eta(\tau) . \quad (\text{B.1.3})$$

B.2. The Jacobi-Riemann Θ -Functions

The elliptic or Jacobi-Riemann Θ -functions are modular forms of weight $\frac{1}{2}$, defined as

$$\Theta_{\lambda,k}(\tau) = \sum_{n \in \mathbb{Z}} q^{\frac{(2kn+\lambda)^2}{4k}} , \quad (\text{B.2.1})$$

with $\lambda \in \frac{\mathbb{Z}}{2}$ and $k \in \frac{\mathbb{N}}{2}$. λ is called the index and k the modulus of the Θ -function, which also satisfies

$$\Theta_{\lambda,k} = \Theta_{-\lambda,k} = \Theta_{\lambda+2k,k} . \quad (\text{B.2.2})$$

Furthermore, the power series of $\Theta_{\lambda,k}$ in q has only even coefficients.

Its modular properties are given by

$$\Theta_{\lambda,k}\left(-\frac{1}{\tau}\right) = \sqrt{\frac{-i\tau}{2k}} \sum_{\lambda'=0}^{2k-1} e^{i\pi \frac{\lambda\lambda'}{k}} \Theta_{\lambda',k}(\tau) , \quad (\text{B.2.3})$$

$$\Theta_{\lambda,k}(\tau + 1) = e^{i\pi \frac{\lambda^2}{2k}} \Theta_{\lambda,k}(\tau) . \quad (\text{B.2.4})$$

B.3. The Affine Θ -Functions

The affine Θ -functions are defined as

$$(\partial\Theta)_{\lambda,k}(\tau) = \sum_{n \in \mathbb{Z}} (2kn + \lambda) q^{\frac{(2kn+\lambda)^2}{4k}} . \quad (\text{B.3.1})$$

They satisfy

$$(\partial\Theta)_{-\lambda,k} = -(\partial\Theta)_{\lambda,k} , \quad (\text{B.3.2})$$

i.e. they are odd and moreover, per definitionem

$$(\partial\Theta)_{0,k} = (\partial\Theta)_{k,k} \equiv 0 . \quad (\text{B.3.3})$$

Their modular behavior is

$$(\partial\Theta)_{\lambda,k}\left(-\frac{1}{\tau}\right) = (-i\tau) \sqrt{\frac{-i\tau}{2k}} \sum_{\lambda'=1}^{2k-1} e^{i\pi \frac{\lambda\lambda'}{k}} (\partial\Theta)_{\lambda',k}(\tau) \quad (\text{B.3.4})$$

$$(\partial\Theta)_{\lambda,k}(\tau + 1) = e^{i\pi \frac{\lambda^2}{2k}} (\partial\Theta)_{\lambda,k}(\tau) . \quad (\text{B.3.5})$$

They are no longer modular forms of weight $1/2$ under $\mathcal{S} : \tau \mapsto -\frac{1}{\tau}$. Thus, in order to obtain a closed finite dimensional representation of the modular group. we have to add further functions

$$(\nabla\Theta)_{\lambda,k}(\tau) = \frac{\ln q}{2\pi i} \sum_{n \in \mathbb{Z}} (2kn + \lambda) q^{\frac{(2kn+\lambda)^2}{4k}} . \quad (\text{B.3.6})$$

It is clear that \mathcal{S} interchanges these two sets of functions, while $\mathcal{T} : \tau \mapsto \tau + 1$ causes the following transformation:

$$(\nabla\Theta)_{\lambda,k} \mapsto (\nabla\Theta)_{\lambda,k} + (\partial\Theta)_{\lambda,k} . \quad (\text{B.3.7})$$

Therefore, the linear combination

$$(\partial\Theta)_{\lambda,k}(\tau)(\nabla\Theta)_{\lambda,k}^*(\tau) - (\nabla\Theta)_{\lambda,k}(\tau)(\partial\Theta)_{\lambda,k}^*(\tau) = (\tau - \bar{\tau}) |(\partial\Theta)_{\lambda,k}|^2 \quad (\text{B.3.8})$$

is modular covariant of weight $1/2$.

B.4. Logarithm Functions

B.4.1. The Classical Functions

The dilogarithm function is the function defined by the power series

$$\text{Li}_2(z) = \sum_{n=1}^{\infty} \frac{z^n}{n^2} \quad \text{for } |z| < 1 . \quad (\text{B.4.1})$$

The analogy to the ordinary logarithm

$$-\ln(1 - z) = \sum_{n=1}^{\infty} \frac{z^n}{n} \quad \text{for } |z| < 1 \quad (\text{B.4.2})$$

leads directly to the definition of the polylogarithm

$$\text{Li}_m(z) = \sum_{n=1}^{\infty} \frac{z^n}{n^m} \quad \text{for } |z| < 1, \quad m = 1, 2, \dots . \quad (\text{B.4.3})$$

The Polylogarithm plays a role in many branches in physics as well as in mathematics, in particular, in the computation of quantum-electrodynamic corrections to the electron's gyromagnetic ratio.

The domain of definition of Li_m can be extended to the cut plane $\mathbb{C} \setminus [1, \infty)$. Especially, the analytic continuation of the dilogarithm is given by

$$\text{Li}_2(z) = - \int_0^z \ln(1-u) \frac{du}{u} \quad \text{for } z \in \mathbb{C} \setminus [1, \infty). \quad (\text{B.4.4})$$

In particular, the dilogarithm arises in the context of measurement of volumes in euclidean, spherical and hyperbolic geometry. Furthermore the function is of great popularity due to its recent appearance in algebraic K-Theory [Nah04].

But the classical dilogarithm function $\text{Li}_2(z)$ admits some disadvantages: One of them is that, although it has a holomorphic extension beyond the region of convergence $|z| < 1$ of the defining power series $\sum_{n=1}^{\infty} \frac{z^n}{n^2}$, this extension is many-valued. Thus, the analysis of the dilogarithm function is much more complicated in many aspects. One way to avoid problems of any kind is to introduce other variants of the dilogarithm function, of which the Rogers dilogarithm L arises quite naturally.

B.4.2. The Rogers Dilogarithm

We introduce the Rogers dilogarithm function $L(x)$ (e.g. used in [NRT93, Zag06]) defined by

$$L(x) = \text{Li}_2(x) + \frac{1}{2} \ln(x) \ln(1-x) \quad \text{if } 0 < x < 1. \quad (\text{B.4.5})$$

To prevent confusion, let us call attention to the fact that there exist two versions of the Rogers dilogarithm, which are normalized quite differently: We will not use the version, which is defined e.g. in [Byt99a, Byt99b] by

$$L_R(x) = \frac{6}{\pi^2} L(x). \quad (\text{B.4.6})$$

By setting

$$L(0) = 0 \quad (\text{B.4.7})$$

$$L(1) = \frac{\pi^2}{6} \quad (\text{B.4.8})$$

$$L(x) = \begin{cases} 2L(1) - (L \frac{1}{x}) & \text{if } x > 1, \\ -L(\frac{x}{x-1}) & \text{if } x < 0, \end{cases} \quad (\text{B.4.9})$$

the function can be extended to the rest of \mathbb{R} and hence results in a monotone increasing continuous real-valued function on \mathbb{R} . Furthermore, it admits an analytic continuation on the complex plane as a multivalued analytical function of x .

It is (real)-analytic except at 0 and 1, where its derivative becomes infinite.

Since the Rogers dilogarithm is not continuous at infinity, it is often considered modulo $\frac{\pi^2}{2}$ to allow simpler functional equations with no logarithmic terms.

For example, this new function, i.e. $\bar{L}(x) := L(x) \pmod{\frac{\pi^2}{2}}$ and thus defined on $\frac{\mathbb{R}}{\frac{\pi^2}{2}\mathbb{Z}}$ now, provides an easy form of the famous five-term relation

$$\bar{L}(x) + \bar{L}(y) + \bar{L}\left(\frac{1-x}{1-xy}\right) + \bar{L}(1-xy) + \bar{L}\left(\frac{1-y}{1-xy}\right) = 0, \quad (\text{B.4.10})$$

which plays a key role in both functional equations of the dilogarithm function and numerical identities involving the values of dilogarithms at algebraic arguments. It can even be proven that all functional equations, whose arguments are rational functions of one variable are consequences of this five-term functional equation, due to Wojtkowiak's theorem. The proofs of all functional equations result from the elementary formula

$$L'(x) = -\frac{1}{2x}\ln(1-x) - \frac{1}{2(1-x)}\ln(x). \quad (\text{B.4.11})$$

C. The A-D-E(-T) Classification

In the general understanding of CFTs, an outstanding impact comes from the Lie algebraic background, in this work especially in the classification of the quadratic forms, which appear in the fermionic character expressions. In numerous fields in physics as well as in mathematics, a key role is played by the A-D-E(-T) classification, which is the complete list of simply-laced Lie algebras or other mathematical objects satisfying analogous axioms. The list comprises A_n, D_n, E_6, E_7, E_8 and T_n . Here A_n is the algebra of $SU(n+1)$, D_n is the algebra of $SO(2n)$, while E_k are three of five exceptional compact Lie algebras. The T denotes the additional tadpole diagram, which results from $T_n = \frac{A_{2n}}{\mathbb{Z}_2}$.

In particular, the classification of modular invariant partition functions falls into an A-D-E pattern as can be read in [CIZ87b, CIZ87a, Gan00].

Let us recall some facts about Lie algebras here in a very compact way:

There is a one-to-one correspondence between the following Dynkin diagrams of a Lie algebra \mathcal{L} and the corresponding Cartan matrices $C_{\mathcal{L}}$ and Incidence matrices $I = 2 - C_{\mathcal{L}}$ of a Lie algebra, a simply-laced one here, i.e. all roots have the same length. In the Dynkin diagrams, a node is drawn for each Lie algebra simple root, which is a positive root that is not the sum of two other positive roots. All nodes correspond to generators of the root lattice. The Cartan matrix is defined via the scalar products of simple roots, which are induced by the Killing form.

Finally, note that quotienting two affine Lie algebras is one of the key tools in constructing conformal field theories: Hence, many theories are naturally linked to a Lie algebraic background in the way that the inverses of the corresponding Cartan matrices appear in the quadratic forms (i.e. the matrices A) of the fermionic character expressions, but other constructions – without any known Lie algebraic background – also may induce this kind of structure.

Possible sum restrictions correspond in general to different symmetries of the Dynkin diagrams.

The Dynkin diagrams of the simply-laced Lie algebras with corresponding CFTs¹

- **A-series** (see figure C.1)

The corresponding Z_{n+1} -invariant parafermionic theories are the 'original' theories due to Lepowsky and Primc [LP85]. Furthermore, the Ising model arises as a special case.

- **D-series** (see figure C.2)

¹The list of corresponding CFTs is not exhaustive.

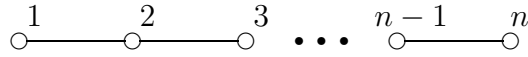


Figure C.1.: Dynkin diagram of A_n

The corresponding theories are the $r = \sqrt{\frac{n}{2}}$ unitary orbifold theories of a free

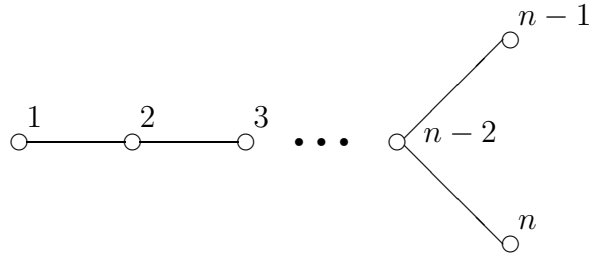


Figure C.2.: Dynkin diagram of D_n

boson and the $c_{p,1}$ models analyzed in our work here.

- *E-series* (see figures C.3, C.4 and C.5)

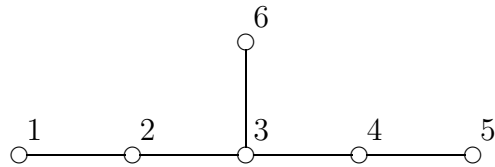


Figure C.3.: Dynkin diagram of E_6

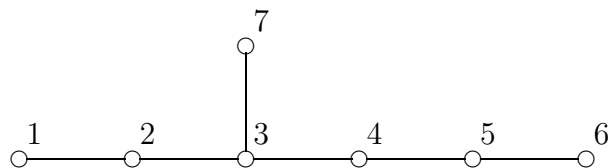


Figure C.4.: Dynkin diagram of E_7

The Tricritical Three-State Potts Model (E_6 , see e.g. [FZ87]), the Tricritical Ising Model (E_7 , see section 3.1.1) and the Ising Model (E_8 , see e.g. [MO97]) are theories, which correspond to the *E-series*.

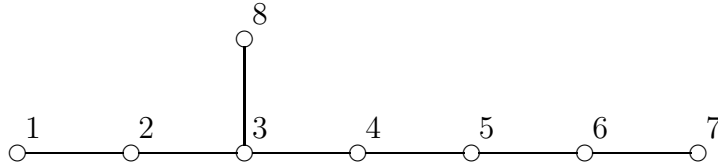


Figure C.5.: Dynkin diagram of E_8

- **T -series**

The non-unitary $\mathcal{M}(2, 2n + 3)$ models, which are connected with the tadpole

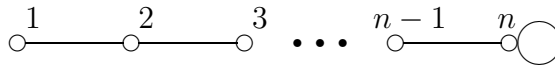


Figure C.6.: Dynkin diagram of T_n

diagram, have been discussed in [FNO92] and [NRT93].

Note that most of the connections were found by means of Mathematica in [KKMM93a, KKMM93b] or Maple – as in our work – which means that analytic proofs are still missing for most of them, except for the Ising model, where the link between the dilute A_3 -model and the E_8 -model was exploited in [WP94] to proof it.

In addition, we mention a connection between affine Lie algebras and purely elastic scattering theories due to [KM90]: The analysis of the ultra-violet limit of the thermodynamic Bethe ansatz for the related minimal scattering matrices of affine Toda field theory leads to a set of corresponding effective central charges:

$\mathcal{L}_{\text{affine}}$	c_{eff}	
$A_n^{(1)}$	$\frac{2n}{n+3}$	
$D_n^{(1)}$	1	
$E_6^{(1)}$	$\frac{6}{7}$	(C.0.1)
$E_7^{(1)}$	$\frac{7}{10}$	
$E_8^{(1)}$	$\frac{1}{2}$	
$A_{2n}^{(2)}$	$\frac{2n}{2n+3}$	

D. q -Series Expansions of the $c = -2$ Model

The q -series expansions of the $c = -2$ model, expanded to the order $O(q^{20})$:

$$\begin{aligned} \chi_{1,2}^+ &= q^{-\frac{1}{8}}(1 + q^2 + 4q^3 + 5q^4 + 8q^5 + 10q^6 + 16q^7 + 22q^8 \\ &\quad + 32q^9 + 47q^{10} + 64q^{11} + 88q^{12} + 120q^{13} + 161q^{14} + 212q^{15} \\ &\quad + 282q^{16} + 368q^{17} + 480q^{18} + 620q^{19} + 798q^{20} + O(q^{21})) \end{aligned} \quad (\text{D.0.1})$$

$$\begin{aligned} \chi_{0,2} &= 1 + q + 4q^2 + 5q^3 + 9q^4 + 13q^5 + 21q^6 + 29q^7 + 46q^8 \\ &\quad + 62q^9 + 90q^{10} + 122q^{11} + 171q^{12} + 227q^{13} + 311q^{14} + 408q^{15} \\ &\quad + 545q^{16} + 709q^{17} + 933q^{18} + 1198q^{19} + 1555q^{20} + O(q^{21}) \end{aligned} \quad (\text{D.0.2})$$

$$\begin{aligned} \chi_{1,2} &= q^{-\frac{1}{8}}(1 + 2q + 3q^2 + 6q^3 + 9q^4 + 14q^5 + 22q^6 + 32q^7 + 46q^8 \\ &\quad + 66q^9 + 93q^{10} + 128q^{11} + 176q^{12} + 238q^{13} + 319q^{14} + 426q^{15} \\ &\quad + 562q^{16} + 736q^{17} + 960q^{18} + 1242q^{19} + 1598q^{20} + O(q^{21})) \end{aligned} \quad (\text{D.0.3})$$

$$\begin{aligned} \chi_{2,2} &= q^{-\frac{1}{2}}(1 + q + 2q^2 + 3q^3 + 6q^4 + 8q^5 + 13q^6 + 18q^7 + 27q^8 \\ &\quad + 37q^9 + 53q^{10} + 71q^{11} + 100q^{12} + 132q^{13} + 179q^{14} + 235q^{15} \\ &\quad + 313q^{16} + 405q^{17} + 531q^{18} + 681q^{19} + 880q^{20} + O(q^{21})) \end{aligned} \quad (\text{D.0.4})$$

$$\begin{aligned} \chi_{1,2}^- &= q^{-\frac{9}{8}}(1 + q + q^2 + 2q^3 + 3q^4 + 6q^5 + 8q^6 + 12q^7 + 17q^8 \\ &\quad + 23q^9 + 32q^{10} + 44q^{11} + 59q^{12} + 79q^{13} + 107q^{14} + 140q^{15} \\ &\quad + 184q^{16} + 240q^{17} + 311q^{18} + 400q^{19} + 512q^{20} + O(q^{21})) \end{aligned} \quad (\text{D.0.5})$$

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Selbständigkeitserklärung

Hiermit versichere ich, die vorliegende Diplomarbeit selbständig und unter ausschließlicher Verwendung der angegebenen Hilfsmittel angefertigt zu haben.

Hannover, den 16. Februar 2007